

# Santa Barbara County

Recommended Operational Plan | Fiscal Years 2013-14 & 2014-15



*Navigating Towards a Stable Future*

## FY 2013-14 Proposed Budget Hearing Schedule

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# Budget Hearings

**Monday, June 10, 2013**

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**9:00 AM Public Comment**

**9:15 AM Budget Overview**..... CEO & Budget Director (Chandra Wallar & Tom Alvarez)

**10:15 AM General Revenues** ..... Section C - Summary Information (Tom Alvarez)

**10:30 AM Public Comment**

**10:45 AM Break**

**11:00 AM Departmental Budgets (15 minutes each)**

Board of Supervisors ..... Policy and Executive (Chandra Wallar)

County Executive Office ..... Policy and Executive (Chandra Wallar)

County Counsel ..... Policy and Executive (Dennis Marshall)

**11:45 AM Public Comment**

**Noon Lunch**

**1:00 PM Departmental Budgets (continued) (15 minutes each)**

Court Special Services .....Law and Justice (Gary Blair)

District Attorney .....Law and Justice (Joyce Dudley)

Public Defender .....Law and Justice (Rai Montes de Oca)

**1:45 PM Public Comment**

**2:00 PM Break**

**2:15 PM Departmental Budgets (continued) (15 minutes each)**

Agriculture, Weights & Measures. .Community Resources & Public Facilities (Cathy Fisher)

Community Services ..... Community Resources & Public Facilities (Herman Parker)

Planning & Development ..... Community Resources & Public Facilities (Glenn Russell)

Public Works ..... Community Resources & Public Facilities (Scott McGolpin)

**3:15 PM Public Comment**

**3:30 PM Break**

**3:45 PM Non-County Agency Requests**

Outside Organizations and Non-County Agencies Requests (3 minutes each)

**4:15 PM Public Comment**

## Wednesday, June 12, 2013

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- 9:00 AM Public Comment**
- 9:15 AM Departmental Budgets (continued) (15 minutes each)**  
Fire ..... Public Safety (Michael Dyer)  
Probation..... Public Safety (Beverly Taylor)  
Sheriff ..... Public Safety (William Brown)
- 10:00 AM Public Comment**
- 10:15 AM Break**
- 10:30 AM Departmental Budgets (continued) (15 minutes each)**  
Alcohol, Drug, & Mental Health Services ..... Health and Human Services (Takashi Wada)  
Child Support Services ..... Health and Human Services (Carrie Topliffe)  
First Five ..... Health and Human Services (Ben Romo)  
Public Health Department ..... Health and Human Services (Takashi Wada)  
Social Services ..... Health and Human Services (Daniel Nielson)
- 11:45 PM Public Comment**
- 12:00 PM Lunch**
- 1:00 PM Departmental Budgets (continued) (15 minutes each)**  
Auditor-Controller ..... Support Services (Bob Geis)  
Clerk-Recorder-Assessor ..... Support Services (Joe Holland)  
General Services ..... Support Services (Matthew Pontes)  
Treasurer-Tax Collector-Public Admin ..... Support Services (Harry Hagen)
- 2:00 PM Public Comment**
- 2:15 PM Break**
- 2:30 PM General County Programs**  
General County Programs, Successor Agency and Fund Balances.....(Tom Alvarez)
- 2:45 PM Proposed Budget Hearing Summary** ..... County Executive Officer (Chandra Wallar)
- 3:00 PM Preliminary Board Deliberations**

## Friday, June 14, 2013

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- 9:00 AM Public Comment**
- 9:15 AM Board Deliberations and Decision Making**  
Consider the approval of the 2013-14 Proposed Budget including Final Budget Adjustments, renewal of ongoing grants, renewal of ongoing contracts, and direction regarding the Adoption of Final Budget by Reference.
- Adjournment**

# Board Inquiry Form

Board Member	
Carbajal	X
Wolf	X
Farr	X
Adam	X
Lavagnino	X

Inquiry Number:01

Department: Planning and Development  
 Date: Tuesday, April 02, 2013  
 Page(s) of Budget Book: D-159 – D-168

**Request/Question:**

The Board directed staff to return at the Budget Hearings for consideration of the following Potential New Projects as listed on Table 3 of the Planning and Development presentation dated April 2, 2013:

- Special Events – Good Neighbor Policy
- Hollister Avenue – State Street Improvements
- Climate Change Adaptation Plan
- Climate Action Implementation
- Montecito Design Guidelines and Development Standards
- Montecito Telecommunications Issues
- Santa Ynez Valley Transportation Improvement Plan
- Santa Ynez and Los Olivos Township Design Guidelines

Response Prepared by:  
 Jeff Hunt, Long Range Planning

**Response:**

Attached is detailed information, including goal, background and description, milestones, and multi-year budget, for each of the eight projects as requested by the Board:

- Special Events Ordinance (\$254,338) – Page 2
- Hollister Avenue/State Street Streetscape Improvements Project (\$167,358) – Page 3
- Climate Change Adaptation Plan (\$376,472) – Page 4
- Energy and Climate Action Plan Adoption & Implementation (\$273,098) – Page 5
- Montecito Design Guidelines and Development Standards (\$99,726) – Page 6
- Montecito Wireless Telecommunications Facilities Master Plan (\$129,536) – Page 7
- Santa Ynez Valley Transportation Improvement Plan (\$67,762) – Page 8
- Santa Ynez & Los Olivos Township Design Guidelines (\$92,806) – Page 9



## SPECIAL EVENTS ORDINANCE

### Planning and Development Department

*Goal: To engage the public and interested parties in preparing a comprehensive County-wide ordinance establishing special events standards.*

### BACKGROUND & DESCRIPTION

- ❖ The County’s Land Use and Development Code and Coastal Zoning Ordinance regulate commercial special events on agricultural and residential land (e.g., weddings and similar gatherings). Gaps in the existing special events regulations related to the short term rental of property have resulted in complaints and have led to concerns that currently allowed special events are having unintentional impacts that are changing the character of agricultural and residential lands in the County.
- ❖ In 2012, the Planning Commission conducted a series of hearings and received testimony from homeowners, interested citizens and the special events industry. It recommended on 11/7/2012 that the Board of Supervisors consider a comprehensive review of the County’s special events regulations as part of the 2013-2014 work program.
- ❖ The purpose of the Special Events Ordinance project will be to develop a new ordinance that broadly addresses special events regulations and permit procedures using a comprehensive approach that will close the gap in the existing regulations and minimize special event impacts on residential and agricultural areas and potential conflicts between land owners.
- ❖ The Special Events Ordinance project would address the scale, location, permitting, and monitoring related to special events. It would also examine the environmental and cumulative effects of special events on the region, including the potential for traffic and parking impacts on area roadways, noise and lighting impacts on adjacent properties, pollution impacts on streams, and emergency services impacts on fire, sheriff, and other public agencies.
- ❖ Outreach will be conducted through a series of County-wide workshops that will inform development of the Special Events Ordinance.



### MILESTONES

- ❖ Policy and Ordinance Options and Development – Fall 2013
- ❖ County-Wide Outreach and Public Workshops – Fall 2013/Winter 2014
- ❖ Environmental Review – Spring 2014 through Fall 2014
- ❖ Planning Commission Adoption Hearings – Fall 2014/Winter 2015
- ❖ Board of Supervisors Adoption Hearings – Winter/Spring 2015
- ❖ California Coastal Commission Hearing – Spring 2015/Winter 2016
- ❖ Implementation – Winter 2016

### BUDGET

<i>ESTIMATED FY 13-14</i>	<i>ESTIMATED FY 14-15</i>	<i>ESTIMATED FY 15-16</i>	<i>PROJECT TOTAL</i>
Cost	Cost	Cost	Total Cost
\$179,422	\$66,138	\$8,778	\$254,338



# HOLLISTER AVENUE/STATE STREET STREETSCAPE IMPROVEMENTS PROJECT

Planning and Development Department

*Goal: To improve public roadway and streetscape on Hollister Avenue/State Street from Auhay Drive to the Santa Barbara City Limit.*

## BACKGROUND & DESCRIPTION

- ❖ This project would implement Action VIS-GV-1.3 of the 1993 Goleta Community Plan, which states "...the County shall provide landscaping of the Hollister Avenue corridor and...the southern right of way area." Specifically, the project would plan and design lighting, seating, landscape, hardscape, and transit structures for a 1.7-mile segment of Hollister Avenue/Upper State Street between Auhay Drive on the west and State Route 154 on the east.
- ❖ This project would increase the attractiveness of the area; foster economic vitality; improve circulation, walkability, and transit opportunities; and incorporate a "complete streets" design for this important regional "gateway" corridor between the City of Santa Barbara and the Goleta Valley.
- ❖ The Public Works Department is currently designing and analyzing roadway and intersection improvements within this corridor. The goals of the effort include improving multimodal facilities, traffic conditions, and roadway safety. Long Range Planning will continue participating in this effort, including attending project team meetings and reviewing the Project Study Report (PSR).
- ❖ In FY 2013-2014, Long Range Planning will prepare preliminary design standards for the streetscape plan. In FY 2014-2015, it will use these standards to prepare the conceptual streetscape plans in consultation with Public Works, which will include designing landscape, hardscape, lighting, seating, and transit structures. A consultant will provide landscape design and other technical assistance. Long Range Planning will also review and comment on Public Works' environmental document for the roadway and intersection improvements and streetscape plan.
- ❖ Public input is important to the success of this project. Long Range Planning will conduct several public workshops to receive input on the preliminary design standards and draft conceptual streetscape plans. Public Works will participate in the workshops.



## MILESTONES

- ❖ Team Meetings and Review Project Study Report and CEQA Documents – Summer 2013 through Spring 2015
- ❖ Hire Consultant – Summer 2013
- ❖ Draft Design Standards – Summer 2013 through Spring 2014
- ❖ Public Workshops – Fall 2013 and Winter 2015
- ❖ Conceptual Streetscape Plans – Summer 2014 through Spring 2015
- ❖ Adoption – Spring 2015

## BUDGET

<b>ESTIMATED FY 13-14</b>	<b>ESTIMATED FY 14-15</b>	<b>PROJECT TOTAL</b>
<b>Cost</b>	<b>Cost</b>	<b>Total Cost</b>
\$66,098	\$101,260	\$167,358



# CLIMATE CHANGE ADAPTATION PLAN

## Planning and Development Department

*Goal: To identify and plan for mitigation to potential hazards and issues from climate change impacts.*

### BACKGROUND & DESCRIPTION

- ❖ The 2009 California Climate Adaptation Strategy (CCAS), produced by the California Natural Resources Agency, provides guidance to all coastal jurisdictions to begin amending their Local Coastal Plans (LCP) and General Plans to address climate change impacts.
- ❖ A Climate Change Adaptation Plan (CCAP) would identify the steps to be taken to adapt to or manage potential changes to the local environmental or socioeconomic system in an effort to reduce risks, decrease costs, and increase resilience. Issue areas to be addressed in the CCAP could include public health, loss of habitat, increase in wild fires, decrease in water supply, sea level rise, flooding and unpredictable weather, and changes to agriculture.
- ❖ Through the process of developing the CCAP, the County will prioritize climate change impacts to be addressed based on urgency and public interest.
- ❖ Grant funding may be available to offset some of these costs.



### MILESTONES

- ❖ Climate Change Vulnerability Assessment – Winter 2014
- ❖ Public Priority Setting Workshops/Meetings –Spring 2014
- ❖ Draft CCAP – Summer 2014
- ❖ Proposed Comprehensive Plan and LCP Amendments – Winter 2015
- ❖ Environmental Review – Winter/Spring 2015
- ❖ Decision Maker Hearings – Summer 2015
- ❖ Implementation and Coastal Commission Certification - 2015

### BUDGET

<b>ESTIMATED FY 13-14</b>	<b>ESTIMATED FY 14-15</b>	<b>PROJECT TOTAL</b>
<b>Cost</b>	<b>Cost</b>	<b>Total Cost</b>
\$324,356	\$52,116	\$376,472



# ENERGY AND CLIMATE ACTION PLAN

## ADOPTION & IMPLEMENTATION

Planning and Development Department

*Goal: The Energy and Climate Action Plan (ECAP) will execute a strategy to reduce greenhouse gas emissions from community-wide sources by a minimum of 15% from the 2007 baseline emissions by 2020.*

### BACKGROUND & DESCRIPTION

- ❖ In 2013, the Board of Supervisors provided direction to develop an ECAP with a 15% reduction target in greenhouse gas emissions with specific voluntary, phased, and required reduction measures; a commitment to fully implement Santa Barbara County Association of Government’s Sustainable Communities Strategy; and partnering with other local jurisdictions to complete a feasibility study for Community Choice Aggregation (CCA).
- ❖ This item would involve two phases concerning the ECAP:
  - Adoption would involve completing an Environmental Impact Report (EIR), revising emission reduction measures, refining the costs/benefits of proposed emission reduction measures, amending the Comprehensive Plan, and adoption of ordinances and the ECAP.
  - Implementation would include further amending the County’s zoning ordinance, conducting a CCA feasibility study, developing a checklist for determining project consistency, and staff training on the ECAP and the consistency checklist. Lastly, monitoring mechanisms would be established to aid in completing an annual monitoring report that describes the County’s progress toward achieving the reduction target.
- ❖ Grant revenues and partnerships would be pursued to reduce General Fund Contributions.
- ❖ In collaboration with the CEO, Planning and Development staff would create partnerships with other County departments to implement measures required by the adopted ECAP.



