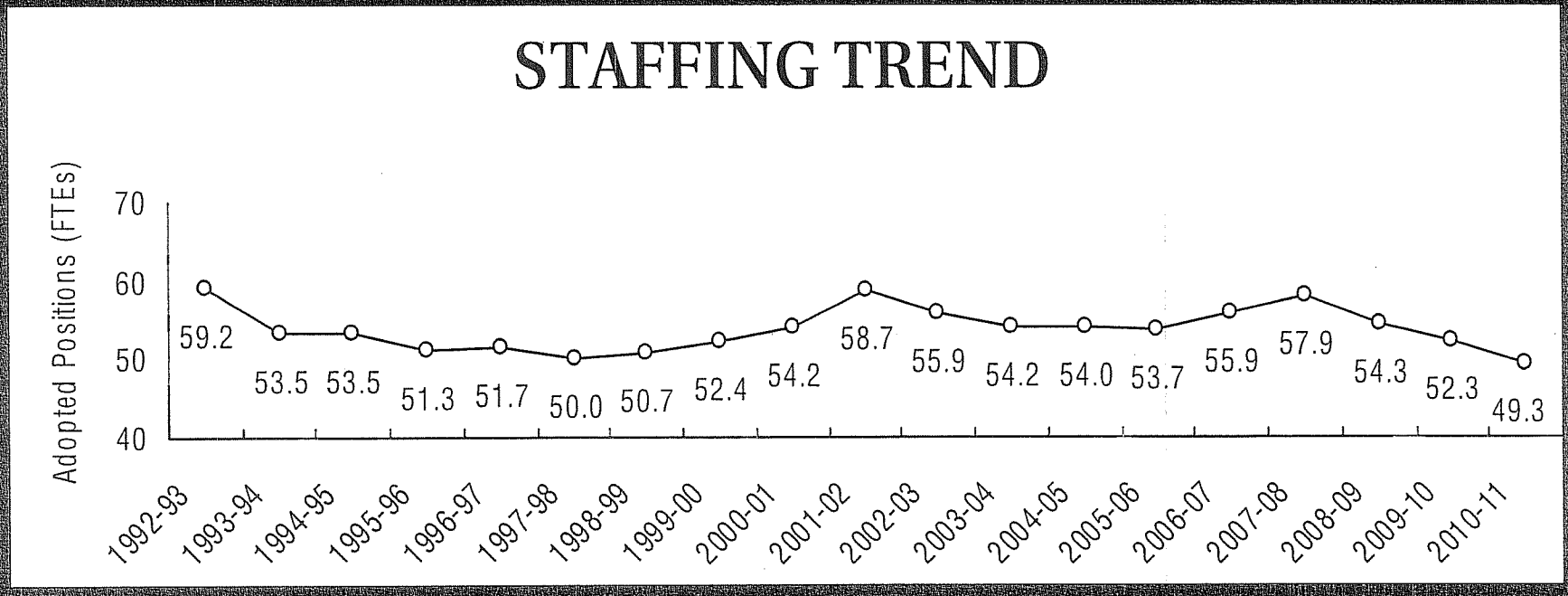
Section 22

Auditor-Controller

AUDITOR-CONTROLLER

Budget Presentation
Fiscal Year 2010-11

SERVICE LEVEL REDUCTIONS



Due to constraints of the FY 2010-11 budget, the Auditor-Controller implemented service level reductions for the third consecutive year. The department reduced employees by another 2 FTEs following a loss of 6 FTEs in the prior two years. The department has 60 legal positions and had funding for 58 FTEs in FY 2007-08. This 10% drop in FTE funding over the last three years will not be viable for the long-term efficiency and effectiveness of the office and will impact the department's ability to meet the County priorities.

SERVICE LEVEL REDUCTIONS

- The reduction of positions will limit the ability of the Systems Division to maintain or enhance existing systems and develop new systems.
- The Advanced Accounting Division Chief position not filled due to a transfer to Public Works effecting our ability to provide high level accounting, financial and budgetary assistance to internal service funds, enterprise funds and the RDA.

