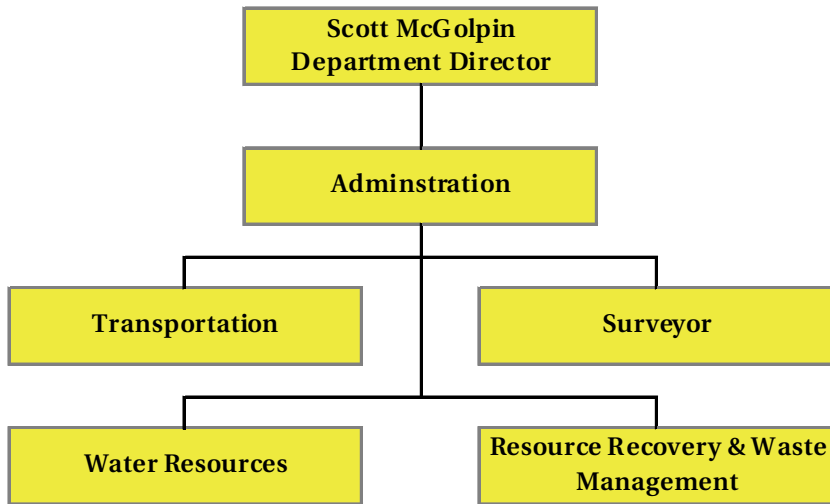
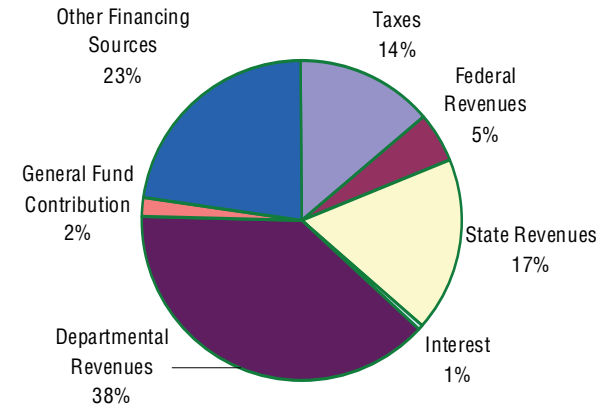


PUBLIC WORKS

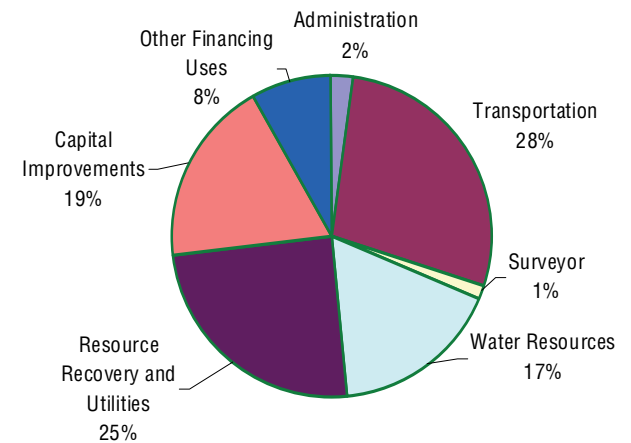
Budget & Staffing	
Operating	\$ 85,636,290
Capital	24,079,019
FTEs	281.5