### MILESTONES

- ❖ Environmental Review and refinement of reduction measures – Fall 2013
- ❖ BOS adoption – Winter 2014
- ❖ Coastal Commission submittal and ordinance implementation – Spring 2014
- ❖ CCA feasibility study, ordinance amendments, checklist for consistency, and staff training – Spring 2014
- ❖ Set up ECAP Monitoring Mechanisms – Spring 2014

### BUDGET

<b>ESTIMATED FY 13-14</b>	<b>ESTIMATED FY 14-15</b>	<b>PROJECT TOTAL</b>
Cost	Cost	Total Cost
\$273,098	\$0	\$273,098



# MONTECITO DESIGN GUIDELINES AND DEVELOPMENT STANDARDS

Planning and Development Department

*Goal: To do a focused update of the Montecito Design Guidelines,  
including associated Zoning Ordinance changes*

## BACKGROUND & DESCRIPTION

- ❖ The Montecito Architectural Guidelines were adopted in 1995 to provide guidance to architects, property owners, and the Montecito Board of Architectural Review (MBAR) in the design and review of projects within the Montecito Community Plan Area.
- ❖ To a certain extent, the Guidelines rely on outdated definitions and regulations, and over the years, experience with the Guidelines has identified several areas which should be considered for an update:
  - Height definition and calculation methodology,
  - Clarification of definitions for buildings and walls,
  - Provisions for basements, and
  - Guidelines for Floor Area Ratio (FAR).
- ❖ The proposed project would update the Guidelines and applicable zoning ordinances in order to ensure that the Guidelines are appropriate given current conditions and regulations in Montecito. The project would also refine particular development standards based on the MBAR’s experience with the existing Guidelines. The formatting and graphics of the document would also be updated. A consultant would help prepare the final graphics.
- ❖ Public outreach meetings would be held with the MBAR. Public input would be received at each meeting and used to help update the Guidelines.



## MILESTONES

- ❖ Background Research and Outline Potential Updates – Summer 2013
- ❖ Public Outreach and MBAR Review – Fall 2013/Winter 2014
- ❖ Draft Guidelines and Ordinance Amendments – Fall 2013/Winter 2014
- ❖ Environmental Review – Spring 2014
- ❖ Adoption Hearings – Summer 2014
- ❖ Coastal Commission Submittal – Summer 2014

## BUDGET

<b><i>ESTIMATED FY 13-14</i></b>	<b><i>ESTIMATED FY 14-15</i></b>	<b><i>PROJECT TOTAL</i></b>
<b>Cost</b>	<b>Cost</b>	<b>Total Cost</b>
\$83,004	\$16,722	\$99,726



# MONTECITO WIRELESS TELECOMMUNICATIONS FACILITIES MASTER PLAN

Planning and Development Department

*Goal: Protect community aesthetics and health and safety by planning for well-sited and well designed telecommunication facilities.*

## BACKGROUND & DESCRIPTION

- ❖ In Montecito, applications for new telecommunications facilities are often appealed to the Planning Commission or Board of Supervisors due to concerns about health risks, visual impacts, and the belief that approving such facilities is contrary to the goals of the Montecito Community Plan.
- ❖ The proposed Montecito Wireless Telecommunications Facilities Master Plan would combine land-use planning strategies with industry-accepted engineering standards to provide guidance for decision makers and staff when making decisions regarding siting and design of proposed telecommunications facilities.
- ❖ The overall goal would be to protect community aesthetics by providing a policy framework and guidelines for well-sited facilities that fit unobtrusively into the community. The Master Plan would also assist telecommunications companies by identifying appropriate locations for facilities and providing a clear set of community siting and design expectations.
- ❖ The Master Plan would include an inventory of existing facilities, technical analysis of existing and future coverage and demand, and identification of potential locations and preparation of siting and design guidelines for future facilities. A telecommunications planning consultant would provide technical analysis and policy guidance.
- ❖ The Federal Telecommunications Act of 1996 preempts local authorities from prohibiting any telecommunications service, from unreasonable discrimination among providers of functionally equivalent services, and from regulating placement, construction, and modification of facilities on the basis of the environmental effects of radio frequency emissions, as long as facilities comply with the Federal Communications Commission’s regulations concerning such emissions. However, the Master Plan can influence placement, construction, and modification of telecommunications facilities, subject to the limitations listed above.



## MILESTONES

- ❖ Public Outreach and Workshops – Fall 2013
- ❖ Draft Master Plan Preparation and MBAR Review – Winter/Spring 2014
- ❖ Environmental Review – Summer/Fall 2014
- ❖ Decision Maker Hearings – Winter 2015/Spring 2015
- ❖ Implementation including Coastal Commission Certification – Summer 2015/Winter 2016

## BUDGET

<b>ESTIMATED FY 13-14</b>	<b>ESTIMATED FY 14-15</b>	<b>ESTIMATED FY 15-16</b>	<b>PROJECT TOTAL</b>
Cost	Cost	Cost	Total Cost
\$77,348	\$46,596	\$5,592	\$129,536



# SANTA YNEZ VALLEY TRANSPORTATION IMPROVEMENT PLAN

## Planning and Development Department

*Goal: To develop a transportation improvement plan and fee structure based on specific improvement projects in the Santa Ynez Valley Community Plan Area*

### BACKGROUND & DESCRIPTION

- ❖ The Long Range Planning Division in collaboration with the Public Works Department will develop a transportation improvement plan and updated road impact fee for the Santa Ynez Valley Community Plan area (SYVCP).
- ❖ California State Law (AB 1600) requires that fees collected for transportation improvements must be annually accounted for through an adopted capital improvement plan that specifically identifies improvements to be funded through fees collected.
- ❖ A detailed traffic and circulation report will be used to determine what effect future land use development will have on the existing circulation system in the SYVCP area over the next 20 years and identify fees needed for new development to fund required traffic improvements.



### MILESTONES

- ❖ Outreach – Summer 2013
- ❖ Draft SYTIP Document – Fall 2013/Winter 2014
- ❖ Adoption Hearings – County Planning Commission –Spring 2014
- ❖ Adoption Hearing – Board of Supervisors –Summer 2014

### BUDGET

<b>ESTIMATED FY 13-14</b>	<b>ESTIMATED FY 14-15</b>	<b>PROJECT TOTAL</b>
Cost	Cost	Total Cost
\$67,762	\$0	\$67,762



# SANTA YNEZ & LOS OLIVOS TOWNSHIP

## DESIGN GUIDELINES

### Planning and Development Department

*Goal: Create design guidelines for the Santa Ynez, Los Olivos and Ballard townships within the Santa Ynez Valley Community Plan area*

## BACKGROUND & DESCRIPTION

- ❖ The Central County Board of Architectural Review (CBAR) has been conducting design review of proposed commercial projects in the three Santa Ynez Valley townships using informal design guidelines. CBAR members have requested more useful guidelines to streamline design review and project design.
- ❖ Development of township design guidelines is identified in the Santa Ynez Valley Community Plan (Action LUT-SYV-5.4) and will provide guidance, clarity, and consistency in development application processing for the public and the development community.
- ❖ The Santa Ynez, Los Olivos and Ballard Design Guidelines will be created through a joint effort of community members and the CBAR with assistance from the Long Range Planning Division. The guidelines will be tailored to reflect the unique architectural style and character of each township.
- ❖ The Guidelines will apply to new construction and exterior renovations for development within the township commercial areas to ensure appropriate design that respects the established architectural styles and scale within the townships.



## MILESTONES

- ❖ Outreach – Summer 2013
- ❖ Draft Preparation and Ordinance Amendments – Fall 2013/Winter 2014
- ❖ CBAR Review – Spring 2014
- ❖ Adoption Hearings –Summer 2014

## BUDGET

<i>ESTIMATED FY 13-14</i>	<i>ESTIMATED FY 14-15</i>	<i>PROJECT TOTAL</i>
Cost	Cost	Total Cost
\$92,806	\$0	\$92,806

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	X
Lavagnino	

Inquiry Number:02

Department: All  
Date: Friday, May 24, 2013  
Page(s) of Budget Book: C-24 – C-25

**Request/Question:**

Please provide a report of General Fund Contributions by Department and Budget Program.

Response Prepared by:  
Tom Alvarez

**Response:**

Please find the attached report showing the General Fund Contributions to Department/by Budget Program.

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 011 -- Board of Supervisors

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- First District	0.00	513,975.00	513,975.00	513,975.00	513,975.00	528,042.00	528,042.00	540,158.00
02 -- Second District	0.00	504,148.00	504,148.00	504,148.00	411,334.00	500,626.00	500,626.00	513,671.00
03 -- Third District	0.00	571,503.00	571,503.00	571,503.00	568,639.00	582,395.00	582,395.00	597,471.00
04 -- Fourth District	0.00	501,572.00	501,572.00	501,572.00	488,155.00	498,847.00	498,847.00	511,534.00
05 -- Fifth District	0.00	446,934.00	446,934.00	446,934.00	481,263.00	487,174.00	487,174.00	499,685.00
06 -- Board Support	0.00	229,476.00	229,476.00	229,476.00	199,671.00	218,416.00	218,416.00	223,181.00
Board of Supervisors	0.00	2,767,608.00	2,767,608.00	2,767,608.00	2,663,037.00	2,815,500.00	2,815,500.00	2,885,700.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 012 -- County Executive Office

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- County Management	0.00	3,482,447.00	3,482,447.00	3,482,447.00	3,482,447.00	3,713,143.00	3,713,143.00	3,765,594.00
02 -- Emergency Management	0.00	580,157.00	580,157.00	580,157.00	580,157.00	589,851.00	589,851.00	605,279.00
03 -- Human Resources	0.00	3,627,665.00	3,627,665.00	3,627,665.00	3,627,665.00	3,688,406.00	3,688,406.00	3,769,027.00
County Executive Office	0.00	7,690,269.00	7,690,269.00	7,690,269.00	7,690,269.00	7,991,400.00	7,991,400.00	8,139,900.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 013 -- County Counsel

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	-3,635,300.00	-3,635,300.00	-3,635,300.00	2,270,038.00	2,307,200.00	2,307,200.00	2,362,600.00
02 -- Advisory	0.00	2,549,125.00	2,549,125.00	2,549,125.00	0.00	0.00	0.00	0.00
03 -- Litigation	0.00	3,356,213.00	3,356,213.00	3,356,213.00	0.00	0.00	0.00	0.00
County Counsel	0.00	2,270,038.00	2,270,038.00	2,270,038.00	2,270,038.00	2,307,200.00	2,307,200.00	2,362,600.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 021 -- District Attorney

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	1,168,294.00	1,168,294.00	1,168,294.00	1,168,294.00	1,186,455.00	1,186,455.00	1,208,486.00
02 -- Criminal Prosecution	0.00	10,874,089.00	10,874,089.00	10,874,089.00	10,874,089.00	11,040,565.00	11,040,565.00	11,257,996.00
03 -- Civil Prosecution	0.00	246,998.00	246,998.00	246,998.00	246,998.00	249,780.00	249,780.00	254,418.00
District Attorney	0.00	12,289,381.00	12,289,381.00	12,289,381.00	12,289,381.00	12,476,800.00	12,476,800.00	12,720,900.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 022 -- Probation

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	4,475,660.00	4,475,660.00	4,475,660.00	4,718,682.00	4,997,875.00	4,997,875.00	5,228,281.00
02 -- Institutions	0.00	12,102,321.00	12,102,321.00	12,102,321.00	12,026,748.00	12,002,409.00	12,002,409.00	11,774,708.00
03 -- Juvenile Services	0.00	4,432,689.00	4,432,689.00	4,432,689.00	4,938,493.00	4,694,507.00	4,694,507.00	5,137,562.00
04 -- Adult Services	0.00	4,021,068.00	4,021,068.00	4,021,068.00	3,347,815.00	3,455,609.00	3,455,609.00	3,959,249.00
Probation	0.00	25,031,738.00	25,031,738.00	25,031,738.00	25,031,738.00	25,150,400.00	25,150,400.00	26,099,800.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 023 -- Public Defender

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	2,200,790.00	2,200,790.00	2,200,790.00	1,910,000.00	1,584,648.00	1,584,648.00	1,595,928.00
02 -- Adult Legal Services	0.00	4,317,705.00	4,317,705.00	4,317,705.00	4,556,714.00	4,687,917.00	4,687,917.00	4,721,287.00
03 -- Juvenile Legal Services	0.00	302,933.00	302,933.00	302,933.00	354,714.00	330,135.00	330,135.00	332,485.00
Public Defender	0.00	6,821,428.00	6,821,428.00	6,821,428.00	6,821,428.00	6,602,700.00	6,602,700.00	6,649,700.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 025 -- Court Special Services

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
02 -- Court Special Services	8,201,759.00	7,825,363.00	8,536,760.00	8,536,760.00	8,536,800.00	8,536,800.00	8,536,800.00	8,536,800.00
Court Special Services	8,201,759.00	7,825,363.00	8,536,760.00	8,536,760.00	8,536,800.00	8,536,800.00	8,536,800.00	8,536,800.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 032 -- Sheriff

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	7,933,244.00	7,933,244.00	7,933,244.00	9,086,442.00	8,099,017.00	8,099,017.00	8,442,833.00
02 -- Custody Operations	0.00	27,024,189.00	27,024,189.00	27,024,189.00	28,593,103.00	26,970,207.00	26,970,207.00	28,115,139.00
03 -- Countywide Law Enforcement	0.00	32,682,597.00	32,682,597.00	32,682,597.00	30,512,271.00	34,162,981.00	34,162,981.00	35,613,260.00
04 -- Court Security Services	0.00	1,171,964.00	1,171,964.00	1,171,964.00	620,178.00	602,395.00	602,395.00	627,968.00
Sheriff	0.00	68,811,994.00	68,811,994.00	68,811,994.00	68,811,994.00	69,834,600.00	69,834,600.00	72,799,200.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 041 -- Public Health

