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State Auditor
Doug Cordiner
Chief Deputy

CALIFORNIA STATE AUDITOR

Bureau of State Audits

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September 12, 2012

2012-041

Stephanie K. Shimazu, Chair
California Gambling Control Commission
2399 Gateway Oaks Drive, Suite 220
Sacramento, California 95833

Dear Ms. Shimazu:

The California Government Code, Section 8548.9 requires the California State Auditor (state auditor) to produce an annual report regarding recommendations of the state auditor that state agencies have not fully implemented within a year of issuance. According to our review of the California Gambling Control Commission's latest progress report to the state auditor, your agency has not fully implemented some recommendation(s) that were issued more than a year ago. For your convenience, we have enclosed these recommendation(s). As required by the California Government Code, Section 8548.9, we request that for each recommendation your agency either:

1. Provide a written report to the state auditor, the Senate and Assembly policy committees and budget subcommittees overseeing your agency, and the Department of Finance, explaining why your agency has not fully implemented the recommendation(s), or
2. Notify the state auditor, the Senate and Assembly policy committees and budget subcommittees overseeing your agency, and the Department of Finance indicating that your agency will begin or continue implementing the recommendation(s) within 90 days, and provide an estimated date by which the recommendation(s) will be fully implemented.

In responding to the State Auditor, we request that your agency complete the enclosed "Update on Recommendation That Is Not Fully Implemented" form and provide the documentary support requested in the form, even if your agency believes that it has already fully implemented a recommendation. The form is provided in Microsoft Word format at <http://www.auditor.ca.gov/SB1452.doc>. We request that your agency complete one form for each identified recommendation. Please mail your completed form(s) and documentary support to the following address: Attention—Sarah Bragonje, California State Auditor, 555 Capitol Mall, Suite 300, Sacramento, CA 95814. Also, to facilitate the uploading of your response(s) to the annual report, please email the completed form(s), in Microsoft Word format, to the following email address: sarahbr@auditor.ca.gov.

As provided in the California Government Code, Section 8546.2, state agencies must respond to this request for information in the form and at intervals prescribed by the state auditor. Therefore, please provide your response(s) in the form prescribed in this letter **within 10 business days from the date your agency receives this letter**, or no later than September 26, 2012. If you have any questions, please contact Sarah Bragonje at (916) 445-0255, extension 365.

Sincerely,



ELAINE M. HOWLE, CPA
State Auditor

Enclosures

cc: Chandra Wallar, County Executive Officer, County of Santa Barbara
Tina Littleton, Executive Director

2012 SEP 14 PM 1:35
 ROUTE 100
 MAIL ROOM

California State Auditor

List of Recommendation(s) That Are Not Fully Implemented

County of Santa Barbara, 2010-036, February 2011

Indian Gaming Special Distribution Fund: Local Governments Continue to Have Difficulty Justifying Distribution Fund Grants

As of its most recent progress report to the state auditor, the California Gambling Control Commission had not fully implemented the following recommendation(s) contained within the above referenced audit report:

- **Recommendation No. 1**—Recommendation 1.4.a—See pages 21—25 of the audit report for information on the related finding. To help ensure that they meet the grant requirements established in the California Government Code, counties should require that the county auditor review each grant application to ensure a rigorous analysis of a casino’s impact and of the proportion of funding for the project provided by the grant. Benefit committees should consider a grant application only when the county auditor certifies that the applicant has quantified the impact of the casino and verifies that the grant funds requested will be proportional to the casino’s impact.
- **Recommendation No. 2**—Recommendation 1.4.c—See pages 24 and 25 of the audit report for information on the related finding. To help ensure that they meet the grant requirements established in the California Government Code, counties should more rigorously review applications that are to be administered and spent by an entity other than the local government that applies for the funds. Specifically, benefit committees should require that each grant application clearly show how the grant will mitigate the impact of the casino on the applicant agency.
- **Recommendation No. 3**—Recommendation 1.4.d—See pages 28—30 of the audit report for information on the related finding. To help ensure that they meet the grant requirements established in the California Government Code, counties should ensure that eligible cities and counties receive the proportional share of funding they are set aside according to the nexus test by making the governments aware of available distribution fund grants and of the minimum grant amounts that are set aside for them under the nexus test.
- **Recommendation No. 4**—Recommendation 1.4.e—See pages 28—30 of the audit report for information on the related finding. To help ensure that they meet the grant requirements established in the California Government Code, counties should encourage eligible local governments to submit multiple applications so that the benefit committees can choose appropriate projects while ensuring that local governments are awarded the amount defined in law.
- **Recommendation No. 5**—Recommendation 1.4.f—See pages 31—34 of the audit report for information on the related finding. To help ensure that they meet the grant requirements established in the California Government Code, counties should require benefit committee filing officers to avail themselves of the free training provided by the Fair Political Practices Commission (FPPC) so that the filing officers are aware of and meet their responsibilities under the Political Reform Act of 1974. Counties should also adhere to FPPC guidelines for notifying filers of the need to submit statements of economic interests.
- **Recommendation No. 6**—Recommendation 1.4.g—See pages 32 and 33 of the audit report for information on the related finding. To help ensure that they meet the grant requirements established in the California Government Code, counties should ensure that benefit committees’ conflict-of-interest codes comply with the political reform act by reviewing the act and their codes, and changing the codes as necessary to meet the act’s requirements.