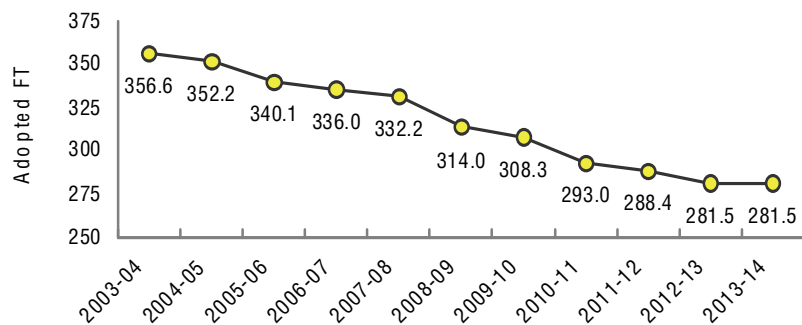
SOURCE OF FUNDS



USE OF FUNDS



STAFFING TREND



PUBLIC WORKS
Department Summary

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
Use of Funds Summary				
<i>Operating Expenditures</i>				
Administration	\$ 2,497,748	\$ 2,830,620	\$ 2,749,154	\$ 2,883,759
Transportation	36,103,038	39,776,948	35,932,045	35,128,669
Surveyor	2,097,319	2,145,149	1,679,143	1,703,266
Water Resources	17,805,025	24,051,877	21,869,297	15,407,889
Resource Recovery and Utilities	28,610,695	31,364,813	32,054,331	32,163,978
Operating Sub-Total	87,113,825	100,169,407	94,283,970	87,287,561
Less: Intra-County Revenues	(16,688,553)	(8,457,979)	(8,647,680)	(8,172,499)
Operating Total	70,425,272	91,711,428	85,636,290	79,115,062
<i>Non-Operating Expenditures</i>				
Capital Assets	8,579,603	20,839,100	24,079,019	13,947,048
Expenditure Total	79,004,875	112,550,528	109,715,309	93,062,110
<i>Other Financing Uses</i>				
Operating Transfers	1,516,655	2,029,309	3,271,266	879,703
Designated for Future Uses	21,744,645	2,195,982	7,184,417	10,149,157
Department Total	<u>\$102,266,175</u>	<u>\$116,775,819</u>	<u>\$120,170,992</u>	<u>\$104,090,970</u>

Character of Expenditures

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
<i>Operating Expenditures</i>				
Regular Salaries	\$ 19,625,564	\$ 21,384,222	\$ 20,083,698	\$ 20,424,045
Overtime	134,889	179,100	182,100	180,100
Extra Help	386,204	347,618	522,640	532,981
Benefits	9,806,859	11,498,216	11,052,962	12,425,209
Salaries & Benefits Sub-Total	29,953,516	33,409,156	31,841,400	33,562,335
Services & Supplies	48,878,200	54,928,581	50,150,694	48,442,767
Contributions	3,899,355	7,250,294	7,250,324	250,324
Depreciation Expense	2,960,784	2,899,902	2,987,210	2,987,210
Damages & Losses	55,000	55,000	55,000	55,000
Principal & Interest	1,366,970	1,626,474	1,999,342	1,989,925
Operating Sub-Total	87,113,825	100,169,407	94,283,970	87,287,561
Less: Intra-County Revenues	(16,688,553)	(8,457,979)	(8,647,680)	(8,172,499)
Operating Total	70,425,272	91,711,428	85,636,290	79,115,062
<i>Non-Operating Expenditures</i>				
Capital Assets	8,579,603	20,839,100	24,079,019	13,947,048
Expenditure Total	<u>\$ 79,004,875</u>	<u>\$112,550,528</u>	<u>\$109,715,309</u>	<u>\$ 93,062,110</u>

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
Source of Funds Summary				
<i>Departmental Revenues</i>				
Interest	\$ 1,157,358	\$ 1,124,913	\$ 731,675	\$ 680,219
Federal Revenues	3,874,215	9,722,531	6,631,654	7,642,607
State Revenues	22,331,415	23,083,742	22,223,045	12,417,356
Taxes	17,736,811	17,634,338	17,857,042	17,486,606
Other Charges for Services	34,785,462	34,314,939	36,407,919	36,710,393
Miscellaneous Revenue	17,405,297	13,724,220	13,002,912	10,845,877
Revenue Sub-Total	97,290,558	99,604,683	96,854,247	85,783,058
Less: Intra-County Revenues	(16,688,553)	(8,457,979)	(8,647,680)	(8,172,499)
Revenue Total	80,602,005	91,146,704	88,206,567	77,610,559
<i>General Fund Contribution</i>				
	2,710,105	2,709,524	2,696,124	2,704,164
<i>Other Financing Sources</i>				
Operating Transfers	3,692,602	1,075,393	1,915,863	9,900
Sale of Property	101,903	--	--	--
Proceeds of Long-term Debt	4,205,000	--	--	--
Use of Prior Fund Balances	10,954,560	21,844,198	27,352,438	23,766,347
Department Total	<u>\$102,266,175</u>	<u>\$116,775,819</u>	<u>\$120,170,992</u>	<u>\$104,090,970</u>