AUDITOR-CONTROLLER COUNTYWIDE APPLICATIONS

Application	Description	Date
Employee Time	Countywide timecards	1997
CIP	5-year capital plan	1997
BDS	Budget development system	1997
Salary Model	Estimate budgeted salaries	1998
Pay+	Payroll processing	2001
GMS	Track and report grants	2002
ESS	Employee Self Service	2002
DEN	Department Employee Network	2003
FIN WEB	Countywide financial web-based system (replaced 1995 version)	2009 2010

LOOKING FORWARD
Creation of Greater County Efficiencies
FIN WEB

- Rolled out online and paperless transaction processing and workflow with electronic forms.
- Completed FIN Web Reports to enhance customized reporting capabilities.
- In process of linking to other financial systems, creating a Countywide travel reimbursement system and eForms for online budget adjustments.
- Business at the speed of thought[1].

[1]/"Business @ the Speed of Thought, Bill Gates (1999).

Reports - County of Santa Barbara, FIN - Windows Internet Explorer

https://reports.fin.co.santa-barbara.ca.us/report.aspx

File Edit View Favorites Tools Help

Favorites | Suggested Sites | Web Slice Gallery

Reports - County of Sant... | GL Transaction Details - Cou... | Reports

Page Safety Tools

Reports

Financial Information Network

Home | Inbox | eForms | Reports | Tables | Search | Admin

County of Santa Barbara • Office of the Auditor-Contr

Saved Reports
- My Reports
- FIN 5 Reports

General Ledger
- Balance Sheet
- Trial Balance
- Account Balances
- Reserves & Designations
- Cash Balances
- Daily Document Summary
- Transactions

Financial
- Status
- Trend
- Monthly Trend
- Summary
- Budget Revision Status
- Budget Transactions

Revenue
- Status
- Trend
- Monthly Trend
- Transactions

Expenditure
- Status
- Trend
- Monthly Trend
- Vendor Summary
- Transactions

Disbursement
- Vendor Disbursements
- Warrant Information

Cost
- Summary
- Transactions

Labor
- Hours
- Summary
- Transactions

Control & Analytic
- Cash Summary
- Deposit Exceptions
- Deposit Exception & Suspense Statistics
- Depositor Balances
- Expenditure Budget Remaining
- Negative GLAccount Balances
- Negative Fund Balance & Retained Earnings
- Reserve & Designation Over Budget

Budget Development
- Budget Financial Status
- Budget Source Of Funds Status
- Budget Use Of Funds Status
- Transactions

FIN eForms - Windows Internet Explorer

https://eforms.fin.co.santa-barbara.ca.us/forms/forms.aspx

File Edit View Favorites Tools Help

Favorites | Suggested Sites | Web Slice Gallery

County of Santa Barbara, Fi... | FIN eForms

Page Safety Tools

Financial Information Network

FIN eForms

County of Santa Barbara • Office of the Auditor-Contro

Claims

- Single Payment Claim
- Multiple Invoice Claim
- Travel Reimbursement Claim

Accounting

- Deposit Journal Entry
- Journal Entry

Budget

- Budget Journal Entry
- Month Estimate Change

Other

- Warrant Cancellation

Templates

- My Templates
- XML Upload Template

Internet 100%

FIN WEB Reports Usage Statistics
February - April 2010
All County Departments

	Reports			Users			Percentages		
All County Users	FIN 5 Users	FIN 5 Reports via Web	Web Only	Users	FIN 5 Users	Web Users	Reports Web %	FIN 5 Users	Web Users
Total	13,360	1,046	90,361	425	220	425	87.25%	51.76%	100%

FIN WEB Electronic Claims Vs. Paper Claims
February 1 - April 30
All County Departments

Electronic Claims	Paper Claims	Percent Electronic
12,464	465	96.40%

- Goal is 100% Paperless
- In process of converting independent Special Districts

AUDITOR-CONTROLLER ACCOMPLISHMENTS

- Internal Audit
  - Completed all mandatory audits.
  - Responded to six different fraud investigations.
  - Presented Department Head training on occupational fraud.
  - Conducted initial phase of Countywide travel claim reimbursement system.
- Property Tax Improvement Project
  - Assessor and Treasurer have each selected different vendor products.
  - We will need to integrate with and leverage those products to adapt them to our needs.
  - We will need to build a team of 4-5 Financial System Analysts to work on this project for 2-3 years.

AUDITOR-CONTROLLER

Questions?