For each recommendation listed above, please complete a separate “Update on Recommendation That Is Not Fully Implemented” form (see attached form). For a form in Microsoft Word format, please go to <http://www.auditor.ca.gov/SB1452.doc>.

Please refer to the 2012-041 *Recommendations Not Fully Implemented After One Year* report online at www.auditor.ca.gov/reports for context, your prior response(s) regarding the recommendation(s), and the status of recommendation(s) as of January 2012.

County Of Santa Barbara

Chandra L. Wallar
County Executive Officer



105 East Anapamu Street, Room 406
Santa Barbara, California 93101
805-568-3400 • Fax 805-568-3414
www.countyofsb.org

Executive Office

October 22, 2012

California State Auditor
Bureau of State Audits
Attn.: Sarah Bragonje
555 Capitol Mall, Suite 300
Sacramento, CA 95814

sarabr@auditor.ca.gov

RE: Response to State Auditor: *Update on Recommendation That Is Not Fully Implemented*

Dear Ms. Bragonje,

This letter is in response to the letter from the California State Auditor dated September 12, 2012, regarding recommendations of the state auditor that state agencies have not fully implemented within a year of issuance. Attached please find the County of Santa Barbara's responses to the **State Auditor: *Update on Recommendation That Is Not Fully Implemented***. Per your request, the attached documents are being sent to you via email and the original documents are being mailed directly to you.

Thank you for the opportunity to report on this matter. If additional information is needed, please contact me at (805) 568-3107.

Sincerely,

Dennis Bozanich
Assistant to the County Executive Officer

Enclosures:

- *Audit Response #1*
- *Audit Response #2*
- *Audit Response #2-Attachment*
- *Audit Response #3*
- *Audit Response #3-SDF Nexus Criteria*
- *Audit Response #3-Notice of Availability of Funds*
- *Audit Response #4*
- *Audit Response #5*
- *Audit Response #6*

Update on Recommendation That Is Not Fully Implemented

Please complete a separate "Update on Recommendation That Is Not Fully Implemented" form for each recommendation.

Department Name: County of Santa Barbara

Report Number: 2010-036, February 2011

- 1) Which recommendation is addressed on this form? Please identify the specific recommendation number noted in the State Auditor's letter. 1(1.4a)
- 2) Has your agency fully implemented the recommendation? No
 If **Yes**, answer **only** questions 3 and 4 below.
 If **No**, answer **only** question 5 and other questions, as directed in question 5.
- 3) By what date did your agency fully implement this recommendation? _____
- 4) Explain how your agency has fully implemented the recommendation. Please also provide copies of any supporting documents or other evidence including, but not limited to, documents referenced in your explanation. _____

- 5) Does your agency intend to fully implement the recommendation? No
 If **Yes**, answer **only** questions 6 and 7 below.
 If **No**, answer **only** question 8 below.
- 6) By what date will your agency fully implement the recommendation? _____
- 7) Please describe your agency's plan for implementing the recommendation. _____

- 8) Provide your agency's reason(s) for not fully implementing the recommendation.

A more rigorous review of grant applications may be in order. Because counties are already reimbursed up to 2% of the amount awarded to administer grants, they may be better served by using these funds to reimburse the county Auditor-Controller to review the grant applications and certify those that quantify the casino's impact and fund projects in proportion to the casino's impact.