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
FTE Summary				
<i>Permanent</i>				
Administration	13.6	14.0	14.0	14.0
Transportation	112.6	118.4	117.4	117.4
Surveyor	14.0	13.0	10.0	10.0
Water Resources	42.3	46.8	46.8	46.8
Resource Recovery and Utilities	91.1	91.3	93.3	93.3
Total Permanent	273.6	283.5	281.5	281.5
<i>Non-Permanent</i>				
Contract	0.1	0.2	--	--
Extra Help	6.7	4.8	--	--
Total FTEs	<u>280.4</u>	<u>288.4</u>	<u>281.5</u>	<u>281.5</u>

Note: FTE totals may not sum correctly due to rounding.

MISSION STATEMENT

To efficiently provide, operate and maintain Public Works infrastructure, facilities and services to make everyday life as safe and convenient as possible for the public we serve.

Department Description

The Public Works Department enhances the health and safety of residents through a variety of critical activities and oversees 42 funds. The Public Works Department consists of five divisions: Administration, Transportation, Surveyor, Water Resources and Resource Recovery & Utilities - with approximately 281.5 full-time equivalent staffing at sixteen locations throughout the County. Public Works is responsible for: County-maintained roads; traffic engineering; private land development civil engineering review and construction inspection; design engineering and construction management; land surveying and map processing; water supply planning and flood protection; solid waste planning and diversion; inactive landfill management; wastewater systems management and special districts.

2011-12 Anticipated Accomplishments

Water Resources Division:

- Completed the Annual Flood Control Maintenance Plan on time and in advance of winter 2011. Completed a 6.3 mile Santa Maria River Levee Rehabilitation Project and the first element of construction on the Lower Mission Creek Flood Control Project.
- Secured over \$3 million in additional Prop 84 - 2006 Safe Drinking Water Bond Act grant funds for seven projects and various agencies within the County to include the San Jose Creek construction in Goleta.
- Completed environmental review for the San Pedro / Las Vegas Capacity Improvement Project which involved the Union Pacific Railroad, Caltrans, Cities of Goleta and Santa Barbara.
- Completed hand clearing of flood threatening vegetation in the Santa Ynez River. This is the first clearing in the river since 1998 due to environmental concerns.
- Completed funding agreements with the City of Goleta which is now starting construction of San Jose Creek.
- Secured funding for the final phase of the Santa Maria River Levee repairs (Corps of Engineers 2012 Work Plan).

Resource Recovery & Utilities Division:

- Awarded waste hauling franchise agreements for Zones 2, 4, and 5 after the County's first competitive bidding process for these services with improved service and significant savings to the rate payer.
- Identified the preferred waste conversion technology and vendor for the South Coast of Santa Barbara County/Resource Recovery Park.
- Completed the installation of the Photovoltaic Project at the Laguna County Sanitation District.

PUBLIC WORKS

Department Summary (cont'd)

- Completed the Tajiguas Landfill Phase 2C Liner Project.
- Implemented several salt reduction programs within the Laguna County Sanitation District boundaries to reduce chloride limits in the effluent.
- Renewed the Regional Water Quality Control Board (RWQCB) operating permit for Laguna County Sanitation District.
- Board approval of the long term master plan for the Laguna County Sanitation District.

