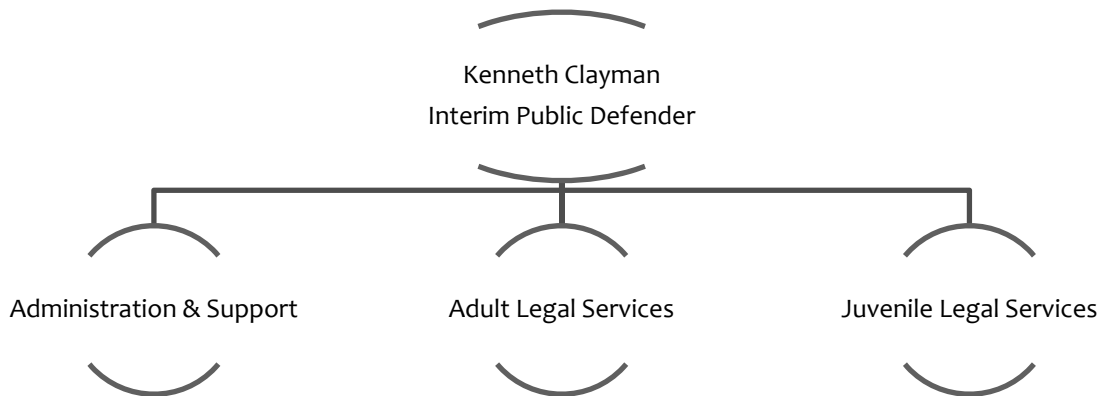


# Public Defender



## BUDGET & FULL-TIME EQUIVALENTS SUMMARY & BUDGET PROGRAMS CHART

<b>Operating</b>	<b>\$ 11,351,800</b>
<b>Capital</b>	<b>\$ -</b>
<b>FTEs</b>	<b>67.5</b>



# Public Defender

## Department

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### *MISSION STATEMENT*

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To provide constitutionally mandated professional legal representation of the highest quality to all clients at the least expense to the County in an environment that motivates and enables all employees to share this mission.

### *DEPARTMENT DESCRIPTION*

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The Santa Barbara County Public Defender's Office helps the County meet its obligation to provide effective and capable representation to County residents who cannot afford to hire a lawyer. The law requires the Public Defender:

- Defend adults charged with crimes triable in the Superior Court;
- Defend persons charged with Death Penalty crimes;
- Defend minors in the Juvenile Court;
- Defend persons charged with Contempt of Court;
- Protect County residents who can no longer care for themselves for reasons such as: physically disabled, suffer from mental illness, Alzheimer's, or dementia;
- Go to Court on behalf of persons claiming to be held unlawfully in jail or prison, and on behalf of persons held in mental health facilities.

Each day, the Public Defender appears in 15 different courtrooms in our Santa Barbara, Santa Maria, and Lompoc Superior Courts.

### *HIGHLIGHTS OF 2016-18 OBJECTIVES*

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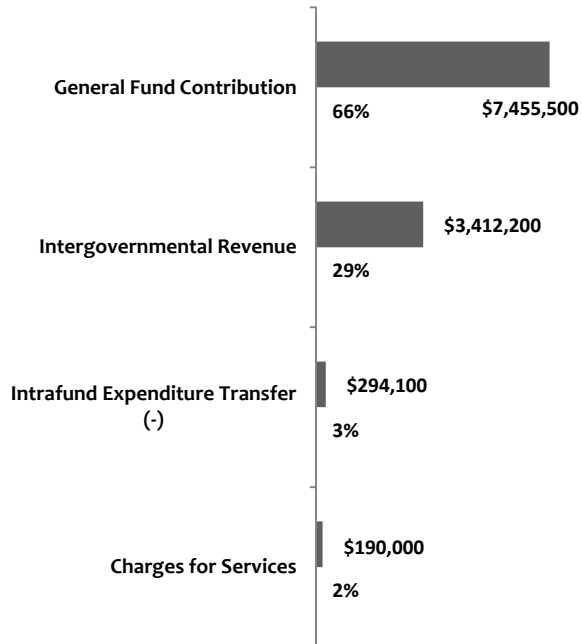
- Represent court-appointed clients in all matters where there is no legal impediment to doing so
- Process all resentencing petitions for Proposition 47 applicants expeditiously
- Implement our new case management system and integrate it with the systems used by our other justice partners
- Collaborate with the Community Corrections Partnership, the Juvenile Justice Coordinating Council, and community providers to improve the functioning of our Adult and Juvenile justice systems
- Process almost 300 new expungements, facilitating clients' finding employment and housing
- Accept a grant through the Santa Barbara Family Services Agency to provide holistic services to certain Public Defender clients