Santa Barbara County agrees in part with this recommendation. The County agrees that conducting additional review to insure that the grant applications quantify the impacts of the casino and that the funds requested are proportional to the impact. We do not believe that the 2% administrative cost reimbursement is sufficient to pay for the actual grant administration, administrative processing of applications and measuring impacts. Adding an additional review by the Auditor-Controller could not be cost justified within the 2% allowance.

Santa Barbara County Indian Gaming Local Community Benefit Committee

Grant Application FY 2011-12 - Funds to be distributed in 2012

\$736,752.40 Available to Award

To be considered for funding by the Indian Gaming Community Benefit Committee, the applying jurisdiction must be an eligible jurisdiction pursuant to Assembly Bill 158, and meet all the requirements as identified by the Santa Ynez Band of Chumash Indians and the Indian Gaming Local Community Benefit Committee. The Santa Ynez Band of Chumash Indians will forward applications and a list of sponsored applications to the Committee.

1. Name of Jurisdiction: **County of Santa Barbara**

Pursuant to AB 158, jurisdiction is defined as a City, County or Special District

a. Please indicate by checking the appropriate box the type of local jurisdiction:

City

County

Special District – “Special District” means an agency of the State, formed pursuant to general law or special act, for the local performance of governmental or proprietary functions within limited boundaries.

b. If you checked Special District, please provide evidence by indicating the general law or special act (California Code Section) that establishes your status as a Special District:

2. Mailing address of Jurisdiction: **4434 Calle Real, Santa Barbara, CA 93110**

3. Purpose of Grant / Description of Project:

- **The Santa Barbara Sheriff’s Office is requesting the Indian Gaming Local Community Benefit Committee to award this grant to reimburse for services already provided in FY2011/12 and restore full funding in order to continue to provide law enforcement services for FY 2012/13. The Sheriff’s Office is requesting funding from the grant and supplemental funding from the Santa Ynez Band of Chumash Indians to fully fund one “post position,” equivalent to five deputy sheriff positions, to mitigate the Casino’s impact on the community.**

PLEASE REFER TO ATTACHMENT “A” FOR FULL PROJECT DESCRIPTION

4. Please document, in detail, the Tribal casino and/or gaming impacts and describe how the request is proportional to the documented impacts which the grant or project is designed to mitigate: (Please include historical data, if available. Attach additional sheets, if necessary, to provide a detailed description of impacts).

REFER TO ATTACHMENT “B” FOR IMPACTS

Santa Barbara County Indian Gaming Local Community Benefit Committee

Grant Application FY 2011-12 - Funds to be distributed in 2012

\$736,752.40 Available to Award

5. The following are priorities, established in statute, for receipt of grant money. Please indicate by check mark, the priority(ies) the grant request satisfies:

- | | |
|---|---|
| <input checked="" type="checkbox"/> Law Enforcement | <input type="checkbox"/> Health |
| <input type="checkbox"/> Fire Services | <input type="checkbox"/> Planning and Adjacent Land Use |
| <input type="checkbox"/> Emergency Medical Services | <input type="checkbox"/> Public Health |
| <input type="checkbox"/> Environmental Impacts | <input type="checkbox"/> Roads |
| <input type="checkbox"/> Water Supplies | <input type="checkbox"/> Recreation and Youth Programs |
| <input type="checkbox"/> Waste Disposal | <input type="checkbox"/> Child Care Programs |
| <input type="checkbox"/> Behavioral | |

6. Jurisdiction Priority: **1** (Optional - If multiple applications are submitted a jurisdiction may want to indicate the relative priority of each application)

7. Request is for: Single-year grant

Multi-year grant for Fiscal Years: FY 2011/12 and FY 2012/13

8. What is the grant or project time frame? **July 1, 2011 to June 30, 2013**

9. Amount of funding requested through this application? **FY 2011/12 = \$736,752; FY 2012/13 = \$329,000**

10. What will be the total cost of the project? **\$1,065,752**

11. If ongoing costs, annual amount? **\$798,000 (estimated)**

12. Please provide funding history since FY 07-08:

REFER TO ATTACHMENT "C" FOR THE COMPLETE FUNDING HISTORY FOR LAW ENFORCEMENT SINCE INCEPTION OF GRANT

13. Name other sources of funding, if any, that will be contributed to the project and the amount provided by each source: **SEE ATTACHMENT A**

PROJECT DESCRIPTION ATTACHMENT A

REQUEST FULL FUNDING OF \$736,752

The purpose of this grant application to the Indian Gaming Community Benefit Committee is to request full funding for the reimbursement of law enforcement patrol services already provided during FY2011/12. Additionally, this application is requesting the restoration of full funding in FY2012/13 to provide for law enforcement services consisting of a "full post" which is one deputy sheriff, 24 hours a day/7 day a week, to mitigate the Casino's impact on the community.