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	2,764,195.00	2,533,845.00	2,764,195.00	2,764,195.00	2,917,160.00	3,673,092.00	3,673,092.00	3,430,303.00
02 -- Health Care Centers	1,514,702.00	742,698.00	810,216.00	810,216.00	0.00	337,994.00	337,994.00	241,896.00
03 -- Indigent Health Programs	0.00	0.00	0.00	0.00	895,377.00	815,206.00	815,206.00	821,638.00
04 -- Disease Prevention & Health Promotion	2,310,787.00	1,835,361.00	2,002,212.00	2,002,212.00	1,672,788.00	1,988,421.00	1,988,421.00	2,348,001.00
05 -- Regulatory Programs & Emergency Preparedness	532,032.00	1,341,300.00	1,369,267.00	1,369,267.00	1,460,565.00	1,400,487.00	1,400,487.00	1,520,762.00
Public Health	7,121,716.00	6,453,204.00	6,945,890.00	6,945,890.00	6,945,890.00	8,215,200.00	8,215,200.00	8,362,600.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 043 -- Alcohol,Drug,&Mental Hlth Svcs

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	1,544,128.00	2,683,702.00	2,927,675.00	2,927,675.00	2,927,675.00	1,719,300.00	1,719,300.00	1,753,100.00
03 -- Mental Health Outpatient Services	649,538.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
05 -- Alcohol & Drug Programs	77,869.00	71,380.00	77,869.00	77,869.00	77,868.00	48,000.00	48,000.00	48,000.00
Alcohol,Drug,&Mental Hlth Svcs	2,271,535.00	2,755,082.00	3,005,544.00	3,005,544.00	3,005,543.00	1,767,300.00	1,767,300.00	1,801,100.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 044 -- Social Services

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	-80,500.00	-53,387.00	-71,180.00	-46,349.00	-46,349.00	-86,269.00	-86,269.00	-86,269.00
02 -- Public Assistance and Welfare to Work Activities	2,295,322.00	2,014,881.00	2,662,789.00	3,811,535.00	2,756,664.00	2,047,499.00	2,047,499.00	2,121,847.00
03 -- Medi-Cal Eligibility	1,253,329.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00
04 -- Protective Services for Children, Adults, Disabled	7,555,086.25	4,719,694.00	6,562,150.00	5,388,573.00	6,443,442.00	1,011,269.00	1,011,269.00	4,644,822.00
Social Services	11,023,238.00	6,681,188.00	9,153,759.00	9,153,759.00	9,153,757.00	2,972,499.00	2,972,499.00	6,680,400.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 051 -- Agricultural Commissioner/W&M

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	-6,298.00	-6,298.00	-6,298.00	0.00	0.00	0.00	0.00
02 -- Agriculture	0.00	1,094,073.00	1,094,073.00	1,094,073.00	1,057,001.00	1,083,330.00	1,083,330.00	1,107,171.00
03 -- Weights & Measures	0.00	267,355.00	267,355.00	267,355.00	298,129.00	302,570.00	302,570.00	309,229.00
Agricultural Commissioner/W&M	0.00	1,355,130.00	1,355,130.00	1,355,130.00	1,355,130.00	1,385,900.00	1,385,900.00	1,416,400.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 053 -- Planning & Development

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	957,096.00	957,096.00	938,596.00	957,096.00	1,123,793.00	1,123,793.00	1,161,543.00
02 -- Permitting	0.00	1,178,400.00	1,178,400.00	1,196,900.00	1,178,400.00	1,186,881.00	1,186,881.00	1,210,977.00
03 -- Coastal Mitigation	0.00	-2,135.00	-2,135.00	-2,135.00	-2,135.00	-160.00	-160.00	-149.00
04 -- Code Enforcement	0.00	437,592.00	437,592.00	437,592.00	437,592.00	408,048.00	408,048.00	415,647.00
05 -- Long Range Planning	0.00	1,167,777.00	1,167,777.00	1,167,777.00	1,167,777.00	1,326,238.00	1,326,238.00	1,338,982.00
Planning & Development	0.00	3,738,730.00	3,738,730.00	3,738,730.00	3,738,730.00	4,044,800.00	4,044,800.00	4,127,000.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 054 -- Public Works

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	16,002.00	16,002.00	16,002.00	16,000.00	16,000.00	16,000.00	16,000.00
02 -- Transportation	1,631,218.00	1,495,283.00	1,631,218.00	1,631,218.00	1,631,220.00	1,713,600.00	1,713,600.00	1,961,800.00
03 -- Surveyor	0.00	454,723.00	454,723.00	454,723.00	454,723.00	464,300.00	464,300.00	474,600.00
04 -- Water Resources/Flood Control	594,183.00	444,534.07	594,183.00	594,183.00	594,183.00	602,700.00	602,700.00	602,700.00
Public Works	2,225,401.00	2,410,542.07	2,696,126.00	2,696,126.00	2,696,126.00	2,796,600.00	2,796,600.00	3,055,100.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 057 -- Community Services

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	1,157,513.00	1,157,513.00	1,157,513.00	1,157,513.00	1,886,113.00	1,886,113.00	1,957,213.00
02 -- Parks & Open Spaces	0.00	1,979,237.00	1,979,237.00	1,979,237.00	1,979,237.00	1,636,165.00	1,636,165.00	1,636,165.00
03 -- Housing & Community Development	0.00	56,870.00	56,870.00	56,870.00	56,870.00	56,870.00	56,870.00	56,870.00
04 -- Community Support (Arts & Libraries)	0.00	4,222,552.00	4,222,552.00	4,222,552.00	4,222,552.00	4,222,552.00	4,222,552.00	4,222,552.00
Community Services	0.00	7,416,172.00	7,416,172.00	7,416,172.00	7,416,172.00	7,801,700.00	7,801,700.00	7,872,800.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 061 -- Auditor-Controller

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	685,538.00	685,538.00	685,538.00	613,477.00	651,540.00	651,540.00	666,160.00
02 -- Audit Services	0.00	771,840.00	771,840.00	771,840.00	613,472.00	651,540.00	651,540.00	666,160.00
03 -- Accounting Services	0.00	3,648,903.00	3,648,903.00	3,648,903.00	3,067,360.00	3,257,700.00	3,257,700.00	3,330,800.00
04 -- Financial Reporting	0.00	1,028,444.00	1,028,444.00	1,028,444.00	1,840,416.00	1,954,620.00	1,954,620.00	1,998,480.00
Auditor-Controller	0.00	6,134,725.00	6,134,725.00	6,134,725.00	6,134,725.00	6,515,400.00	6,515,400.00	6,661,600.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 062 -- Clerk-Recorder-Assessor

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	1,290,963.00	1,290,963.00	1,290,963.00	1,386,420.00	1,380,497.00	1,380,497.00	1,420,805.00
02 -- Elections	0.00	3,384,424.00	3,384,424.00	3,384,424.00	2,569,916.00	3,383,767.00	3,383,767.00	2,985,592.00
03 -- Clerk-Recorder	0.00	-351,326.00	-351,326.00	-351,326.00	-351,326.00	0.00	0.00	0.00
04 -- Assessor	0.00	4,428,585.00	4,428,585.00	4,428,585.00	5,147,636.00	4,745,036.00	4,745,036.00	5,270,803.00
Clerk-Recorder-Assessor	0.00	8,752,646.00	8,752,646.00	8,752,646.00	8,752,646.00	9,509,300.00	9,509,300.00	9,677,200.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 063 -- General Services

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Central Services	0.00	771,382.00	771,382.00	771,382.00	771,382.00	931,619.00	931,619.00	967,217.00
02 -- Capital Improvements	0.00	193,953.00	202,577.00	193,953.00	202,577.00	254,998.00	254,998.00	268,368.00
03 -- Facilities & Land Management	0.00	6,600,043.00	6,600,043.00	6,600,043.00	6,600,043.00	6,956,183.00	6,956,183.00	7,014,615.00
General Services	0.00	7,565,378.00	7,574,002.00	7,565,378.00	7,574,002.00	8,142,800.00	8,142,800.00	8,250,200.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 065 -- Treasurer-Tax Collector-Public

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Administration & Support	0.00	991,981.00	991,981.00	991,981.00	3,021,937.00	3,107,000.00	3,107,000.00	3,164,500.00
02 -- Treasury	0.00	-22,101.00	-22,101.00	-22,101.00	0.00	0.00	0.00	0.00
03 -- Tax & Collections	0.00	608,037.00	608,037.00	608,037.00	0.00	0.00	0.00	0.00
04 -- Public Assistance	0.00	1,444,020.00	1,444,020.00	1,444,020.00	0.00	0.00	0.00	0.00
Treasurer-Tax Collector-Public	0.00	3,021,937.00	3,021,937.00	3,021,937.00	3,021,937.00	3,107,000.00	3,107,000.00	3,164,500.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 990 -- General County Programs

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Support to Other Governments & Organizations	0.00	1,112,788.00	1,112,788.00	1,845,887.00	1,195,674.00	1,112,700.00	1,112,700.00	1,112,837.00
02 -- Reserved & Committed Funds	0.00	13,869,848.00	13,869,848.00	17,167,135.00	18,613,667.00	9,524,902.00	9,524,902.00	8,575,000.00
03 -- Ancillary Services	0.00	823,832.00	823,832.00	823,832.00	888,612.00	901,499.00	901,499.00	885,657.00
General County Programs	0.00	15,806,468.00	15,806,468.00	19,836,854.00	20,697,953.00	11,539,101.00	11,539,101.00	10,573,494.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 991 -- General Revenues

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- General Revenues	0.00	-584,117.00	-584,117.00	-584,117.00	0.00	0.00	0.00	0.00
General Revenues	0.00	-584,117.00	-584,117.00	-584,117.00	0.00	0.00	0.00	0.00

# Budget Financial Status (Real-Time)

As of: 6/9/2013 (94% Elapsed)  
Accounting Period: OPEN

Selection Criteria: LineItemAccount = 5913,9100

Layout Options: Summarized By = BudgetProgram; Page Break At = BudgetProgram

## Budget Department 992 -- Debt Service

Budget Program	2011/2012 Fiscal Year Actual	6/30/2013 Year-To-Date Actual	2012/2013 Fiscal Year Adopted Budget	2012/2013 Fiscal Year Adjusted Budget	2012/2013 Fiscal Year Estimated Actual	2013/2014 Fiscal Year Rcmd Budget	2013/2014 Fiscal Year Adopted Budget	2014/2015 Fiscal Year Proposed Budget
01 -- Tax & Revenue Anticipation Notes	0.00	870,000.00	690,000.00	870,000.00	859,000.00	1,090,000.00	1,090,000.00	1,090,000.00
Debt Service	0.00	870,000.00	690,000.00	870,000.00	859,000.00	1,090,000.00	1,090,000.00	1,090,000.00
Net Financial Impact	30,843,649.00	205,884,904.07	209,926,228.00	214,127,990.00	215,466,296.00	204,603,000.00	204,603,000.00	212,926,994.00

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	X
Lavagnino	

Inquiry Number:03

Department:

All

Date:

Friday, May 28, 2013

Page(s) of Budget Book:

C-27

**Request/Question:**

Please provide a report of Major Funds and the Capital Projects Fund for periods similar to the General Fund - Fund Balances on pages C-28 and C-29.

Response Prepared by:

Betsy Schaffer/Tom Alvarez

**Response:**

The attached report provides the requested information. Information is shown by Fund and then by line item account.

# Fund Balance Accounts Detail

## Major Funds (Excluding General fund)

		Est 6/30/13	Change	Est 6/30/14
<b>Flood Control Districts</b>				
<b>Fund Balance Nonspendable</b>				
9602	Receivables	20,507	0	20,507
<b>Fund Balance Restricted</b>				
9721	Imprest Cash	350	0	350
9730	Allocated for Capital Outlay	13,258,368	178,929	13,437,297
9749	FY 12/13,13/14 Operating Plans	201,459	0	201,459
9797	Unrealized Gains	98,339	0	98,339
9799	Purpose of Fund	42,564,513	-4,679,493	37,885,020
<b>Fund Balance Residual</b>				
2200	Fund Balance-Residual	0	0	0
		<b>56,143,535</b>	<b>-4,500,564</b>	<b>51,642,971</b>
<b>Public Health</b>				
<b>Fund Balance Restricted</b>				
9721	Imprest Cash	3,325	0	3,325
9743	Health Care Programs	9,725,012	-1,661,774	8,063,238
9749	FY 12/13,13/14 Operating Plans	0	0	0
9781	PHD Special Projects	750,424	-66,155	684,269
9797	Unrealized Gains	20,944	0	20,944
9799	Purpose of Fund	952,314	-21,649	930,665
<b>Fund Balance Committed</b>				
9843	Health Care Programs	2,853,027	-732,528	2,120,499
9873	Tobacco Settlement	4,204	100	4,304
9897	Unrealized Gains	7,706	0	7,706
<b>Fund Balance Residual</b>				
2200	Fund Balance-Residual	-1	0	-1
		<b>14,316,956</b>	<b>-2,482,006</b>	<b>11,834,950</b>
<b>Roads Fund</b>				
<b>Fund Balance Restricted</b>				
9721	Imprest Cash	1,175	0	1,175
9730	Allocated for Capital Outlay	2,875,100	0	2,875,100
9736	Measure A South	1,552,807	-775,800	777,007
9737	Measure A North	1,200,288	-1,194,000	6,288
9738	Measure A South Alternative	-575,841	-5,802	-581,643
9739	Measure A North Alternative	234,432	-298,777	-64,345
9749	FY 12/13,13/14 Operating Plans	-4	0	-4
9763	Road Infrastructure Mitigation	518,806	0	518,806
9772	School Safety AB186	2,298	0	2,298
9797	Unrealized Gains	22,131	0	22,131
9799	Purpose of Fund	9,464,895	-2,894,424	6,570,471
<b>Fund Balance Residual</b>				
2200	Fund Balance-Residual	0	0	0
		<b>15,296,087</b>	<b>-5,168,803</b>	<b>10,127,284</b>
<b>Alcohol Drug &amp; Mental Health Services</b>				
<b>Fund Balance Restricted</b>				
9711	MHSA Prudent Reserve	2,241,559	-669,817	1,571,742
9721	Imprest Cash	5,300	0	5,300
9749	FY 12/13,13/14 Operating Plans	66,787	0	66,787
9754	ADP SAPT Block Grant Set-Aside	82,576	-77,136	5,440