# Public Defender

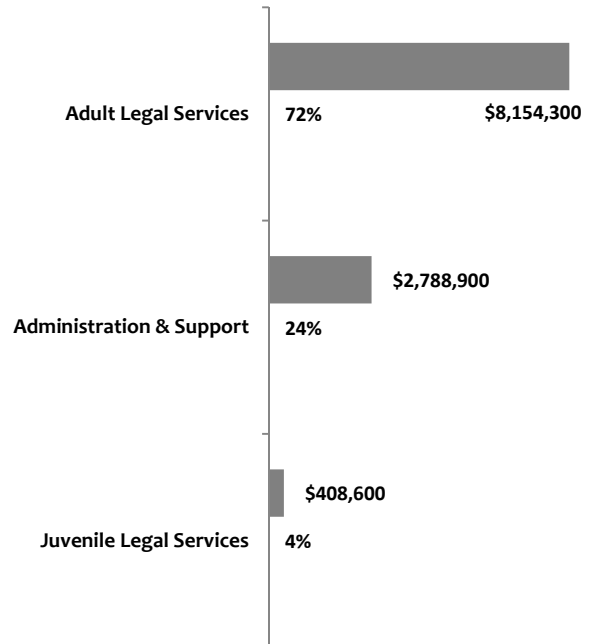
Department

## RECOMMENDED SOURCES & USES OF FUNDS

### Source of Funds - \$11,351,800

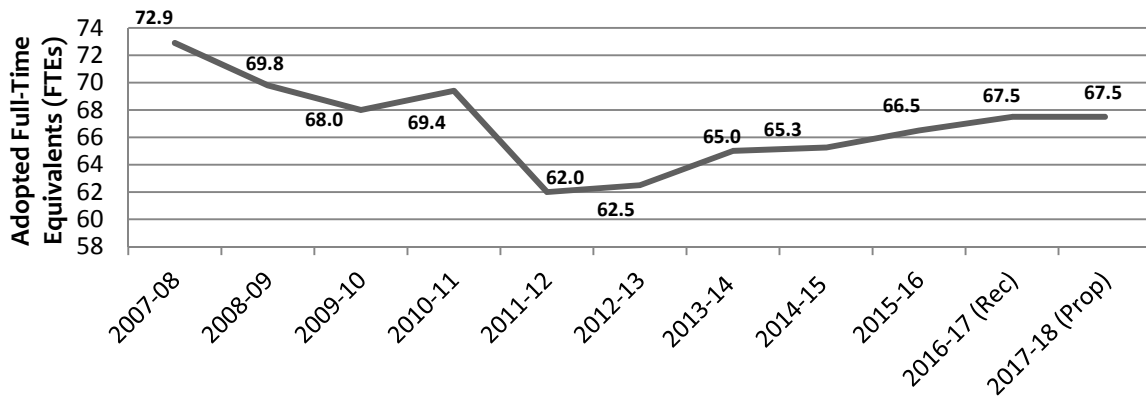


### Use of Funds - \$11,351,800



## STAFFING TREND

The staffing trend values will differ from prior year budget books in order to show amounts without the impact of any vacancy factors.