Transportation Division:

- Designed, advertised, awarded, construction engineered and constructed \$9.8 million of contracts in 2011.
- Completed Road Maintenance Annual Plan work programmed for 2011.
- Issued over 300 encroachment permits and provided oversight and enforcement for the work and issued 60 special event permits.
- Restriped Lakeview to add a center lane to significantly reduce rear-end accidents.
- Evaluated and updated 48 stop controlled intersections, 12 speed zones, and multiple parking resolution locations.
- Performed 100% of quarterly traffic signal preventive maintenance.
- Transitioned to paperless, web-based advertising and downloading of plans, specifications, and estimates for contract bidding purposes.

Administration Division:

- Provided cost savings to the General Fund of \$1.6 million in FY 11-12 and will provide \$10.6 million over the next 8 years. These savings will be realized by: waste collection at County unincorporated facilities at no cost to the general fund, Measure "A" maintenance of effort reduction, purchased and assumed debt from the general fund for the 2nd floor of the Naomi Schwartz Building, and assumed the debt for the Betteravia Government Center.
- Active participation in the County Engineers Association of California (CEAC). Currently hold the following offices: CEAC Secretary, Director CEAC South Central Coast Region and Chair Scholarship/Engineer of the Year/Surveyor of the Year Committee.
- Reduced its need to request proposals for professional services by implementing a Master Service Agreement program to reduce process time and costs.
- Completed the issuance of Qualified Energy Conservation Bonds for the development of a 1 Megawatt solar system at Laguna Sanitation District.
- Completed the audit of the \$25 million in Prop 50 funds which includes stronger controls over the project partners to include the Auditor/Controller's review prior to invoicing the state.

PUBLIC WORKS

Department Summary (cont'd)

2011-12 Anticipated Accomplishments (cont.)

County Surveyor's Division:

- Prepared new high quality maps of each District for public distribution after Board approved redistricting.
- Completed the reorganization of the division to contain only one Assistant County Surveyor to match revenues to expenses.
- Successfully transitioned its Surveyor Information System (an interactive web based map search) from internal county servers to an external cloud based solution.

Department Awards Received:

- National Association of County Officials (NACO) Achievement Award – Palomino Road Re-Build Using Shredded Tires
- Floodplain Managers Association – Andy Lee Award for Excellence in Floodplain Management
- County Supervisors Association of California (CSAC) Merit Award – Road Maintenance Annual Plan (RdMAP)

2012-14 Objectives

The Public Works Department's strategic actions are primarily aligned with the following adopted general goals and principles:

Goal 1: An efficient government able to anticipate and respond effectively to the needs of the community.

Goal 2: A safe and healthy community in which to live, work and visit.

Goal 3: A strong professionally managed County organization.

Water Resources Division:

- Complete 2012 Annual Maintenance Plan as approved by the Board.
- Negotiate a new 5 year Non Point Discharge Elimination System (NPDES) Stormwater Permit.
- Construct Phase 2B of the Lower Mission Creek Box Culvert through the City Railroad Depot.
- Initiate final design of the Las Vegas / San Pedro Creeks Project in the Goleta area in cooperation with the Cities of Goleta, Santa Barbara, and Caltrans.

- Lead Integrated Regional Water Management Plan (IRWMP) update progress with partnering agencies.
- Continue Community Rating System (CRS) Flood Insurance Rating of Class 6.
- Secure new long term (5 year) maintenance permits from the Corp of Engineers and Regional Water Quality Control Board.

Resource Recovery & Utilities Division:

- Complete the Tajiguas Landfill Phase 3A Liner Project.
- Continued development of Resource Recovery Park Conversion Technology (CT) to include: initiation of environmental review and preparation of draft Joint Powers Authority.
- Fully implement regenerating water softener exchange program.
- Track the effectiveness of the Laguna Solar Project and market renewable energy credits.

Transportation Division:

- Develop long-term budget to maintain levels of service by balancing annual costs with reliable ongoing funding.
- Update RdMAP based on new district boundaries and revisit funding distribution formulas; provide information and recommendations on changes.
- Deliver the Goleta Transportation Improvement Plan update in calendar year 2012, commence the Orcutt Transportation Improvement Plan update.
- Update disaster response procedures based on new policies from Federal Emergency Management Agency and Federal Highway Administration.