		<b>Est 6/30/13</b>	<b>Change</b>	<b>Est 6/30/14</b>
9776	Local Realignment 2011	1,947,444	-377,481	1,569,963
9784	Drug Abuse Programs	114,094	18,000	132,094
9785	Alcoholism Programs	510,505	-5,000	505,505
9797	Unrealized Gains	17,011	3	17,014
9799	Purpose of Fund	6,635,137	-5,373,374	1,261,763
<b>Fund Balance Residual</b>				
2200	Fund Balance-Residual	0	0	0
		<b>11,620,411</b>	<b>-6,484,805</b>	<b>5,135,606</b>

### Fire Protection District

#### Fund Balance Nonspendable

9602	Receivables	188,809	0	188,809
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#### Fund Balance Restricted

9730	Allocated for Capital Outlay	598,116	0	598,116
9797	Unrealized Gains	2,549	0	2,549
9799	Purpose of Fund	2,556,948	2,981	2,559,929

#### Fund Balance Residual

2200	Fund Balance-Residual	0	0	0
		<b>3,346,422</b>	<b>2,981</b>	<b>3,349,403</b>

### Social Services

#### Fund Balance Nonspendable

9605	Prepays/Deposits	364,384	0	364,384
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#### Fund Balance Restricted

9721	Imprest Cash	15,000	0	15,000
9749	FY 12/13,13/14 Operating Plans	124,890	0	124,890
9773	Donations	31,718	0	31,718
9795	DSS Childrens Trust	15,357	-12,500	2,857
9797	Unrealized Gains	22,083	0	22,083
9799	Purpose of Fund	3,569,145	-2,600,364	968,781

#### Fund Balance Committed

9899	Purpose of Fund	5,425,763	-5,419,331	6,432
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#### Fund Balance Residual

2200	Fund Balance-Residual	0	0	0
		<b>9,568,339</b>	<b>-8,032,195</b>	<b>1,536,144</b>

### Capital Projects

#### Fund Balance Restricted

9748	Parks Projects	23,803	0	23,803
9765	DMV/Livescan	1,316,232	175,000	1,491,232
9788	COP Proceeds	2,471,022	-1,058,000	1,413,022
9797	Unrealized Gains	7,929	0	7,929

#### Fund Balance Committed

9826	General Services Projects	1,408,207	-600,000	808,207
9831	Trial Courts	0	0	0
9841	Sheriff Projects	709,903	67,800	777,703
9848	Parks Projects	680,797	-555,000	125,797
9897	Unrealized Gains	1,255	0	1,255
9899	Purpose of Fund	7,912,500	-2,175,882	5,736,618

#### Fund Balance Residual

2200	Fund Balance-Residual	0	0	0
		<b>14,531,647</b>	<b>-4,146,082</b>	<b>10,385,565</b>

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Inquiry Number:04

Department:  
Date:  
Page(s) of Budget Book:

Agricultural Commissioner/W&M  
Monday, June 03, 2013  
D-155

**Request/Question:**

What is the proposed 4H Program funding reduction?

Response Prepared by:

Cathy Fisher, Agricultural Commissioner and Richard Morgantini, Fiscal and Policy Analyst

**Response:**

The County funded \$153,000 in both FY 11-12 and 12-13 for the total UCCE contract for all services. Per UCCE, their expenditures for the 4H Program totaled \$212,401 in FY 11-12 and \$98,455.61 in FY 12-13.

See attached UCCE Program and Funding details

**FY2011-2012 Santa Barbara County Budget**

<b>Personnel <sup>1</sup></b>	<b>Benefits <sup>2</sup></b>	<b>Operating Costs - Goleta <sup>3</sup></b>	<b>Operating Costs - Vehicles <sup>4</sup></b>	<b>Supplies N.SB CO / SLO <sup>5</sup></b>	<b>Travel <sup>6</sup></b>	<b>Total</b>	<b>4-H Costs</b>
\$ 70,107.45	\$ 19,183.55	\$ 36,257.45	\$ 8,176.03	\$ 11,506.20	\$ 7,769.32	\$ 153,000.00	

		Personnel	Benefits	Operating Costs	Total
<sup>1</sup> Personnel:	Salaries for the following:	\$ 49,075.22	\$ 13,428.49	\$ 36,257.45	\$ 98,761.15
	0.4 FTE UC Program Rep II for the UC Master Gardener Program				
	0.5 FTE UC Program Rep III for the 4-H Youth Development Program				
	0.4 FTE UC Office Assistant				
	Part time UC Field Assistants as needed by the Farm Advisors for their applied research projects in SB County & partial year of 4-H PR II Funding	\$ 936,424.00		\$ 153,000.00	
	<b>NOTE:</b> Additional funds from extensive fundraising and grant efforts are used for extending the 4-H PR III to 1.0 FTE and for the 4-H PR II Position. In 12/13, only funds from grants and fundraising efforts are used for the 4-H PR II Position.				
<sup>2</sup> Benefits:	For the above Staff Personnel qualifying for benefits				
<sup>3</sup> Operating Costs Goleta:	Includes rent, telephones, internet service, and utilities				
<sup>4</sup> Operating Costs Vehicles:	Includes the cost of 2 UC leased vehicles used by UC Farm Advisors for their work in Santa Barbara County				
<sup>5</sup> Supplies:	Office and program supplies				
<sup>6</sup> Travel:	Mileage reimbursemnt for personal vehicles used on official business and for lodging and associated expenses for meetings and conferences				

# Santa Barbara County UCCE Programs Areas

## **AGRICULTURE – PLANT SCIENCES AND HORTICULTURE: FTEs = 3.75**

**Specifically, UCCE personnel in Santa Barbara County conduct applied research and offer extension education programs in:**

- Avocados and minor subtropical plants
- Entomology
- Floriculture and nursery production
- Integrated pest management – works closely with the Ag Commissioner’s office on all exotic and invasive pests and supplies the research and control methods for agriculture from its researchers at the county and state and national levels.
- Small farms, specialty crops and organics
- Strawberry and vegetable crops
- Soils and water
- Viticulture
- Water Quality

## **FIRE ECOLOGY AND MANAGEMENT: FTEs = 0.25**

- This program is focused broadly on scientific questions in fire ecology and management. Research includes analysis of where various fuel management techniques are likely to succeed and be sustainable, mapping of fire weather patterns, and quantifying linkages between fire and climate change.

Outreach efforts emphasize fire-related policy decisions and education of the general public to live more safely on fire-prone landscapes.

## **MASTER GARDENER PROGRAM: FTEs = 0.85**

- UCCE Master Gardeners, through their volunteer activities, provide the primary outreach and extension method for improving horticulture and science literacy for homeowners and backyard gardeners.

They provide research based information for home horticulture, pest identification, landscape management, and other environmental and natural resource information.

They interact directly with homeowners and back yard gardeners to provide information on plant selection, alternatives to pesticides, water conservation, and environmentally sound solutions for pest problems.

UCCE Master Gardeners are often on the front line of identifying harmful and invasive pests, since they work with and deliver programs to “backyard” gardeners in SB County. Master Gardeners can be an invaluable resource for the Ag Commissioners office in spreading the word on harmful/invasive pests and plant diseases.

## Santa Barbara County UCCE Programs Areas

### **4-H YOUTH DEVELOPMENT PROGRAM: FTEs = 2.45**

In the last two years, the SB County program has added more than 200 members and 70 4-H Volunteer Leaders and has recently chartered two new clubs in the county. The number of youth enrolled in 4-H clubs in the year ending June 30, 2012 was 805 members, which represented an increase of 17% over the previous year. There are currently over 855 youth enrolled in the 4-H Club program. The program is continuing to expand in all areas of the county.

During the 11/12 year, an additional 4,396 youth were served through 4-H Agua Pura environmental education outreach activities and 4-H booths at school and community events, more than twice as many youth reached through such methods the previous year.

4-H enables Santa Barbara County's youth to emerge as leaders through hands-on learning, research-based 4-H youth programs and adult mentorship, in order to give back to their local communities. 4-H is the youth development program of our nation's Cooperative Extension System. The 4-H Youth Development Program is brought to SB County by the UC Cooperative Extension.

A 4-H Club receives a 4-H Charter from the 4-H National Headquarters at the United States Department of Agriculture and the University of California Cooperative. The 4-H Charter recognizes a 4-H Club organization and its agreement to meet the requirements outlined by the Cooperative Extension System, and authorizes the group to use the 4-H Name and Emblem for educational purposes in accordance with the laws and regulations established by the Congress of the United States of America, the Secretary of the United States Department of Agriculture, and the land-grant university. (A 4-H Club cannot operate without a 4-H Charter).

### **UC CALFRESH NUTRITION EDUCATION PROGRAM (UC CalFresh NEP): FTEs = 1.5**

The University of California's CalFresh Nutrition Education Program (UC CalFresh) is funded by the USDA and delivered by the University of California Cooperative Extension to Santa Barbara County. In collaboration with local partners, UC CalFresh provides evidenced-based nutrition education to CalFresh recipients and other low-income individuals and families. It acts as a vital bridge with the community by tailoring the latest science, curriculum, and nutrition information to the needs of low-income families.

The program also provides educators at qualifying schools with research-based nutrition education curriculum and high-quality nutrition education training utilizing the USDA MyPlate as a guidance tool. Recognizing that healthy eaters are better learners, the goal is to help these community members make better nutrition decisions by focusing on increasing vegetable and fruit consumption, choosing low-fat/low-sodium foods, and balancing caloric intake by an awareness of portion size.

## Santa Barbara County UCCE Programs Areas

In Santa Barbara County (SB), approximately 38,000 public school students qualify for free and reduced school meals. 32% of them, more than 12,000 students, attend school in the Santa Maria Bonita School District (SMBSD) located in Northern SB. These students and their families are the target audience for UC Cal Fresh Nutrition Education Program (UC Cal Fresh) in Northern Santa Barbara County.

UC Cal Fresh's comprehensive nutrition education materials are in the Santa Maria Bonita School District (SMBSD) classrooms every day of the school year. Thirteen of the district's 15 schools participate in the program. Over 100 SMBSD educators deliver classroom nutrition education to approximately over 3,000 students. Families of the students receive indirect nutrition education via parent letters and recipes sent home with students. Also, the program is also offered at Kermit McKenzie Jr. High School in Guadalupe.

UC Cal Fresh also works with the Santa Barbara County Food Bank Collaboration, the Evans Park Housing Authority, and with THRIVE Santa Maria, *Cradle to Career*: an SMBSD program which collaborates with local agencies to promote successful living amongst district students and families.

**TOTAL SANTA BARBARA COUNTY UCCE PROGRAM: FTEs = 8.8**

**UNIVERSITY OF CALIFORNIA**  
**DIVISION OF AGRICULTURE & NATURAL RESOURCES**  
**FUND SOURCE BASED ON TOTAL COOPERATIVE EXTENSION BUDGET**  
**COOPERATIVE EXTENSION 2011/2012**