The funding for full law enforcement patrol coverage is essential for the proper level of service in the geographical area commonly described as the Santa Ynez Valley. Within this area, there are several communities under the law enforcement jurisdiction of the Santa Barbara County Sheriff's Office. These communities include the Santa Ynez Band of Chumash Indians' Reservation and the surrounding unincorporated areas of Santa Barbara County, including Santa Ynez, Ballard, and Los Olivos. The proposed project does not impact the service levels provided to the two incorporated cities of Solvang and Buellton. The law enforcement service levels to these two cities are provided through contracts with the Santa Barbara County Sheriff's Office.

This application is a continuation of the multi-year grant beginning in 2005. In prior years, the Sheriff's Office was fully funded by the Indian Gaming Local Community Benefit Grant for the costs of one full post, or five plus (5.09) full-time sheriff's deputies, 24/7 coverage, in order to mitigate the impacts of the casino in and around the Santa Ynez Valley. In FY2010/11, the grant funding was suspended and the Sheriff's Office did not receive anticipated revenue of \$673,000 for services provided. In FY2011/12, in order to limit our financial exposure and not allow for a repeat of the loss of the expected revenue such as the prior year, we were forced to reduce our law enforcement service from a full 24/7 post to a limited schedule. When staffing permitted, we assigned a patrol deputy to a 12 hour "swing shift", seven days a week from 1400 hours to 0200 hours. This schedule was subject to available staffing levels and was not consistent throughout the year. Without this limited patrol coverage, service to the Santa Ynez Valley, which includes the Santa Ynez Band of Chumash Indians' Reservation and the surrounding unincorporated areas, would have been severely reduced. This limited staffing schedule provided much needed law enforcement services, however, it was neither consistent nor fully efficient.

Historically, the funding stream for this grant has been retroactive. It is problematic to provide a service without properly securing the budgetary resources to fund that service. In FY2010/11, due to a change in policy by the State of California affecting the Special Distribution Fund, the Sheriff's Office did not receive funding after providing for a full post that provided for 24/7 law enforcement coverage. The Sheriff's Office suffered a loss in excess of \$673,000. We can not afford to again sustain such a negative loss to our budget. The Sheriff's Office is proposing that we receive full funding from this year's grant and "roll over" the unused positive variance to the next fiscal year.

REQUEST SUPPLEMENTAL FUNDING OF \$329,243

In addition, we respectfully request additional supplemental funding from the Tribe to again return to a full staffing model of one post consisting of a deputy sheriff, 24/7. In effect, together with the Tribe, we will be proactive in our budgeting principles and be assured that the funding for the services provided in the upcoming fiscal year will be available. If the Sheriff's Office is awarded full funding from this year's grant (\$736,752), the additional amount necessary to fully fund a post for the next fiscal year will be \$329,243. Along with the roll over of this year's funds, the supplemental funding will allow the Sheriff's Office to return to prior staffing levels of one full post in FY 2012/13. Without the full grant award or supplemental funding, the Sheriff's Office will only be able to provide the level of service that is budgeted.

The resources allotted by this grant and the supplemental funding will be deployed specifically to the areas mentioned above. The Sheriff's Office would deploy the existing and augmented law enforcement resources in a manner that best targets crime trends and enhances public safety.

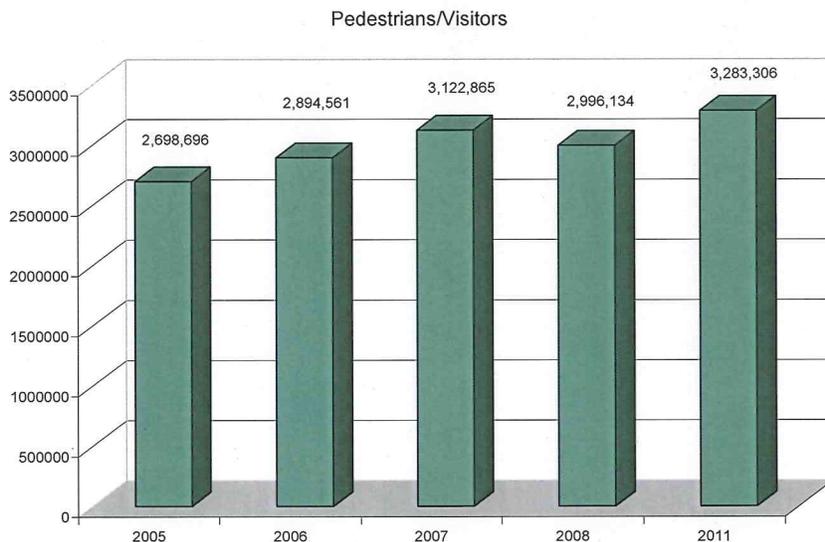
The goal in deploying the additional law enforcement resources has been the reduction of crime, increased likelihood of identification and arrest of suspects, and the reduction of fear of crime in the community. The additional five (5) patrol positions will mitigate the impact on the surrounding communities.