Administration Division:

- Implement revised Public Works policies and procedures and monitor performance.
- Achieve full cost recovery in all Public Works fees charged.
- Provide Public Works financial information to meet new budget format.
- Assist in the closeout of the Redevelopment Development Agency and complete Indirect Cost Rate Proposal for Caltrans.
- Resolve the organizational issues associated with the disaster recovery vacancy.

County Surveyor's Division:

- Maintain core level of services and implement upcoming personnel changes.
- Complete Flood Control and Transportation Right of Way Applications.
- Complete map books and implement new Local Agency Formation Commission Coordination Process.

Changes and Operational Impact: 2011-12 Adopted to 2012-13 Recommended

Staffing

There will be a decrease of 6.9 FTEs from the 2011-12 Adopted budget to the 2012-13 Recommended budget. 5.9 vacant and unfunded FTEs will not be filled due to business efficiencies. These reductions were primarily in development support, disaster recovery, and geographical information services in the Surveyor’s Division and maintenance work force in the Transportation Division. An additional 1.0 FTE in real estate services is not vacant and could be subject to displacement due to funding reductions.

Expenditures

Net decrease of \$5.9 million. This 5.9% decrease is primarily the result of:

- –\$3,173,000 – Decrease in Federal funding related to Congressman Gallegly road paving projects;
- –\$2,675,000 – Decrease in transportation projects including reduction in scrub/micro project, El Embarcadero Road, Summerland Phase II and various bridge projects;
- –\$1,568,000 – Decrease in salaries & benefits due to union concessions & reductions in permanent staffing;
- –\$850,000 – Decrease in closure/post closure costs for Tajiguas landfill;
- –\$255,000 – Decrease in Cuyama Groundwater Study & Prop 84 management costs;
- +\$373,000 – Increase in debt service for Laguna County Sanitary District for it’s solar project;
- +\$735,000 – Increase in administrative, labor and maintenance charges between roads, capital projects and various flood zones;
- +\$1,642,000 – Increase due to second phase of Tajiguas Landfill liner closure.

Revenues

Net decrease of \$2.8 million. This 5.9% decrease is primarily the result of:

- –\$2,171,000 – Decrease in Federal funding related to Congressman Gallegly road paving projects;
- –\$1,624,000 – Decrease in related to Prop 1B Transportation Bond Program state funding for capital projects;
- –\$1,029,000 – Decrease in federal transportation funding for various capital projects such as the Santa Rosa Road Bridge and Jalama Road Slope Repair;
- –\$941,000 – Decrease in redevelopment funding for road improvements in Isla Vista;
- –\$721,000 – Decrease in miscellaneous revenues due to reductions in the sale of green wastes;
- –\$435,000 – Decrease in payments for Department of Water Resources planning grants; projects and various flood zones;
- –\$393,000 – Decrease in interest earnings due to decreased cash balances;
- +\$735,000 – Increase in administrative, labor and maintenance charges between roads, capital and flood;
- +\$1,750,000 – Increase in contribution from City of Santa Maria for the levee project;
- +\$2,079,000 – Increased landfill tipping fees and wastewater fees.

PUBLIC WORKS

Department Summary (cont'd)

Other Financing Sources and Uses:

Both other financing sources and uses include an approximate million dollar increase between the flood district and its zones for capital improvements such as the Santa Maria Levee and Santa Barbara Mission Creek. The Fiscal Year 2012-13 recommended departmental financing sources require the use of \$20,168,021 of departmental restricted funds to balance the recommended budget, primarily for capital projects.