County	TOTAL	%	STATE			FEDERAL			COUNTY			ENDOWMENT	
			DIRECT SUPPORT	INDIRECT SUPPORT	%	DIRECT SUPPORT	INDIRECT SUPPORT	%	DIRECT SUPPORT	INDIRECT SUPPORT	%	DIRECT SUPPORT	%
Alameda	2,017,614	100.0%	364,195	718,627	53.7%	333,694	316,247	32.2%	243,823	1,320	12.2%	39,708	2.0%
Amador	444,234	100.0%	71,307	140,703	47.7%	8,150	61,919	15.8%	139,954	6,875	33.1%	15,327	3.5%
Butte	2,272,153	100.0%	417,147	823,112	54.6%	255,286	362,228	27.2%	314,720	99,660	18.2%	0	0.0%
Calaveras	367,557	100.0%	48,748	96,190	39.4%	15,474	42,330	15.7%	148,554	12,046	43.7%	4,214	1.1%
Colusa	605,125	100.0%	78,876	155,638	38.8%	32,682	68,492	16.7%	269,437	-	44.5%	0	0.0%
Contra Costa	1,988,474	100.0%	412,255	813,461	61.6%	160,778	357,980	26.1%	234,853	-	11.8%	9,147	0.5%
El Dorado	560,578	100.0%	57,744	113,940	30.6%	28,223	50,142	14.0%	269,858	20,636	51.8%	20,036	3.6%
Fresno	3,207,236	100.0%	587,097	1,158,458	54.4%	495,060	509,804	31.3%	456,816	-	14.2%	-	0.0%
Glenn	1,239,849	100.0%	231,699	457,187	55.6%	97,330	201,194	24.1%	252,439	-	20.4%	0	0.0%
Humboldt-Del Norte	1,096,991	100.0%	172,657	340,686	46.8%	93,052	149,926	22.1%	149,408	191,262	31.1%	-	0.0%
Imperial	1,454,577	100.0%	236,984	467,615	48.4%	92,040	205,784	20.5%	423,736	27,121	31.0%	1,297	0.1%
Inyo-Mono	430,929	100.0%	74,524	147,049	51.4%	29,678	64,712	21.9%	114,966	-	26.7%	-	0.0%
Kern	3,784,558	100.0%	721,176	1,423,022	56.7%	432,572	626,230	28.0%	394,652	150,600	14.4%	36,305	1.0%
Kings	1,824,929	100.0%	378,086	746,037	61.6%	162,068	328,309	26.9%	174,800	35,628	11.5%	-	0.0%
Lake	1,113,502	100.0%	213,778	421,826	57.1%	92,863	185,633	25.0%	188,599	10,802	17.9%	0	0.0%
Lassen	642,601	100.0%	133,789	263,992	61.9%	33,119	116,175	23.2%	79,245	13,724	14.5%	2,558	0.4%
Los Angeles	3,286,885	100.0%	544,616	1,074,635	49.3%	632,150	472,915	33.6%	475,000	-	14.5%	87,568	2.7%
Madera	726,493	100.0%	127,510	251,602	52.2%	78,093	110,723	26.0%	71,152	87,413	21.8%	-	0.0%
Marin	1,604,090	100.0%	303,474	598,813	56.2%	131,266	263,520	24.6%	293,653	-	18.3%	13,365	0.8%
Mariposa	384,814	100.0%	52,473	103,540	40.5%	25,414	45,565	18.4%	157,822	-	41.0%	-	0.0%
Mendocino	1,104,051	100.0%	190,073	375,052	51.2%	77,051	165,049	21.9%	234,953	61,873	26.9%	0	0.0%
Merced	1,950,338	100.0%	415,279	819,428	63.3%	175,629	360,606	27.5%	177,358	-	9.1%	2,038	0.1%
Modoc	327,546	100.0%	50,597	99,837	45.9%	21,684	43,936	20.0%	93,442	18,050	34.0%	0	0.0%
Monterey	2,805,298	100.0%	472,662	932,654	50.1%	196,759	410,434	21.6%	454,711	336,807	28.2%	1,271	0.0%
Napa	994,951	100.0%	135,290	266,953	40.4%	62,362	117,478	18.1%	412,867	-	41.5%	0	0.0%
Orange	1,285,032	100.0%	204,972	404,450	47.4%	162,115	177,987	26.5%	230,955	59,613	22.6%	44,942	3.5%
Placer-Nevada	1,208,046	100.0%	166,774	329,079	41.0%	38,264	144,818	15.2%	384,782	121,576	41.9%	22,753	1.9%
Plumas-Sierra	856,419	100.0%	165,350	326,268	57.4%	69,168	143,581	24.8%	88,977	63,075	17.8%	-	0.0%
Riverside	3,097,827	100.0%	568,407	1,121,578	54.6%	277,840	493,574	24.9%	587,203	35,000	20.1%	14,225	0.5%
Sacramento	1,593,999	100.0%	239,790	473,153	44.7%	312,240	208,221	32.7%	334,117	-	21.0%	26,479	1.7%
San Benito	421,134	100.0%	90,465	178,505	63.9%	28,438	78,555	25.4%	19,000	24,900	10.4%	1,271	0.3%
San Bernardino	1,358,310	100.0%	234,159	462,043	51.3%	239,414	203,331	32.6%	65,000	114,986	13.3%	39,376	2.9%
San Diego	4,976,624	100.0%	923,495	1,822,236	55.2%	517,548	801,913	26.5%	853,058	-	17.1%	58,376	1.2%
San Joaquin	2,986,315	100.0%	467,685	922,835	46.6%	266,684	406,112	22.5%	286,981	636,017	30.9%	-	0.0%
San Luis Obispo	2,252,853	100.0%	404,643	798,440	53.4%	141,581	351,370	21.9%	468,177	59,619	23.4%	29,023	1.3%

**UNIVERSITY OF CALIFORNIA**  
**DIVISION OF AGRICULTURE & NATURAL RESOURCES**  
**FUND SOURCE BASED ON TOTAL COOPERATIVE EXTENSION BUDGET**  
**COOPERATIVE EXTENSION 2011/2012**

County	TOTAL	%	STATE			FEDERAL			COUNTY			ENDOWMENT	
			DIRECT SUPPORT	INDIRECT SUPPORT *	%	DIRECT SUPPORT	INDIRECT SUPPORT	%	DIRECT SUPPORT	INDIRECT SUPPORT	%	DIRECT SUPPORT	%
San Francisco-San Mateo	928,303	100.0%	155,602	307,034	49.8%	142,749	135,117	29.9%	173,278	-	18.7%	14,522	1.6%
Santa Barbara	936,424	100.0%	190,549	375,991	60.5%	50,808	165,463	23.1%	153,000	-	16.3%	613	0.1%
Santa Clara	1,552,830	100.0%	297,743	587,505	57.0%	194,991	258,544	29.2%	115,000	70,234	11.9%	28,814	1.9%
Santa Cruz	1,478,526	100.0%	307,969	607,684	61.9%	57,174	267,424	22.0%	123,025	76,080	13.5%	39,170	2.6%
Shasta-Trinity	1,561,298	100.0%	320,212	631,842	61.0%	65,003	278,055	22.0%	246,061	7,200	16.2%	12,925	0.8%
Siskiyou	1,168,419	100.0%	252,120	497,483	64.2%	87,133	218,928	26.2%	82,035	30,720	9.7%	0	0.0%
Solano	1,337,947	100.0%	247,608	488,579	55.0%	77,919	215,009	21.9%	308,833	-	23.1%	-	0.0%
Sonoma	2,160,435	100.0%	312,084	615,803	42.9%	137,533	270,997	18.9%	788,290	30,000	37.9%	5,728	0.3%
Stanislaus	2,225,913	100.0%	419,798	828,345	56.1%	224,931	364,530	26.5%	372,962	-	16.8%	15,347	0.7%
Sutter-Yuba	1,521,763	100.0%	298,004	588,020	58.2%	81,991	258,771	22.4%	228,682	29,990	17.0%	36,305	2.4%
Tehama	989,588	100.0%	186,527	368,054	56.0%	78,253	161,970	24.3%	145,184	49,600	19.7%	-	0.0%
Tulare	4,724,745	100.0%	873,761	1,724,101	55.0%	398,302	758,726	24.5%	907,843	47,489	20.2%	14,522	0.3%
Tuolumne	338,572	100.0%	40,725	80,358	35.8%	12,035	35,363	14.0%	144,783	21,094	49.0%	4,214	1.2%
Ventura	2,274,321	100.0%	408,002	805,069	53.3%	115,832	354,287	20.7%	467,428	44,345	22.5%	79,358	3.5%
Yolo	1,545,848	100.0%	282,977	558,369	54.4%	118,458	245,722	23.6%	262,515	77,808	22.0%	-	0.0%
<b>Total</b>	<b>81,016,862</b>	<b>100.0%</b>	<b>14,551,457</b>	<b>28,712,881</b>	<b>53.4%</b>	<b>7,658,882</b>	<b>12,635,695</b>	<b>25.0%</b>	<b>14,063,987</b>	<b>2,673,163</b>	<b>20.7%</b>	<b>720,797</b>	<b>0.9%</b>

# Board Inquiry Form

Inquiry Number:05

Board Member	
Carbajal	
Wolf	x
Farr	
Adam	
Lavagnino	

Department: ADMHS and PROBATION

Date: 6/5/2013

Page(s) of Budget Book: D-54, D-114

## Request/Question:

Please provide a detailed breakdown of Probation's juvenile mental health services that are proposed to be cut from the ADMHS budget and performed by Corizon- include a comparison of positions and duties and hours, as well as the proposal for services submitted by Corizon, and Corizon's experience providing juvenile mental health services in CA and elsewhere; also provide the counter-proposals from ADMHS and options presented for closing the gap.

Response Prepared by: Probation, ADMHS, Richard Morgantini

## Response:

The proposed contract with Corizon provides the same level of services to the youth at the Santa Maria Juvenile Hall (SMJH) and in Camp Programs for a significant reduction in cost to the Probation Department (approximately \$300,000). While the Probation Department has enjoyed an excellent working relationship with Alcohol Drug and Mental Health Services (ADMHS), the opportunity to contract for like services at the reduced cost was the reason behind this proposed change.

Given the quality of medical care provided by Prison Health Services and now Corizon Inc., since 2005, the Probation Department did not pursue an RFP process for mental health services.

As part of the review process Probation contacted seven Juvenile facilities who are in contract with Corizon Inc. for mental health and medical services in other States to assess the quality and level of service Corizon provides. These facilities have been in contract with Corizon Inc. from 3.5 years to 8 years. All facilities were satisfied with Corizon Inc.

The level of service ranged from "as needed" to acute care. They noted good to excellent communication and good team work. Most had a quality assurance protocol in place, including monthly meetings with Corizon Inc. Administration and Facility Administration. They are "excellent" in their hiring practice, they hold staff accountable, and are excellent in designating work and assuring the work is done well. Training is provided by clinicians to institution staff. In some facilities they provide first Aid/CPR training and others use them to train on MH related topics. All facilities reported that they would enter into a contract again with Corizon Inc. and would recommend Corizon Inc. to other juvenile facilities.

Probation and ADMHS considered additional proposals to finance the costs in different ways which would decrease or remove the gap from Probation. The only counter-proposal that ADMHS has at this point would be the possible use of 2011 Realignment (if available due to lower than expected Medi-Cal or indigent costs) or more GFC.

# *Alcohol, Drug & Mental Health Services*

## M E M O R A N D U M



**Date:** June 11, 2013  
**To:** Takashi Wada  
Interim Director, ADMHS  
**From:** Suzanne Grimesey  
**Subject:** JJMH Services by level and type of service  
**CC:** Ted Myers, COO

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Please find below, a table outlining the current level of service provided by ADMHS within the Juvenile Justice Mental Health System (specifically within the Juvenile Hall and the Los Prietos Boys Camp). Embedded in the table is an outline of the specific services provided and level of services (service type).

Currently, the Juvenile Justice Mental Health system is largely reliant on volunteer interns to provide the necessary level of service. ADMHS did propose an increase in staffing to reduce the reliance on interns, but not to increase overall service levels.

	<b>Juvenile Hall</b>	<b>LPBC</b>	<b>Corizon Proposal</b>
<b>Service</b>	<b>Frequency per Week</b>	<b>Frequency per Week</b>	
Crisis Prevention (Proactive Interventions) & Crisis Responding (including 5585s)	5-7 hours per week	2 hours per week	No provided via Corizon – ADMHS to retain through JJCPA funding
Urgent Referrals	7-9 hours per week	2-4 per week	To be provided
SLA, SLI-5, Camera Room, 1:1s – Risk to self and other assessments and recommendations	7-9 hours per week	0 hours per week	No provided via Corizon – ADMHS to retain through JJCPA funding
Medication Management	28 hours (includes Psychiatrist and Psych Tech)	14 hours (includes Psychiatrist and Psych Tech)	To be provided
YOBG Individual	15-20 hours per week	0 hours per week	To be provided
YOBG Group	3 hours per week	0 hours per week	To be provided
Gender-Specific Treatment (Trauma-DV, Child Abuse, Sexual Abuse, Prostitution) – Currently approx.	14 hours per week	4 hours per week	To be provided
Individual Therapy	40 hours per week	30 hour per week	To be provided
Group Therapy	3 hours per week	4 hours per week	To be provided
Family Therapy	2-4 hours per week	3-5 hours per week	To be provided
Proactive Interventions	3-4 hours per week	3-5 hours per week	To be provided
Non-urgent Referrals	4-6 hours per week	8-12 hours per week	To be provided
Behavior Plans	.5 hours per week	.5 hours per week	To be provided
Regular Check-ins	5-7 hours per week	2-3 hours per week	To be provided
Collaboration with Medical, School, Probation	6-8 hours per week	8-10 hours per week	To be provided
Weekly Placement Review Committee	1.5 hour per week	0 hours per week	To be provided
High Risk Youth Treatment Team Meeting	1.5 hours per week	0 hours per week	To be provided
Aftercare Referrals	3-5 hours per week	2-3 hours per week	To be provided
Documentation	4-6 hours per week	2-3 hour per week	To be provided
Training	.5 hour per week	.5 hours per week	To be provided

## Corizon / JJMHS Staffing 03-19-13

Note: Corizon staffing includes Medical and MH Together  
Current ADMHS is what has been done the past year  
Proposed ADMHS reduces reliance on student volunteers

### Juvenile Hall

Position	Corizon	Current ADMHS	Initial Proposed ADMHS
AHSA/DON	0.8	0	0
Regional Manager	0	0.15	0.15
Team Supervisor	0	0.3	0.7
Admin Assistant	0.8	0	0
Medical Director	0.6	0	0
RN	1.05	0	0
LVN	0.95	0	0
Medical Assistant	1	0	0
Psychiatrist	0.2	0.2	0.2
Licensed MH Counselor	2.4	3.4	3
Student Interns	0	0.8	0.38
Psych Tech	0.4	0.5	0.5
<b>Total FTEs</b>	<b>8.2</b>	<b>5.35</b>	<b>4.93</b>

### Los Prietos

Position	Corizon	Current ADMHS	Initial Proposed ADMHS
AHSA/DON	0.2		
Regional Manager	0	0.1	0.1
Team Supervisor	0	0.2	0.3
Admin Assistant	0.2	0	0
Medical Director	0.15	0	0
RN	1	0	0
Psychiatrist	0.1	0.15	0.15
Licensed MH Counselor	1.6	2	2
Student Interns	0	0.7	0.38
Psych Tech	0.1	0.15	0.15
<b>Total FTEs</b>	<b>3.35</b>	<b>3.3</b>	<b>3.08</b>

# MENTAL HEALTH SERVICES SCOPE OF WORK

## 1.0 Introduction

Corizon (Contractor) shall provide a comprehensive Mental Health (MH) program for Probation Youth detained at the Santa Maria Juvenile Hall (SMJH) and Youth committed to the Los Prietos Boys Camp and Academy (LPBC/BA).