# Public Defender

## Department

### BUDGET OVERVIEW

Staffing Detail By Budget Program	2014-15 Actual	2015-16 Adopted	Change from FY15-16 Ado to FY16-17 Rec	2016-17 Recommended	2017-18 Proposed
Administration & Support	24.04	20.80	0.20	21.00	21.00
Adult Legal Services	42.94	43.70	0.80	44.50	44.50
Juvenile Legal Services	2.09	2.00	-	2.00	2.00
<b>Total</b>	<b>69.07</b>	<b>66.50</b>	<b>1.00</b>	<b>67.50</b>	<b>67.50</b>
<hr/>					
<b>Budget By Budget Program</b>					
Administration & Support	\$ 2,454,247	\$ 2,696,516	\$ 92,397	\$ 2,788,913	\$ 2,894,917
Adult Legal Services	7,587,721	7,904,968	249,311	8,154,279	8,560,591
Juvenile Legal Services	480,807	442,815	(34,213)	408,602	430,130
<b>Total</b>	<b>\$ 10,522,775</b>	<b>\$ 11,044,299</b>	<b>\$ 307,495</b>	<b>\$ 11,351,794</b>	<b>\$ 11,885,638</b>
<hr/>					
<b>Budget By Categories of Expenditures</b>					
Salaries and Employee Benefits	\$ 9,712,588	\$ 10,296,724	\$ 241,252	\$ 10,537,976	\$ 11,055,205
Services and Supplies	468,959	421,255	13,086	434,341	438,090
Other Charges	341,228	326,320	53,157	379,477	392,343
<b>Total Operating Expenditures</b>	<b>10,522,775</b>	<b>11,044,299</b>	<b>307,495</b>	<b>11,351,794</b>	<b>11,885,638</b>
Fund Balance Impact (+)	0	-	-	-	-
<b>Total</b>	<b>\$ 10,522,775</b>	<b>\$ 11,044,299</b>	<b>\$ 307,495</b>	<b>\$ 11,351,794</b>	<b>\$ 11,885,638</b>
<hr/>					
<b>Budget By Categories of Revenues</b>					
Intergovernmental Revenue	\$ 3,243,254	\$ 3,390,299	\$ 21,901	\$ 3,412,200	\$ 3,547,100
Charges for Services	170,094	185,716	4,284	190,000	193,800
<b>Total Operating Revenues</b>	<b>3,413,348</b>	<b>3,576,015</b>	<b>26,185</b>	<b>3,602,200</b>	<b>3,740,900</b>
Intrafund Expenditure Transfers (-)	182,001	225,984	68,110	294,094	294,094
Decreases to Fund Balances	98,726	-	-	-	-
General Fund Contribution	6,828,700	7,242,300	213,200	7,455,500	7,706,300
Fund Balance Impact (-)	-	-	-	-	144,344
<b>Total</b>	<b>\$ 10,522,775</b>	<b>\$ 11,044,299</b>	<b>\$ 307,495</b>	<b>\$ 11,351,794</b>	<b>\$ 11,885,638</b>

# Public Defender

## Department

### *CHANGES & OPERATIONAL IMPACT: 2015-16 ADOPTED TO 2016-17 RECOMMENDED*

#### **Staffing**

- Increase of 1.0 FTEs:
  - This increase is due to a 1.0 AB 109 extra help position fully funded by non-GFC sources that will transport clients to rehabilitation programs throughout the state.

#### **Expenditures**

- Net operating expenditure increase of \$307,500:
  - +\$241,300 increase in Salaries and Employee Benefits primarily due to negotiated labor agreements and an increase in benefit costs.
  - +\$13,100 increase in Services and Supplies for miscellaneous costs.
  - +\$53,200 increase in Other Charges for miscellaneous costs.

These changes result in recommended operating expenditures of \$11,351,800, non-operating expenditures of \$0, and total expenditures of \$11,351,800.

#### **Revenues**

- Net operating revenue increase of \$26,200 due to:
  - +\$21,900 increase in Intergovernmental Revenue from Proposition 172 Sales Tax Revenues.
  - +\$4,300 increase in Charges for Services due to an increase in the collection of court-ordered Public Defender Fees.
- Net non-operating revenue increase of \$281,300 due to:
  - +\$68,100 increase in Intrafund Expenditure Transfers due to AB 109 funding.
  - +\$213,200 increase in General Fund Contribution for Salary and Benefit increases.

These changes result in recommended operating revenues of \$3,602,200, non-operating revenues of \$7,749,600, and total revenues of \$11,351,800. Non-operating revenues include General Fund Contribution and intra-fund transfers.

# Public Defender

## Department

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### *CHANGES & OPERATIONAL IMPACT: 2016-17 RECOMMENDED TO 2017-18 PROPOSED*

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#### **Staffing**

- No change in staffing is proposed in FY 2016-17

#### **Expenditures**

- Net operating expenditure increase of \$533,800:
  - +\$517,200 increase in Salaries and Employee Benefits due to negotiated labor agreements and an increase in benefit costs.
  - +\$3,700 increase in Services and Supplies for miscellaneous costs.
  - +\$12,900 increase in Other Charges for miscellaneous costs.

#### **Revenues**

- Net operating revenue increase of \$138,700:
  - +\$134,900 increase in Intergovernmental Revenue due to increases in Proposition 172 Sales Tax Revenues.
  - +\$3,800 increase in Charges for Services due to increases in Public Defender Fees.
- Net non-operating revenue increase of \$250,800:
  - \$250,800 increase in General Fund Contribution for Salary and Benefit increases.