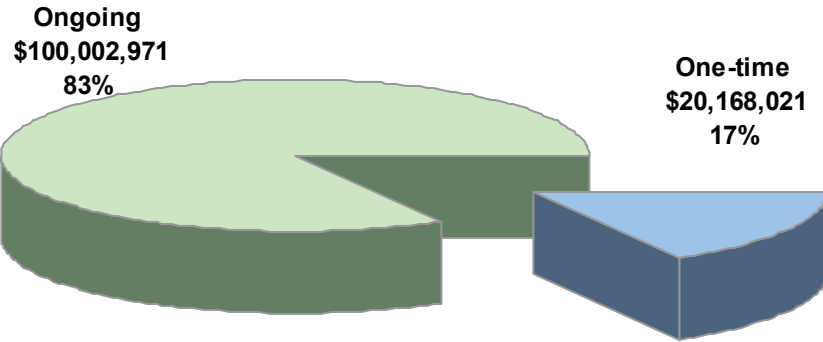
Changes and Operational Impact: 2012-13 to 2013-14 Proposed

The Fiscal Year 2012-13 recommended departmental contribution of general revenues remained unchanged at \$2.7 million from the Fiscal Year 2011-12 Adopted Budget. Public Works receives General Fund revenue for Surveyor’s Office (\$454,723), underground utility support (\$16,000), transportation Measure maintenance of effort (\$1,631,218) and Project Clean Water (\$594,183). The Department is planning on receiving \$500,000 in General Fund designations for Transportation. Changes are anticipated, but the impacts cannot be estimated or projected at this time due to a number of unknown factors. Possible operation impacts to revenue include: 1) Federal reductions in funding for transportation and water resources projects; 2) State revenue reductions in funding for transportation and water resources operations and projects due to the State’s budget deficit and 3) Changes in demand for services. Salaries and Benefits are projected to increase by \$1.7 million.

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
Percent of planned flood control maintenance projects identified in the Annual Maintenance Plan.	99%	98%	95%	95%
Percent of the County’s overall waste diversion (recycling) as determined by the State of California.	62%	68%	68%	68%
Percent of Record of Surveys & Corner Records project reviews completed in 20 days or less.	57%	100%	100%	100%
Maintain a Pavement Condition Index (PCI) of 65 or better on the 1667 lane miles within the County maintained system.	65	65	65	65
Percentage of Department workforce "lost time".	5.0%	5.5%	5.5%	5.5%

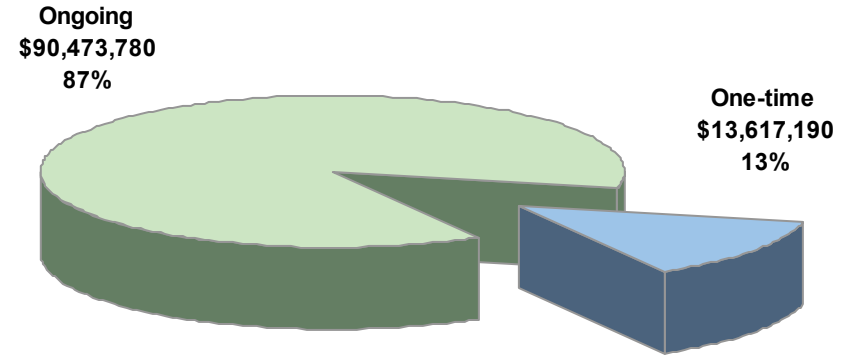
PUBLIC WORKS
Department Summary (cont'd)

FY 2012-13 Recommended Budget



The FY 2012-13 Recommended Budget relies on one-time sources to fund 17% of the department's ongoing operations. This one-time funding provides \$3.1 million for depreciation as well as budgeting for capital projects, which include \$6.0 million for Mission Creek Improvement Project, \$4.0 million for San Jose Creek Improvement, \$3.5 million from transportation designations for capital projects, and \$6.5 million from Resource Recovery designations for liner closure of Tajiguas Landfill and mitigations on Baron Ranch. These funds allowed the department to maintain a higher level of service than would otherwise have been possible; however, because these funds are one-time in nature, they may not be available to fund operations in FY 2013-14.

FY 2013-14 Proposed Budget



To maintain 2013-14 service levels, reductions will be required in transportation capital maintenance and capital improvement projects. With these planned reductions, Public Works use of fund balance is estimated to be \$13,617,190, primarily used for capital projects. Because these funds are one-time in nature, they may not be available to fund capital replacement/improvements in future years.