The program will consist of routine and emergency care, treatment, and support of Youth in collaboration and consultation with Probation Detention Facility Manager and staff. All Contractor MH staff will function as part of a multidisciplinary team that is effective in stabilization, treatment, and helping Youth to permanently improve their lives. Contractor will provide the staffing required to successfully implement a quality MH program.

Contractor, in collaboration with Probation, County Education, and the Department of Alcohol, Drugs, and Mental Health Services (ADMHS) personnel will institute a coordinated Medical/MH team approach providing clinical tools and educational programs designed to facilitate early identification, intervention, and treatment of Youth in need.

## 1.1 Minimum Standards

All services and treatment will be in compliance with Institute of Medical Quality Health Care Standards for Juvenile Detention Facilities, and Specifically sections J-311, Mental Health Services, J-312 Suicide Prevention, and California Code of Regulations, Title 15, Division 1, Chapter 1, Subchapter 5, Article 8 Health Services §§ 1400-1454

## 1.2 Staffing

Contractor and County have agreed on a Staffing Plan that is expected to adequately address the medical and mental health needs of the Youth. The Staffing Plan is attached to this Agreement as Exhibit A. Depending on the needs of the Youth and the facility, Staffing may be redeployed between the SMJH and LPBC/BA when required to meet the clinical needs of the Youth.

Contract staff will be licensed and qualified personnel. MH personnel must meet all licensing requirements of the State of California, with specialized training in the treatment of adolescents with the array of disorders and challenges common to the juvenile justice population.

Contractor and Probation recognize the importance of providing bi-lingual and culturally sensitive services and treatment and will work to ensure that the needs of all Youth in the Juvenile Facilities are understood by seeking qualified bi-lingual staff.

Job descriptions for the Psychiatrist, Licensed Mental Health Counselor and Psychiatric Technician are attached.

***Santa Maria Juvenile Hall***

POSITION	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Hrs/ Wk	FTE
<b>DAY SHIFT</b>									
AHSA / DON	8	8	8		8			32	0.80
Administrative Assistant	8	8	8		8			32	0.80
Medical Director								18	0.45
RN	6	6	6	6	6	6	6	42	1.05
LVN	6	6	6	6	6	4	4	38	0.95
Medical Assistant	6	6	6	6	6			30	0.75
Psychiatrist	4				4			8	0.20
Licensed Mental Health Counselor	16	16	16	16	16	8	8	96	2.40
Psych Tech	4	4		4	4			16	0.40
<b>TOTAL HOURS/FTE-Day</b>								<b>312</b>	<b>7.80</b>

***Los Prietos Boys Camp***

POSITION	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Hrs/ Wk	FTE
<b>DAY SHIFT</b>									
AHSA / DON				8				8	0.20
Administrative Assistant				8				8	0.20
Medical Director								6	0.15
RN	8	8	8	8	8			40	1.00
Psychiatrist			6					6	0.15
Licensed Mental Health Counselor	16	16	16	16	16			80	2.00
Psych Tech			6					6	0.15
<b>TOTAL HOURS/FTE-Day</b>								<b>154</b>	<b>3.85</b>

**Regional Vice President Charles Guffey, RN, BS, CCHP** supervises a regional office staff with connecting management responsibility for a large field staff, and is responsible for achieving budgetary goals, maintaining high client satisfaction, ensuring that the contract achieves company goals and operates at a level to meet all local, state, and accreditation standards. He has over 25 years of experience as a Registered Nurse in many areas of the medical industry. He has been responsible for all aspects of health care delivery at the El Paso County (TX) Detention System as the Health Services Administrator since 2006. He became Regional Vice President in February 2012 and provides oversight for Corizon contracts in California, Texas, Arizona, Nevada and New Mexico.

**Jennifer Slencak, BSN, RN, CCHP** is the **Regional Clinical Services Manager**. Ms. Slencak provides clinical leadership to Santa Barbara County and other correctional facilities, including adult detention and juvenile facilities, throughout the Western U.S. She has a proven background of care delivery and

direction of clinical care over the past 14 years. She has a solid foundation in a variety of clinical settings with experience related to multiple patient populations across the life span. Her extensive management and administrative experience over 10 years has provided leadership, development, operational oversight, quality & risk management and fiscal responsibility in a variety care delivery settings.

**Diane Wood, M.ED, LPC, Manager, Behavioral Health Services**, is responsible for the development of ongoing continuing education programs for mental health staff. She also provides supportive services and management coaching to site mental health program coordinators and directors to maximize their communication, leadership, and conflict resolution skills. Ms. Wood has over 21 years of mental healthcare experience, including 18 years in the field of correctional mental health. As a licensed family counselor she worked with adolescents and families at the start of her career, while working in a Half Way House. She then began her career in Corrections with the Virginia DOC as a Rehabilitation Counselor in 1993. She then went on to work for Corizon in service to the Virginia DOC; ending her tenure at Virginia DOC in 2007. She currently provides corporate level clinical mental health support to many of the Corizon jails and statewide correctional systems. Ms. Wood has been involved in all aspects of mental healthcare including providing direct clinical services, assessments/evaluations, diagnostic interviews, crisis intervention and staff training and development. She has also been responsible for assessing the mental health needs of the offender populations and supervising and monitoring mental health staff. Ms. Wood has extensive experience in mental health program development and implementation as well as the clinical supervision of mental healthcare staff.

**Joseph M. Pastor, MD, CCHP, Chief Mental Health Officer**, supervises the Corizon Behavioral Healthcare team and provides consultation for patient care and mental health staff utilizing telepsychiatry in jails and prisons. He consults with Corizon psychiatrists nationwide and serves on the company's Specialty Panel of Physicians. Dr. Pastor has over 20 years of medical practice experience and has extensive knowledge of correctional, community and academic mental health. He is an expert in the integration of physical and behavioral medicine and is trained in adult and child/adolescent psychiatry. He has worked in correctional health care since 1997 and began working exclusively with Corizon in 2007.

Following are brief summaries/job descriptions of other positions Corizon will be hiring to provide healthcare for the Santa Barbara detainees:

**Physician** - Provides and supervises medical care to detainees, evaluates medical program and medical services provided, evaluates patient care required or administered, evaluates condition and adequacy of treatment facilities, evaluates need for and condition of necessary medical equipment and conditions of non-medical nature that relate to general medical and health needs of inmates.

**Psychiatrist** - Evaluates and provides comprehensive psychiatric care in a juvenile probation custody setting, while observing and maintaining the requirements of security. Evaluates patients referred for the presence or absence of mental illness. Treats all Youth/patients requiring psychiatric intervention.

**Licensed Clinical Social Worker** - Responsible for providing a full range of mental health services to the inmate population, under the direction of the psychiatrist. Provides direct clinical services and consultation services in accordance with the policies and procedures of mental health services, the policies and regulations of the facility and in accordance with the ethics and standards of the National Association of Social Workers. Acts as a liaison between the Facility and the local community mental health programs.

**Psychiatric Technician** – Provides psychosocial and rehabilitative activities to Youth diagnosed with a mental illness in accordance with Corizon and site specific policies and procedures. Duties will be within the scope of the Corizon orientation and training program.

**Registered Nurse** – Conducts nursing sick call, can do routine physical exams, and responds to emergencies within the facility.

**Licensed Vocational Nurse** - Provides direct nursing care in juvenile facility setting while observing and maintaining the requirements of security.

**Assistant Health Services Administrator (AHSA)**- Provides administrative services including employee hiring; staff development, evaluation and discipline; case management; policy and procedure development and implementation at the Probation Facilities.

**Medical Assistant** – Assists with the daily operations of the medical unit, infirmary and laboratory.

**Administrative Assistant** – Answers phones and performs secretarial tasks for the HSA; assists with payroll; maintains personnel benefits and records; compiles inmate justice statistics; monitors accounts payable and billing; schedules outpatient appointments and arranges for transportation; orders supplies and does inventory with the assistance of the MA; and inputs monthly QA data into the computer.

## 2.0 Mental Health (MH) Program

### 2.1 Primary Objectives of the MH Program

- 2.1.1 Provide clinical treatment services and participate in discharge planning of Youth at the SMJH, LPBC/LPBA.
- 2.1.2 Provide clinical accuracy in identifying and treating MH needs and promote healthy functioning and lifestyles.
- 2.1.3 Facilitate the coordination of medical and MH services, including integration of patient specific information for community providers.
- 2.1.4 Provide Suicide and Crisis Prevention and Crisis Response.
  - i. Assessment and Intervention: As outlined in the Corizon Suicide Prevention Manual and in coordination with facility personnel, develop Crisis Plans for Youth at risk of harm to themselves or others using protocol empirically proven effective for urgent referrals.

- ii. Prioritize treatment delivery to Youth showing significant signs of psychosis, delusional thinking, mood disorder, cognitive impairment (e.g. from chronic spice or meth use), substance abuse withdrawal, risk to harm self or others, disruptive conduct within the institution, or other urgent need.
- 2.1.5 Provide empirically based treatment for mentally ill Youth
  - 2.1.6 Participate in the design and implementation of institutional policies and programs to foster an approach that is maximally rehabilitative.

## **2.2 Contractor MH Clinician Duties**

- 2.2.1 During staffed hours (8:00 a.m. to 7:00 p.m. M-F and 8:00 a.m. to 5:00 p.m. on weekends), screen Youth for MH conditions based on the scores of the Massachusetts Youth Screening Instrument- Second Version (MAYSI II) screening tool, which is administered upon intake at the facility. Clinicians will immediately respond to all Youth who score a warning on the suicide scale. Clinicians will respond to Youth who score a warning on all other scales or a caution on the suicide scale via the routine referral process.
- 2.2.2 Conduct a MH evaluation within 14 days of admission to the SMJH and upon intake for all LPBC/LPBA Youth. This will be part of the Health Appraisal, which includes a detailed history and physical examination.
- 2.2.3 Respond to clinical treatment needs including:
  - 2.2.2.1 Respond to Urgent and Routine referrals by Youth, Probation staff, and medical staff, prioritizing according to urgency and risk
  - 2.2.2.2 Conduct non-crisis treatment for high and moderate risk detainees, including individual and group therapy e.g. Moral Reconciliation Therapy (MRT).
  - 2.2.2.3 Provide gender specific treatment.
  - 2.2.2.4 Family therapy as appropriate
  - 2.2.2.5 Behavior plan development in collaboration with medical and Probation staff
- 2.2.4 Provide trainings to facility staff four times per year during scheduled staff meetings on mutually agreed upon topics. Probation Administration will assist in coordinating trainings.
- 2.2.5 Participate in Juvenile Institution Officer (JIO) Core Training by reviewing and providing feedback to update those sections related to MH issues (i.e., Suicide Prevention, Supervision of Minors) and teaching relevant

sections. Probation Administration will assist in arranging Contractor participation in Core Training.

- 2.2.5 See all Youth on a suicide watch status (Suicide Log Active (SLA) and Suicide Log Inactive (SLI)-5) and those who are on Maximum Security (MS) status on a daily basis, and more often depending on the severity and/or complications of other factors.
- 2.2.6 Participate in weekly treatment team meetings at the SMJH and LPBC/BA.
- 2.2.7 For Youth receiving psychotropic medication or receiving intensive MH services, make aftercare referrals and recommendations for continuity of care.
- 2.2.8 Contractor will provide MH assessment in the use of restraints to assess the need for mental health treatment, in accordance with CCR Title 15, Standards for Juvenile Facilities.

## **3.0 Psychiatric Services**

### **3.1 Youth Taking Psychotropic Medication**

- 3.1.1 Ensure Youth are seen by facility psychiatrist within seven (7) days of admission to the SMJH. Subsequent follow-ups should correspond with IMQ standards.
- 3.1.2 Link Youth and their parents/guardians to a community physician (county clinic or private physician) prior to Youth's release from SMJH, LPBC or LPBA by making contact with parent/guardian and community physician and making appropriate referrals. For Youth going into placement, Contractor will contact the Deputy Probation Officer (DPO) assigned to placements to ensure a psychiatrist appointment is scheduled. All contacts and referrals shall be documented by Contractor.
- 3.1.3 Complete a discharge summary that will be forwarded to the Youth's parent/guardian or placement personnel for delivery to his or her treating physician.
- 3.1.4 Contractor will track inventory, maintenance, and monitoring of psychotropic medication pursuant to State and Federal guidelines.

### **3.2 Upon Discharge**

- 3.2.1 Contractor will prescribe a maximum of a 30 day medication supply for Youth returning to the community.
- 3.2.2 Probation will be responsible for paying for medication.
- 3.2.3 Youth with medical insurance coverage are expected to receive a shorter supply of medication than those without insurance coverage.

### **3.3 Formulary**

- 3.3.1 Corizon will use the Medi-Cal formulary, as published by the California State Department of Health Care Services, for prescribing medication.

### **3.4 Reporting**

- 3.4.1 Corizon will provide to the facility manager monthly reports of the number of clients seen by the psychiatrist and the number of hours of direct service.

## **4.0 Service Level and Ancillary Services**

### **4.1 Practice Guidance**

- 4.1.1 “Practice Parameters for the Assessment and Treatment of Youth in Juvenile Detention and Correctional Facilities” will be communicated and followed by Corizon clinicians and Program Manager.
  - 4.1.1.1 The Clinician should have an awareness and understanding of the operations of the juvenile detention facility and the issues affecting it, including the interface with multiple systems (e.g., Police, Probation, Family/Juvenile Courts, Social Services, and Child Welfare Agencies) and existing educational and health care systems within facility.
  - 4.1.1.2 Youth held in a juvenile justice detention facility will receive continued monitoring for mental or substance use disorders, emotional or behavioral problems, and especially for suicide risk.