IMPACTS ASSOCIATED WITH THE TRIBAL CASINO AND/OR GAMING ATTACHMENT B

Within the area commonly described as the Santa Ynez Valley, there are several communities under the law enforcement jurisdiction of the Santa Barbara County Sheriff's Office. These communities include the Santa Ynez Band of Chumash Indians' Reservation, the surrounding unincorporated area of Santa Barbara County, and the Cities of Solvang and Buellton. A tribal casino is operated on the Santa Ynez Band of Chumash Indians' Reservation. The County of Santa Barbara meets all four (4) nexus test criteria.

With the growth in popularity of tribal gaming over the years, the enlargement of the tribal casino on the Santa Ynez Band of Chumash Indians' Reservation, and the addition of the resort complex, the total number of daily visitors to the area has increased over the years. With the increased number of visitors comes an increase in the calls for service, reports and arrests at both the tribal casino and in the surrounding communities.

The Casino was able to provide data regarding the pedestrian traffic to the Casino for the years 2005-2008 and then again for the year 2011. The pedestrian counter device (Object Video) was not operational for several months during the 2009 and 2010 years, thus was unable to provide full data for those years. *(It should be noted that the counter device has an approximated variance of 2-3%, due to situations requiring some employees to pass by the counting device.)*

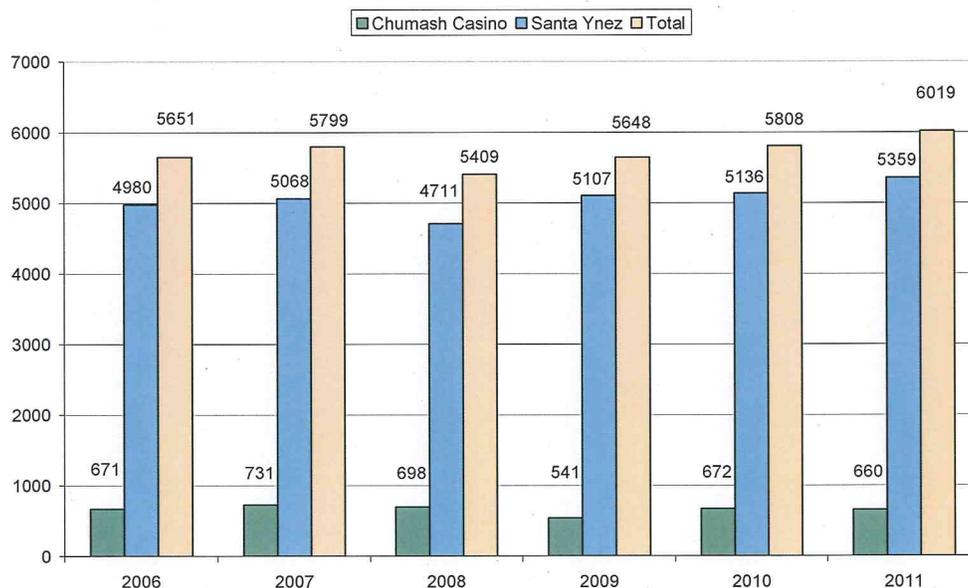


The data provided reflects an average of **2,999,112 pedestrians/visitors per year** from 2005 to 2008 and also using the 2011 data; refer to graph below. From the year before there is an **8.75% increase** in pedestrians/visitors and a **17.81% increase** since 2005.

As the primary law enforcement agency for the Santa Ynez Band of Chumash Indians' Reservation and the townships of Santa Ynez, Ballard, and Los Olivos, and the areas surrounding the reservation on all four sides, the Santa Barbara County Sheriff's Office has been impacted.