These changes result in a \$144,300 budget deficit, assuming the same level of service as recommended in FY 2016-17.

### *RELATED LINKS*

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For more information on Public Defender, refer to the website at [www.countyofsb.org/defender](http://www.countyofsb.org/defender).

# Public Defender

## Department

### PERFORMANCE MEASURES

Description	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Estimated Actual	FY 2016-17 Recommend	FY 2017-18 Proposed
<b>Administration &amp; Support</b>					
Combat California drought conditions by aggressively recycling material, saving at least 100,000 verifiable gallons of water per year	106,930	116,606	127,800	125,000	125,000
Percent of departmental Employee Performance Reviews (EPRs) completed by the due date	Not Used in Prior Years	50% (33/66)	50% (33/66)	100% (67/67)	100% (67/67)
<b>Adult Legal Services</b>					
Percentage of clients requesting records' clearing whose petitions will be acted upon within 30 days of the request (Target = >75%)	Data Not Recorded in Prior Years	100% (209 requested, 209 opened)	100% (294 requested, 294 opened)	100% (300 requested, 300 opened)	100% (300 requested, 300 opened)
Percent of patients in the County's Psychiatric Health Facility visited for their statutory access to Court (Target = 100%)	Data Not Recorded in Prior Years	100% (109 Clients)	100% (146 Clients)	100% (145 Clients)	100% (145 Clients)
Percent of new felony cases resolved within the current Fiscal Year (Target = >70%)	Data Not Recorded in Prior Years	76% (2547 Opened and 1936 Closed)	79% (1867 Opened and 1470 Closed)	70% (1900 Opened and 1330 Closed)	70% (1900 Opened and 1330 Closed)
Percent of new misdemeanor cases resolved within the current Fiscal Year (Target = >80%)	Data Not Recorded in Prior Years	78% (7971 Opened and 6186 Closed)	90% (9354 Opened and 8398 Closed)	80% (9000 Opened and 7200 Closed)	80% (9000 Opened and 7200 Closed)
<b>Juvenile Legal Services</b>					
Percent of new juvenile criminal filings resolved within the current Fiscal Year (Target = >70%)	Data Not Recorded in Prior Years	69% (706 Opened and 486 Closed)	65% (770 Opened and 501 Closed)	70% (750 Opened and 525 Closed)	70% (750 Opened and 525 Closed)

# Public Defender

## Program

### ADMINISTRATION & SUPPORT

This program provides oversight, direction, and office support to our department's attorneys, as well as interacting with clients contacting our office.

#### Staffing

Staffing Detail By Budget Program	2014-15 Actual	2015-16 Adopted	Change from FY15-16 Ado to FY16-17 Rec	2016-17 Recommended	2017-18 Proposed
PUBLIC DEFENDER	0.94	0.80	0.20	1.00	1.00
DEP PUBLIC DEFENDER	1.00	-	-	-	-
BUSINESS MANAGER	1.00	1.00	-	1.00	1.00
FINANCIAL OFFICE PRO	1.00	1.00	-	1.00	1.00
LEGAL OFFICE PRO	8.69	8.00	-	8.00	8.00
COMPUTER SYSTEMS SPEC	1.00	1.00	-	1.00	1.00
EXECUTIVE SECRETARY	1.00	1.00	-	1.00	1.00
LEGAL OFFICE PRO SR	5.42	8.00	-	8.00	8.00
EXTRA HELP	3.99	-	-	-	-
Total	24.04	20.80	0.20	21.00	21.00

#### Revenue & Expenditures

Budget By Categories of Expenditures	2014-15 Actual	2015-16 Adopted	Change from FY15-16 Ado to FY16-17 Rec	2016-17 Recommended	2017-18 Proposed
Salaries and Employee Benefits	\$ 2,390,905	\$ 2,478,308	\$ 72,199	\$ 2,550,507	\$ 2,652,058
Services and Supplies	4,727	136,756	4,251	141,007	142,163
Other Charges	58,615	81,452	15,947	97,399	100,696
Total Operating Expenditures	2,454,247	2,696,516	92,397	2,788,913	2,894,917
Total Expenditures	\$ 2,454,247	\$ 2,696,516	\$ 92,397	\$ 2,788,913	\$ 2,894,917
<b>Budget By Categories of Revenues</b>					
Intergovernmental Revenue	-	821,097	(821,097)	-	-
Total Operating Revenues	-	821,097	(821,097)	-	-
General Fund Contribution	1,751,814	6,861,524	(4,072,611)	2,788,913	2,750,573
Total Revenues	\$ 1,751,814	\$ 7,682,621	\$ (4,893,708)	\$ 2,788,913	\$ 2,750,573