- 4.1.1.3 Any Youth with recent/current suicidal ideation, attempts or symptoms of a mental of substance-related disorder during the period of incarceration will be referred for additional evaluation by a MH clinician
- 4.1.1.4 MH clinicians working in juvenile justice settings must be vigilant about personal safety and security issues and aware of actions that may compromise their safety and/or the safety and containment on the detained Youths.
- 4.1.2 All MH professionals will clearly define and maintain their clinician role with Youthful offenders and their family members.
- 4.1.3 Adequate time and resources are needed to perform a mental health assessment of a detained Youth using a bio-psychosocial approach with special attention to cultural, family, gender and other relevant Youth issues.
- 4.1.4 MH clinicians will be alert to symptoms, behaviors, and other clinical presentations of malingering, secondary gain, and manipulative behaviors by detained Youth.
- 4.1.5 MH professionals should be aware of unique therapeutic and boundary issues that arise in the context of juvenile detention settings.
- 4.1.6 MH Clinicians will be knowledgeable about the facility's policies and procedures regarding seclusion, physical restraints, and psychotropic medication, and in support of humane care, will advocate for the selective use of restrictive procedures only when needed to maintain safety or when less restrictive measures have failed.
- 4.1.7 MH Clinicians will use psychotropic medications for incarcerated clients in a safe and clinically appropriate manner and only a part of a comprehensive treatment plan.
- 4.1.8 Clinicians will be involved in the development, implementation, and reassessment of the Youth's Individualized Treatment Plan while

in the facility and with the planning process for re-entry to the community that best incorporates multidisciplinary, culturally competent, family-based treatment approaches.

## **4.2 Training Orientation**

- 4.2.1 Probation staff will provide a standard orientation to all new Corizon staff who will be working in a Probation Detention Facility. The orientation will occur prior to Corizon staff working with the detainee population. This includes interns or volunteers.

## **4.3 MH Updates and Court Appearances**

- 4.3.1 As needed, Contractor staff will provide testimony in court or prepare informational reports for the courts or DPO relating to a Youth's current MH needs to the extent permissible under applicable state and federal law.
- 4.3.2 Contractor will not be responsible for full psychological reports, however contractor will facilitate release of information in cooperation with the Licensed Psychologist completing court ordered psychological evaluations to the extent permissible under applicable state and federal law.

## **4.4 Intern Program**

- 4.4.1 The County recognizes the potential contributions of interns to service delivery, as well as the community benefits of opening governmental facilities to student and pre-licensed interns.
- 4.4.2 Upon receiving prior written approval from the County, Corizon may accept Student Interns at the Probation Detention Facilities by entering into Affiliation Agreements with certain educational institutions.
- 4.4.3 Upon receiving prior written approval from the County, Corizon may accept post-masters or post-doctoral interns at the Probation Detention Facilities to participate in structured professional experiences consistent with state requirements for internship and supervision leading to licensure. Corizon agrees 1) to provide adequate training and supervision

of Interns; and 2) that the Staffing Plan contemplates staffing levels sufficient to provide the required degree of supervision.

## **4.5 Affordable Care Act**

- 4.5.1 In collaboration with Probation, Department of Social Services (DSS), and ADMHS, Contractor will develop policy and protocol to maximize the benefits of the affordable health care act for the Youth detained in Probation Detention Facilities, including reviewing regulations and establishing protocol when appropriate to determine Medi-Cal eligibility and coverage for eligible Youth.

## **5.0 Acute Inpatient Psychiatric Hospitalization**

### **5.1 Psychiatric Emergencies**

- 5.1.1 For Youth detained in Probation Detention Facilities experiencing a suspected psychiatric emergency who may require hospitalization pursuant to Welfare and Institutions Code (WIC) Section(s) 5150/5585:

5.1.1.1 When it is suspected that a detainee, as a result of mental disorder, is a danger to others, is a danger to himself or herself, or is gravely disabled in reference to the 5585 Decision Tree and protocol, a qualified Clinician (ADMHS or Safe Alternatives For Treating Youth [SAFTY]) will establish if acute hospitalization is necessary.

5.1.1.2 If the qualified Clinician establishes that acute hospitalization is necessary, Contractor will search for an available bed.

5.1.1.3 Upon locating an available acute hospital bed, the 5150/5585 order will be written by the qualified Clinician.

5.1.1.4 Probation will be responsible to contact the parent/guardian to advise them to reactivate the Youth's Medi-Cal eligibility as soon as possible. Youths being hospitalized will be released to their parent/guardian pending their release from the acute inpatient psychiatric setting. In these cases, the Youth is no longer detained in a Probation Facility.

5.1.1.5 Youth who are unable to be released to a parent's/guardian's custody due to the gravity of the offense for which they are detained may not be eligible for Medi-Cal. The Probation Manager of the facility, in conjunction with Corizon, will communicate with the hospital to coordinate billing information. Probation is responsible for the cost of the care provided to the Youth by the hospital.

## **6.0 Quality Assurance/ Utilization Review**

### **6.1 Medical Audit Committee (MAC)**

6.1.1 Contractor and County shall maintain a Medical Audit Committee responsible for developing, recommending, and implementing all policies and procedures necessary for the operation of the health care program. The Probation Department's committee shall consist of the Deputy Chief of Probation Institutions, the Detention Facility's Probation Managers, an ADMHS representative, the Medical Director or designee, MH Program Director, AHSA or designee, and a registered nurse from each of the Probation Detention Facilities.

### **6.2 Quality Assurance**

6.2.1 Contractor will develop and maintain a quality assurance (QA) and review protocol consistent with state standards and regulations.

6.2.2 Each month, a QA Review of MH operations will be completed which includes topics that include, but are not limited to, Mental Health Appraisal, Behavior Management, Intake/Receiving Screening, Mental Health Treatment Plans, and Analysis of the use of Psychotropic Medications.

6.2.3 Should the QA Review fail to meet 80% of the Quality Assurance standards as agreed upon in Exhibit B, Corizon will immediately implement a correction plan. The outcome of the QA Reviews and all Quality Improvement plans and outcomes will be presented for review at the MAC meeting.

6.2.4 Contractor will provide quarterly Quality Assurance (QA)/Utilization Review (UR)/Medical and MH Record analysis and reports to appropriate

Probation Managers (January 15<sup>th</sup>, April 15<sup>th</sup>, July 15<sup>th</sup>, and October 15<sup>th</sup>). The quarterly reports will include the quarterly QA and UR as well as the information below. The Probation Managers will include these reviews and documents along with Title 15 Inspections.

- 6.2.5 Conduct quarterly QA on inventory log and labeling of medication to be completed and presented to the MAC meetings.
- 6.2.6 MH Program Manager will conduct monthly QA on clinician files to review progress notes, case plans, etc. A summary with findings, recommendations, remediation/training plan will be provided to the Facility Manager on a quarterly basis (January 15th, April 15th, July 15th, and October 15th).
- 6.2.7 Pursuant to the IMQ, Section J-106, Corizon will participate in an external peer review process that includes files from psychiatry and MH clinicians for detention operations. In order to ensure an impartial review, Probation shall be responsible for hiring and reimbursing the independent peer reviewer. Corizon will coordinate scheduling the review and assist the reviewer in accessing Youth files.
- 6.2.8 With feedback from the contracted Pharmacy, participate in quarterly review of medication usage, discussion of new generics, practices, etc. with a report out at the MAC meetings.

### **6.3 Monthly Data**

- 6.3.1 Contractor will report the following data to the Detention Facility Manager monthly. These reports will be reviewed quarterly at the MAC meeting:
  - 6.3.1.1 The number of referrals received and for what type of evaluation;
  - 6.3.1.2 The number and type of service completed, including group counseling sessions; and
  - 6.3.1.3 The number and type of referrals pending for the month.

### **6.4 Quarterly Data**

6.4.1 Contractor will report the following data to the Detention Facility Manager quarterly:

6.4.1.1 Number of bridge orders, including date ordered;

6.4.1.2 Aftercare/ continuity of care service referrals including date and to what agency or MH professional and service;

6.4.1.3 Number of court appearances and mental health updates prepared for Probation and for the Court.

## **6.5 Pharmaceutical Management**

Through our partner, **Maxor Correctional Pharmacy Services (Maxor)**, Corizon has the capability to supply, consult and/or manage the pharmaceutical program **Through its preferred pricing arrangement with Maxor, Corizon will provide pharmaceutical services to Santa Barbara Sheriff's Office and Probation. The cost of such services shall be borne directly by its respective agency.**

Such plans, procedures, space and accessories shall include, but not be limited to, the following, as listed in the **2005 California Administrative Code of Regulations, Title 15:**

- Securely lockable cabinets, closets, and refrigeration units
- A means for the positive identification of the recipient of the prescribed medication
- Procedures for administration/delivery of medicines to detainees as prescribed
- Confirmation that the recipient has ingested the medication
- Documenting that prescribed medications have or have not been administered, by whom, and if not, for what reason
- Prohibition of the delivery of medication from one detainee to another
- Limitation to the length of time medication may be administered without further medical evaluation
- The length of time allowable for a physician's signature on verbal orders
- A pharmacist shall prepare a written report, no less than annually, on the status of pharmacy services in the facilities. The pharmacist shall provide the report to the health authority and the facility administrator.

Consistent with pharmacy laws and regulations, Corizon has established written protocols that limit the following functions to being performed by the identified personnel:

- Procurement shall be done only by a physician, dentist, pharmacist, or other persons authorized by law
- Storage of medications shall assure that stock supplies of legend medications shall only be accessed by licensed health personnel. Supplies of legend medications that have been properly dispensed and supplies of over-the-counter medications may be accessed by both licensed and trained non-licensed personnel
- Repackaging shall only be done by a physician, dentist, pharmacist, or other persons authorized by law
- Preparation of labels can be done by a physician, dentist, pharmacist, or other personnel, both licensed and trained non-licensed, provided the label is checked and affixed to the medication container by the physician, dentist, or pharmacist before administration or delivery to the detainee. Labels shall be prepared in accordance with Section 4047.5 of the Business and Professions Code
- Dispensing shall only be done by a physician, dentist, pharmacist, or other person authorized by law
- Administration of medication shall only be done by licensed health personnel who are authorized to administer medication and acting on the order of a prescriber.
- Licensed and trained non-licensed personnel may deliver medication acting on the order of a prescriber.
- Disposal of legend medication shall be done in accordance with pharmacy laws and regulations and requires any combination of two of the following classifications: physician, dentist, pharmacist, or registered nurse. Controlled substances shall be disposed of in accordance with Drug Enforcement Administration disposal procedures

### *Medication Handling and Administration*

Corizon provides a medication administration system that will meet the needs of the inmate/ detainee population. Once a medication order has been written, the medication is ordered from the pharmacy. Then the medication is delivered and health care personnel administer and document on the MAR. If medication is refused or not administered, it is indicated on the MAR. If the medication is urgently needed, they will be ordered from a back-up pharmacy. The designated back-up Pharmacy for Probation is Walgreens, a secondary back-up pharmacy

can be determined upon need and written authorization of the facility Manager, Deputy Chief Probation Officer or their designee.

In consultation with a pharmacist and in cooperation with the facility administrator, Corizon has developed a written policy and procedure regarding the use, administration, and control of sample prescription medications

## PSYCHIATRIST

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The Psychiatrist is supervised by the Site Medical Director or the Regional Medical Director. The Health Services Administrator (HSA) provides input.

### QUALIFICATIONS

- Licensed to practice medicine in California
- Graduate of accredited medical school with specialty training in Psychiatry
- One (1) year of clinical experience

### GENERAL DESCRIPTION OF DUTIES

Evaluates and provides comprehensive Psychiatric care in a corrections-based setting, while observing and maintaining the requirements of security.

### RESPONSIBILITIES

- Supervises clinical activities and medical personnel while on duty.
- Evaluates all patients referred for presence or absence of mental illness.
- Treats all patients requiring psychiatric intervention.
- Certifies patients, as necessary, to state hospital or acute care psychiatric facility.
- Provides evaluations as required by the courts, except forensic examinations.
- Reviews and adjusts, as necessary, all maintenance medications at a minimum of once every thirty (30) days.
- Reviews and approves all psychiatric standing protocols, especially those regulating the use of restraints.
- Provides emergency psychiatric care, as required.
- Provides in-service education for medical and correctional staffs, as requested.
- Documents all services provided in the patient's medical file.
- Accepts telephone calls outside of regularly scheduled hours (not "on call") to handle emergencies.
- Reviews isolation procedures and conditions.
- Participates in review of quality of care provided.
- Supervises and works cooperatively with staff psychologist(s).



## LICENSED MENTAL HEALTH COUNSELOR

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The Mental Health Counselor is supervised by the Psychiatrist (clinical) and the Health Services Administrator (administrative).

### QUALIFICATIONS

- Appropriate California licensure (LMFT, LCSW, LEP, LPCC)
- Masters of Arts Degree and specialty training in Psychology or Psychiatry
- One (1) year of Clinical experience, preferably in a correctional setting

### GENERAL DESCRIPTION OF DUTIES

Responsible for coordinating inpatient Mental Health services.