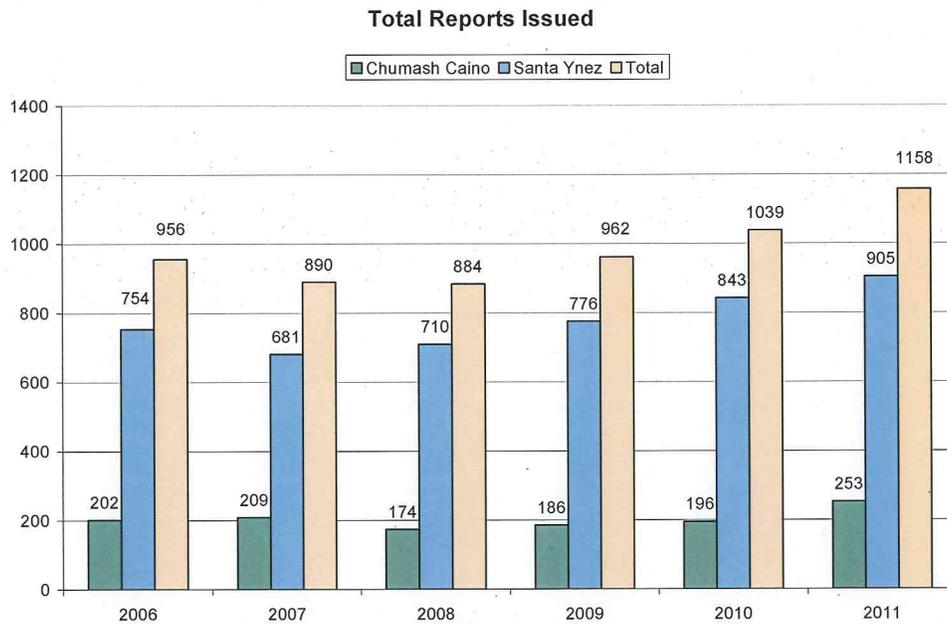
The total calls for service in the entire community have also increased. In 2006, there were 5651 total calls for service. In 2011 there were 6019 total calls for service. This was an **increase of 6.11%** from the 2006 data and a **3.51% increase** from 2010 data.

Comparison of Total Calls for Service



However, calls for service are only one of many factors to review when looking at the impact. Crime investigations are written on formal reports as are other significant events to which Sheriff's personnel respond. The reports for the area including both the Santa Ynez Band of Chumash Indians' Reservation/Casino and the surrounding unincorporated areas of Santa Barbara County have continued to show an increase over the years.

The below graph reflects both criminal and non-criminal reports:



In 2006 there were 956 total reports written. In 2011 there were 1158 reports. This is an **increase of 17.44%** from the 2006 data and a **10.27% increase** from the 2010 data.

The following chart shows the statistical breakdown of the 253 reports written during 2011 in regards to casino related activity:

	January 2011	April 2011	July 2011	October 2011	Total
12500A VC	4	12	9	10	35
ALL OTHER	12	16	15	11	54
ARSON		1			1
ASSAULT	1	2	1	1	5
BURG AUTO			1		1
BURGLARY	1	2	1	3	7
CIVIL			1		1
CORONER				1	1
DANGERDRUG		3	1	1	5
DISORDERLY			1	1	2
DOM VIOL		3			3
DRUNKEN	5	3	4	5	17
DUI	1	1	2	2	6
FRAUD				2	2
ID THEFT	1	1			2
INCIDENT	1	1		2	4
LARCENY	5	7	6	7	25
MISC TRAFF		1	7	3	11
OAA	1	2			3
POSSMJ	4	1	2	4	11
POSSNARC	3	3		1	7
PROPERTY			1		1
ROBBERY			1		1
SIB REFER			1		1
STOLE PROP				2	2
SUSPCIRCS				1	1
TOWED		1			1
UI	5	4	2	8	19
VANDAL	2				2
WARRANT	4	10	5	2	21
WEAPONS			1		1
Total	50	74	62	67	253

COMPLETE FUNDING HISTORY FOR LAW ENFORCEMENT SINCE
INCEPTION OF GRANT
ATTACHMENT C

INDIAN GAMING REVENUE BY FY RECEIVED

	<u>Patrol</u>	<u>Radio Repeater</u>	<u>Radio Connectivity</u>	
FY 04/05	525,160.00			525,160.00
FY 05/06	596,070.00			596,070.00
FY 06/07	1,287,181.82	157,000.00	24,097.00	1,468,278.82
FY 07/08				-
FY 08/09	674,603.00			674,603.00
FY 09/10				-
FY 10/11*	674,881.60			674,881.60
	3,757,896.42	157,000.00	24,097.00	3,938,993.42

*Note: Revenue received in FY2010/11 was for services provided in FY2009/10. No funding was allocated for services provided in FY2010/11.