# Public Defender

## Program

### *ADMINISTRATION & SUPPORT (CONT'D)*

#### **2015-16 Anticipated Accomplishments**

- Continued collaboration with the Community Corrections Partnership and the Juvenile Justice Coordinating Council to improve the functioning of our Adult and Juvenile Justice Systems.
- Continued participation and collaboration with local agencies and groups working to improve our Justice System: Fighting Back, Superior Court Therapeutic Court Core Committees, Day Reporting Center Board, Superior Court Criminal Justice Coordinating Committee, Results First Group, and Transitions from Jail to the Community Advisory Group.
- Selected a vendor and signed a contract for replacement of our outdated case management system (CMS). Initiated implementation of new CMS.
- Twenty three support staff volunteers were provided valuable training and experience. This helped mitigate the financial challenges faced by the Department through providing valuable resources at no additional cost to the County.

#### **2016-18 Objectives**

- Finalize installation of CMS and leverage operational efficiencies through its usage.
- Continue use of volunteers to meet non-core functions, freeing support staff to focus on higher-priority matters.
- Continue successful implementation of 2011 Criminal Justice Realignment (AB 109) through collaboration with the Courts, Sheriff, Probation and defense attorneys to find and place clients in appropriate alternative sentencing programs. Through this collaboration, each agency is able to serve a different segment of the jail population to achieve the best outcome for individual clients.
- Expansion of Proposition 47 outreach to ensure all persons eligible for Proposition 47 relief are identified and appropriately processed through the system.

# Public Defender

## Program

### ADULT LEGAL SERVICES

This program provides in-court representation to clients appearing in the Superior Court when their life or liberty is at stake, as well as investigative services for these clients, and alternative sentencing options as required for these clients.

### Staffing

Staffing Detail By Budget Program	2014-15 Actual	2015-16 Adopted	Change from FY15-16 Ado to FY16-17 Rec	2016-17 Recommended	2017-18 Proposed
PUBLIC DEFENDER	0.06	0.20	(0.20)	-	-
CHIEF DEPUTY	3.00	3.00	-	3.00	3.00
DEP PUBLIC DEFENDER SR	3.00	5.00	-	5.00	5.00
DEP PUBLIC DEFENDER	24.57	25.00	-	25.00	25.00
PD INVESTIGATOR	1.00	1.00	-	1.00	1.00
LEGAL OFFICE PRO	1.00	1.00	-	1.00	1.00
PUBLIC DEFENDER INVEST	6.00	6.00	-	6.00	6.00
SOCIAL SVCS WORKER SR	-	2.00	(2.00)	-	-
SOCIAL SERVICES WORKER	-	-	2.00	2.00	2.00
EXTRA HELP	4.31	0.50	1.00	1.50	1.50
Total	42.94	43.70	0.80	44.50	44.50

### Revenue & Expenditures

Budget By Categories of Expenditures	2014-15 Actual	2015-16 Adopted	Change from FY15-16 Ado to FY16-17 Rec	2016-17 Recommended	2017-18 Proposed
Salaries and Employee Benefits	\$ 6,889,529	\$ 7,430,420	\$ 201,450	\$ 7,631,870	\$ 8,025,686
Services and Supplies	461,755	242,727	10,153	252,880	256,233
Other Charges	236,437	231,821	37,708	269,529	278,672
Total Operating Expenditures	7,587,721	7,904,968	249,311	8,154,279	8,560,591
Total Expenditures	\$ 7,587,721	\$ 7,904,968	\$ 249,311	\$ 8,154,279	\$ 8,560,591
<b>Budget By Categories of Revenues</b>					
Intergovernmental Revenue	3,243,254	2,438,968	973,232	3,412,200	3,547,100
Charges for Services	170,094	175,820	5,727	181,547	185,178
Total Operating Revenues	3,413,348	2,614,788	978,959	3,593,747	3,732,278
Intrafund Expenditure Transfers (-)	182,001	225,984	68,110	294,094	294,094
Decreases to Fund Balances	98,726	-	-	-	-
General Fund Contribution	5,076,886	380,776	3,885,662	4,266,438	4,534,219
Total Revenues	\$ 8,770,961	\$ 3,221,548	\$ 4,932,731	\$ 8,154,279	\$ 8,560,591