ATTACHMENT D
BUDGET ANALYSIS
FY 2011/12 and FY 2012/13

<u>FY 2011/12</u>		<u>Amount Requested</u>
Total Grant Funding Available FY 2011/12		\$736,752
Projected Expenditures FY 2011/12	(\$267,995)	
Amount of "Roll Over" for FY 2012/13	\$468,757	
<u>FY 2012/13</u>		
Estimated Cost of One Post for FY 2012/13 (5.09 FTEs)	\$798,000	
Roll Over from FY 2011/12	(\$468,757)	
Supplemental Contribution Required for FY 2012/13		\$329,243
Total Amount Requested (Grant and Supplemental):		\$1,065,995

NOTICE OF AVAILABILITY OF GRANT FUNDS

**Santa Barbara County
Indian Gaming Local Community Benefit Committee Grants**

The Santa Barbara County Indian Gaming Local Community Benefit Committee ("Committee") is accepting applications for Grant Funds. The Committee has \$736,752.40 available to award: \$442,051.44 (60%) for Nexus Grant Funds and \$294,700.96 (40%) for Discretionary Grant Funds.

Eligibility for Nexus Grant Funds is limited to the County of Santa Barbara and the City of Solvang. Eligibility for Discretionary Grant Funds is limited to Cities, the County, and Special Districts within Santa Barbara County.

Applications for Indian Gaming Local Community Benefit Grants may be obtained from the County Executive Office website at <http://www.countyofsb.org/ceo/> or in person in the County Administration Building, Fourth Floor, 105 East Anapamu Street, Room 406, Santa Barbara or at the Solvang Branch Library, 1745 Mission Drive, Solvang.

Completed Application Forms shall be submitted to County of Santa Barbara, County Executive Office, Attn.: Terri Maus-Nisich, 105 E. Anapamu Street-Room 406, Santa Barbara, CA 93103. Deadline for the receipt of applications by the County of Santa Barbara is Wednesday, March 21, 2012.

For specific information regarding the availability of grant funds, please contact County of Santa Barbara, County Executive Office at (805) 568-3400.

**Santa Barbara County - SDF Grants
Nexus Criteria**

Municipality	Sponsorship	Mitigation	Geographic Nexus					Nexus Funding Criteria			Nexus Funding Allocated	Discretionary Funding Allocated	Project	Priority (g)
			the local government jurisdiction borders the Indian lands on all sides Section 12715(c)(1)(A) Y/N	the local government jurisdiction partially borders Indian lands Section 12715(c)(1)(B) Y/N	the local government jurisdiction maintains a highway, road, or other thoroughfare that is the predominant access route to a casino that is located within four miles Section 12715(c)(1)(C) Y/N	all or a portion of the local government jurisdiction is located within four miles of a casino Section 12715(c)(1)(D) Y/N	\$ Requested	\$1,469,884						
								50%/ 4	30%/ 3	20%/ 2				
								\$440,965	\$264,579	\$176,386				
City of Buellton	N	-	-	-	-	\$ 178,000				-		Offset funding for a Firefighter/Paramedic position for the Santa Barbara County Fire Department (contracted for services to the City of Buellton).	-	
City of Buellton	Y	Y	N	N	N	\$ 165,000				-	\$ 165,000	Provide additional law enforcement (traffic officer) services. The City contracts service through the Santa Barbara County Sheriff's Department.	Y	
City of Solvang	N	-	-	-	-	\$ 881,930				-	\$ 105,883	Widening the Alamo Pintado Bridge on State Hwy 246 to allow additional webbound traffic lane and bike lane as part of intersection improvements. Fund request is for continuing with preliminary engineering work and completing environmental document.	Y	
SY CSD	N	-	-	-	-	\$ 125,000				-		Protect existing sewer lines from further exposure and undermining from creek bed erosion and to prevent undesirable sewage leaks or spills.	-	
SB County Fire Dept.	Y	Y	Y	Y	N	\$ 524,000	\$ 220,483	\$ 132,290	\$ 88,193	\$ 440,965	\$ 83,035	Fund a Firefighter/Paramedic post position (3FTE's) at Station 32 in Santa Ynez adjacent to the Chumash Casino.	Y	
SB County Sheriff's Dept.	Y	Y	Y	Y	N	\$ 675,000	\$ 220,483	\$ 132,290	\$ 88,193	\$ 440,965	\$ 234,035	Provide continued law Enforcement (Deputy Sheriff) coverage (5 FTEs) on a 24-hour a day/7-days a week basis.	Y	
							\$440,966	\$264,580	\$176,386	\$881,930	\$587,953			

Update on Recommendation That Is Not Fully Implemented

Please complete a separate "Update on Recommendation That Is Not Fully Implemented" form for each recommendation.

Department Name: County of Santa Barbara

Report Number: 2010-036, February 2011

- 1) Which recommendation is addressed on this form? Please identify the specific recommendation number noted in the State Auditor's letter. 4(1.4e)
- 2) Has your agency fully implemented the recommendation? NO
 If **Yes**, answer **only** questions 3 and 4 below.
 If **No**, answer **only** question 5 and other questions, as directed in question 5.
- 3) By what date did your agency fully implement this recommendation? _____
- 4) Explain how your agency has fully implemented the recommendation. Please also provide copies of any supporting documents or other evidence including, but not limited to, documents referenced in your explanation. _____

- 5) Does your agency intend to fully implement the recommendation? YES
 If **Yes**, answer **only** questions 6 and 7 below.
 If **No**, answer **only** question 8 below.
- 6) By what date will your agency fully implement the recommendation? February 2013
- 7) Please describe your agency's plan for implementing the recommendation. Public notices for the next grant funding cycle will include the suggestion of submitting multiple applications.

- 8) Provide your agency's reason(s) for not fully implementing the recommendation. _____

Update on Recommendation That Is Not Fully Implemented

Please complete a separate "Update on Recommendation That Is Not Fully Implemented" form for each recommendation.

Department Name: County of Santa Barbara

Report Number: 2010-036, February 2011

- 1) Which recommendation is addressed on this form? Please identify the specific recommendation number noted in the State Auditor's letter. 5(1.4f)
- 2) Has your agency fully implemented the recommendation? Yes
 If **Yes**, answer **only** questions 3 and 4 below.
 If **No**, answer **only** question 5 and other questions, as directed in question 5.
- 3) By what date did your agency fully implement this recommendation? April 2011
- 4) Explain how your agency has fully implemented the recommendation. Please also provide copies of any supporting documents or other evidence including, but not limited to, documents referenced in your explanation. Financial disclosure and conflict of interest laws were reviewed and current processes in place for reporting by county employees, elected officials and Brown Act committees were placed into practice by the Indian Gaming Community Benefit Committee.

- 5) Does your agency intend to fully implement the recommendation? _____
 If **Yes**, answer **only** questions 6 and 7 below.
 If **No**, answer **only** question 8 below.
- 6) By what date will your agency fully implement the recommendation? _____
- 7) Please describe your agency's plan for implementing the recommendation. _____

- 8) Provide your agency's reason(s) for not fully implementing the recommendation. _____

Update on Recommendation That Is Not Fully Implemented

Please complete a separate "Update on Recommendation That Is Not Fully Implemented" form for each recommendation.

Department Name: County of Santa Barbara

Report Number: 2010-036, February 2011

- 1) Which recommendation is addressed on this form? Please identify the specific recommendation number noted in the State Auditor's letter. 6(1.4g)
- 2) Has your agency fully implemented the recommendation? No
 If **Yes**, answer **only** questions 3 and 4 below.
 If **No**, answer **only** question 5 and other questions, as directed in question 5.
- 3) By what date did your agency fully implement this recommendation?
- 4) Explain how your agency has fully implemented the recommendation. Please also provide copies of any supporting documents or other evidence including, but not limited to, documents referenced in your explanation.
- 5) Does your agency intend to fully implement the recommendation? YES
 If **Yes**, answer **only** questions 6 and 7 below.
 If **No**, answer **only** question 8 below.
- 6) By what date will your agency fully implement the recommendation? February 2013
- 7) Please describe your agency's plan for implementing the recommendation. Will consider adoption of amendment to the Indian Gaming Community Benefit Committee's Bylaws to align conflict of interest codes with the political reform act.
- 8) Provide your agency's reason(s) for not fully implementing the recommendation.