# Public Defender

## Program

### *ADULT LEGAL SERVICES (CONT'D)*

#### **2015-16 Anticipated Accomplishments**

- Represented clients in over 23,000 matters brought to the Superior Court with no declaration of unavailability.
- Resolved the majority of new felonies opened this year (79%) as well as new misdemeanor cases opened this year (90%).
- Continued to process over 1,700 Proposition 47 applications, passed by California votes in November 2014, reducing many nonviolent felonies to misdemeanors.
- Continued using volunteer attorneys and interns, where appropriate. Two attorneys or aspiring attorneys were provided valuable training and experience. This helped mitigate the financial challenges faced by the Department through providing valuable resources at no additional cost to the County.
- Five investigative interns, subsidized by a local business college, were provided valuable investigation training and made a substantial contribution towards relieving the Public Defender's investigative workload.
- Helped 288 in-custody clients locate alternatives to incarceration through the efforts of our Rehabilitation Services Coordinators by finding residential treatment facilities, sober living housing, or other programs and thereby reducing jail overcrowding.
- Worked with Justice Partners to accommodate increased workload to justice agencies accompanying the transfer of parole revocation hearings to the Courts as of July 1, 2013.

#### **2016-18 Objectives**

- Expand the Volunteer Attorney program to enable staff attorneys to focus on higher-priority core tasks.
- Investigate creation of a post-Bar fellowship program to provide additional in-depth support to the attorneys on a cost-effective basis.
- Expand use of outside speakers and experts on various legal, ethical, and trial practices topics to provide high quality training to the attorney staff at minimal cost to the County.
- Continue partnership with other Public Defender Offices to participate in web-based legal education and to require attorneys within the office to provide continuing legal education to other attorneys in our office on a regular basis.
- Continue to collaborate with the Courts, Mental Health, Probation, Sheriff, District Attorney, and various community organizations to improve the functioning of the Therapeutic Courts. These court programs have proven to be successful at rehabilitation, reducing recidivism, enhancing public safety, and helping individuals to become productive members of the community. This helps reduce jail overcrowding and saves the County money.

# Public Defender

## Program

### JUVENILE LEGAL SERVICES

This program provides representation to minors charged with felonies or misdemeanors in the Juvenile Court.

#### Staffing

Staffing Detail By Budget Program	2014-15 Actual	2015-16 Adopted	Change from FY15-16 Ado to FY16-17 Rec	2016-17 Recommended	2017-18 Proposed
DEP PUBLIC DEFENDER	2.09	2.00	-	2.00	2.00
Total	2.09	2.00	-	2.00	2.00

#### Revenue & Expenditures

Budget By Categories of Expenditures	2014-15 Actual	2015-16 Adopted	Change from FY15-16 Ado to FY16-17 Rec	2016-17 Recommended	2017-18 Proposed
Salaries and Employee Benefits	\$ 432,154	\$ 387,996	\$ (32,397)	\$ 355,599	\$ 377,461
Services and Supplies	2,477	41,772	(1,318)	40,454	39,694
Other Charges	46,176	13,047	(498)	12,549	12,975
Total Operating Expenditures	480,807	442,815	(34,213)	408,602	430,130
Total Expenditures	\$ 480,807	\$ 442,815	\$ (34,213)	\$ 408,602	\$ 430,130
<b>Budget By Categories of Revenues</b>					
Intergovernmental Revenue	-	130,234	(130,234)	-	-
Charges for Services	-	9,896	(1,443)	8,453	8,622
Total Operating Revenues	-	140,130	(131,677)	8,453	8,622
General Fund Contribution	-	-	400,149	400,149	421,508
Total Revenues	\$ -	\$ 140,130	\$ 268,472	\$ 408,602	\$ 430,130

#### 2015-16 Anticipated Accomplishments

- Represented juvenile clients in over 1,300 matters brought to the Superior Court.
- Assisted juvenile clients in sealing their records once probation was successfully completed.
- Public Defender attorneys have volunteered to serve as judges for the Teen Court and as Board Members for the Teen Court Advisory Board.

#### 2016-18 Objectives

- Ensure compliance with new statutes regarding increased training and education for attorneys assigned to Juvenile and proper representation of juveniles through the “post dispositional phase.”
- Work with school districts to identify areas where outcomes in the juvenile court can be improved by increased collaboration between the two agencies.
- Work with the Truancy Program to encourage both minors and parents to recognize the importance of participating in school programs.