### RESPONSIBILITIES

- Coordinates, monitors, and administers mental health services and procedures within the Medical Department.
- Serves as mental health liaison for the Medical Unit.
- Serves as mental health liaison for medical services, outside health care facilities, and other departments regarding mental health issues.
- Coordinates mental health program development.
- Provides one-to-one intervention and follow-up of substance abuse inmates.
- Gives weekly input on patient responses to chemotherapeutic intervention.
- Coordinates and facilitates admission and placement of certified patients in forensic and community hospitals.
- Works cooperatively with facility, court services, judges, and the court medical office.
- Trains medical personnel in the mental health area.
- Evaluates mental health status of patients upon referral of a primary treatment provider.
- Provides in-service education to facility staff.
- Prepares reports reflecting the above activities.
- Performs other duties as assigned.



## PSYCHIATRIC TECHNICIAN

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The Psychiatric Technician is supervised by the Mental Health Director.

### QUALIFICATIONS

- Bachelor's degree in psychology, social work or a related field from an accredited college or university.
- Professional experience which indicates knowledge of behavioral management techniques, treatment planning process, group and individual counseling techniques and multidisciplinary team work.

### GENERAL DESCRIPTION OF DUTIES

Provides psychosocial and rehabilitative activities to inmates diagnosed with a mental illness in accordance with Corizon and site specific policies and procedures. Duties will be within the scope of the Corizon orientation and training program.

### RESPONSIBILITIES

- Provide direct clinical services such as unit rounds, group and individual activities, psycho-educational groups, crisis intervention, monitoring inmate patients' progress, providing input for individualized treatment plans and aftercare plans.
- Document individual inmate patient activities in accordance with facility and Corizon policies and procedures.
- Participate in Quality Improvement processes and the collection of documentation for audits.
- Maintain confidentiality of inmate patient information in accordance with state, facility and Corizon policy standards.
- Communicate, collaborate and coordinate with other mental health service staff, institutional staff, Corizon administrative and medical personnel, inmates and outside support agencies.
- Adhere to all Corizon and facility standards, policies and procedures.
- Complete all required work in a timely manner.



# Board Inquiry Form

Board Member	
Carbajal	x
Wolf	
Farr	
Adam	
Lavagnino	

Inquiry Number: 06

Department: Community Services  
 Date: June 6, 2013  
 Page(s) of Budget Book:

**Request/Question:** What are the historic levels of funding that have been provided for tourism promotion to the Conference and Visitors Bureaus, Film Commissioner and Chambers over the previous four fiscal years?

Response Prepared by: Kerry Bierman - CSD

**Response:**

The below chart shows historic levels of funding that have been provided for tourism promotion from FY 2008-09 to the present.

VendorName	FY 2008-09	FY 2009-010	FY 2010-11	FY 2011-12	FY 12-13 Adopted
Buellton Business Assoc Chamber of Commerce	3,687.00	3,190.00	3,190.00	2,413.00	2,758.00
Carpinteria Valley Chamber Of Commerce	9,561.00	8,280.00	8,280.00	6,264.00	7,160.00
Cuyama Valley Recreation District	1,112.00	960.00	960.00	726.00	830.00
Goleta Valley Chamber of Commerce	30,871.00	26,720.00	26,720.00	20,216.00	23,106.00
Lompoc Chamber of Commerce	28,980.00	25,090.00	25,090.00	18,982.00	21,696.00
Los Alamos Valley Men's Club	3,485.00	3,020.00	3,020.00		2,612.00
Los Alamos Valley Visitors Association				2,285.00	
Los Olivos Business Organizations	1,600.00	1,390.00	1,390.00	1,052.00	1,202.00
Santa Barbara Conference & Visitors Bureau	105,914.00	91,680.00	91,680.00	69,363.00	79,280.00
Santa Barbara Conference & Visitors Bureau (addl. approved)	95,000.00	75,000.00	50,000.00	25,000.00	
Santa Maria Valley Chamber of Commerce	55,168.00	47,760.00	47,760.00	36,134.00	41,301.00
Santa Ynez Valley Visitors Associaton	9,762.00	8,450.00	8,450.00	6,393.00	7,307.00
Solvang Chamber of Commerce	5,180.00	4,480.00	4,480.00	3,389.00	3,874.00
Solvang Conference & Visitors Bureau	5,180.00	4,480.00	4,480.00	3,389.00	3,874.00
<b>Total</b>	<b>355,500.00</b>	<b>300,500.00</b>	<b>275,500.00</b>	<b>195,606.00</b>	<b>195,000.00</b>

# Board Inquiry Form

Inquiry Number: 07

Board Member	
Carbajal	x
Wolf	
Farr	
Adam	
Lavagnino	

Department: General County Programs  
 Date: June 6, 2013  
 Page(s) of Budget Book:

**Request/Question:** In addition to the management salary information provided to the Board, what is the cost of extending the proposed 3% salary increase to all managers? If the Board were to consider such an increase for all managers, does the CEO have any suggestions about a possible stepped approach based on when managers were hired and/or last received a raise?

Response Prepared by:  
 Joe Toney, Fiscal and Policy Analyst

**Response:** (note: All costs include salary and benefit increases)

The original option #1 was to provide a 3% raise to managers that had not received a raise since January 2008. The estimated impact of this is shown below as a net cost to the County of \$370,000 after any revenue reimbursements.

If the Board chooses to apply to a broader population of managers, a tiered approach could be utilized (Option 2). Option #2 would provide 3% to those without a raise since January 2008; 2% for managers hired in 2008; 1% for managers hired in 2009; 0.5% for those hired in 2010. The net estimated County impact for this option would be \$420,000.

The estimated cost of extending the proposed 3% salary increase to all managers is a net impact to the County of \$860,000 (Option 3). The total gross cost is estimated to be \$1,440,000, with \$580,000 estimated to be reimbursed from State, Federal and other charges for services or fees.

<b>Compaction Reimbursement Estimates</b>	
<b>BIF</b>	
<b>June 6, 2013</b>	
<b>Option #1: 105 Managers</b>	
<i>Amount Increase = 3%</i>	\$620,000
Total Reimbursement	250,000
<b>Net County Cost</b>	<b>\$370,000</b>
<b>Option #2: 128 Managers</b>	
<i>Amount Increase = Sliding</i>	\$700,000
Total Reimbursement	280,000
<b>Net County Cost</b>	<b>\$420,000</b>
<b>Option #3: 274 Managers</b>	
<i>Amount Increase = 3%</i>	\$1,440,000
Total Reimbursement	580,000
<b>Net County Cost</b>	<b>\$860,000</b>

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	x
Farr	
Adam	
Lavagnino	

Inquiry Number: 08

Department: CEO [HR, Clerk of Board]

Date: 6/7/2013

Page(s) of Budget Book: D-21, 22, SLI

## Request/Question:

1. *The CEO section in budget book, under "changes and operational impact", does not mention the service level impact reduction of .50 FTE to the Clerk of the Board. Please explain discrepancy, and also cite the impact to Clerk of the Board function.*
2. *D-22 does mention a .51 FTE INCREASE to Human Resources- what accounts for that increase?*
3. *D-21 mentions "Results First" for public safety programs; explain what that is, and why it is not part of public safety*
4. *HR PowerPoint mentions \$30,000 capital; what is that?*

Response Prepared by:

## Response:

1. The third budget development policy states that ongoing operations should be funded with ongoing revenues and one-time revenues should be used for one-time needs. During the June 2012 budget hearings, the Board approved **one-time funding** of \$50,000 to the County Executive Office, Clerk of the Board, for a 0.5 FTE extra help position to assist until new staff became fully trained. Two new staff have now been in place for several months and are operating at a greater efficiency level.
2. Human Resources is increasing by 1.5 FTE in FY 2013-14. A position previously funded by Public Health is moving to Human Resources to more centrally localize the HR function. Public Health will continue to fund the position. Human Resources is also increasing by 0.5 FTE to provide support to the Employee Benefits program, the program which oversees the County's health insurance program and is currently staffed by only 2.0 FTE. This function was previously staffed by 3.0 FTE; however, one position was cut as a budget reduction several years ago. The additional position is intended to support the County's implementation of the Affordable Care Act and a Wellness and Productivity Program. The .5 FTE is being funded within Human Resources existing budget and no additional funds are being requested.
3. Results First is the effort we are initiating with the PEW Institute related to determining Return on Investment (ROI) for programs related to the AB109 efforts to reduce recidivism. It is in the CEO Objectives as the CEO is the lead on the project with a project team including Probation, Sheriff, District Attorney and Public Defender. It is anticipated that this information may be helpful in initiating a pilot project for Social Impact Bonds, also a CEO sponsored program.
4. The County Executive Office, County of Santa Barbara Television (CSBTV), not Human Resources, budgets for capital equipment purchases of approximately \$30,000 each fiscal year. The funding is provided by a grant from Cox Communications and pays for replacement and new television equipment (including equipment in the Board of Supervisors' Hearing Rooms).

# Board Inquiry Form

Inquiry Number: 09

Board Member	
Carbajal	
Wolf	x
Farr	
Adam	
Lavagnino	

Department: County Counsel

Date: 6/7/2013

Page(s) of Budget Book: D-31 vs. Budget Adjustments [Attachment A]

**Request/Question:** Page D-31 attributes reduction of 2 FTE attorneys to “reduced caseload” yet it appeared on an addendum to the BOS agenda last week that funds were being sought for contracting with outside counsel for litigation, and the CEO is recommending “one-time” addition of one attorney.

Response Prepared by: Dennis Marshall, County Counsel

**Response:**

The two FTE positions were programmed for revenue generating workload and we did not generate the revenue necessary to support the positions.

The reasons we failed to meet revenue projects included a reduction in Risk Management case load of approximately .5 FTE. The remaining 1.5 FTE represents a management shift of resources to more exigent General Fund services including Planning and Development, Community Services and North County Jail project.

Restoration of these positions would reduce the need for outside counsel and allow County Counsel to maintain current service levels. Approval of two positions will still leave County Counsel with 4 FTE attorneys less than allocated 5 years ago.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	x
Farr	
Adam	
Lavagnino	

Inquiry Number: 10

Department: Planning & Development

Date: 6/7/2013

Page(s) of Budget Book: D- 163, 164, 168

**Request/Question:** Budget Book page D-163 mentions "streamlining" of code enforcement. What will be "streamlined"?

D-168 reflects a .57 reduction in code enforcement that is not listed as a service level impact. What will the impact be of that reduction and how can that be justified with so many complaints.

Response Prepared by: Glen Russell, Joe Toney

**Response:**

*D-163 mentions "streamlining" of code enforcement. What will be "streamlined"?*

- Amend Chapters 35-1, 35-2 and Article II to reclassify violations so that they are not subject to the "infraction" fining structure. Under the Government Code, infractions may only be fined per the \$100/\$200/\$500 fining structure. One time violations of Chapter 35 may only be fined a maximum of \$100 for the first violation, \$200 for the second, and \$500 for the third within that same year. This amendment would allow fines to increase up to \$1000 per one-time violation which are available for other violations that are not specifically deemed an "infraction" under their codes. This increased fine will be a deterrent for "one-time" violations.
- Amend Chapters 10, 14, 14C and 25 to provide an appeal process for staff costs on enforcement related actions. Chapter 35 allows appeals of staff costs while these other chapters do not. This will provide fair and consistent treatment of owners that violated both zoning and building codes.
- Amend Chapters 10, 14, 14C, 25, and 35 to allow for alternate method to recover staff costs that have been deemed final. Currently, there is no real ability to collect on outstanding costs if the violator chooses to ignore invoices for staff costs. This will allow P&D to recover costs more effectively.
- Amend Chapter 35 to allow recordation of a Notice of Non-Compliance against properties where the owner is non-responsive to enforcement efforts. This will provide prospective buyers of properties with violations, increasing the likelihood that the violation will be resolved.

*D-168 reflects a .57 reduction in code enforcement that is not listed as a service level impact. What will the impact be of that reduction" and how can that be justified with so many complaints.*

- The reduction in staff mirrors reduced volume of new enforcement cases resulting in no impact to service levels. Code complaints have declined by 40% from two years ago. Our case load is lower than it has been each of the past five years. While we do have some significant and challenging code cases open and underway, new reports are down.

# Board Inquiry Form

Inquiry Number: 11

Board Member	
Carbajal	
Wolf	x
Farr	
Adam	
Lavagnino	

Department: Community Services Department  
Date: 6/7/2013  
Page(s) of Budget Book: D-184,188

**Request/Question:** Please provide a revenue-expenditure breakdown for the CSA3 Parks and Open Space funding  
Please provide a per capita breakdown of Library funding for the past five years  
Please provide a breakdown of concession revenues from various parks

Response Prepared by:  
Kerry Bierman

**Response:**  
Please find the attached for each response.

**Response:**

**1. CSA3 Parks and Open Space:**

Parks Division – CSA 3 Revenue and Expenditures

Object Level	FY12/13	2013/2014
		Recommended Budget
<b>Source of Funds</b>		
40 -- Other Financing Sources	638,000	620,600
	Source of Funds 638,000	620,600
<b>Use of Funds</b>		
50 -- Salaries and Employee Benefits	457,008	489,400
55 -- Services and Supplies	115,000	63,700
60 -- Other Charges	27,700	26,700
65 -- Capital Assets	37,492	40,000
85 -- Intrafund Expenditure Transfers (+)	800	800
	Use of Funds 638,000	620,600
	Net Financial Impact -	-

\*Note - Change between FY12/13 and FY13/14 due to project completion

**2. Per capita funding for County Libraries is as follows:**

	<u>FY 08 / 09</u>	<u>FY 09 / 10</u>	<u>FY 10 / 11</u>	<u>FY 11 / 12</u>	<u>FY 12 / 13</u>	<u>Recommended FY13/14</u>
Per Capita	\$ 6.906	\$ 6.906	\$ 6.906	\$ 5.870	\$ 5.984	\$ 5.969
Amount	\$2,931,079	\$2,960,243	\$2,978,641	\$2,550,403	\$2,550,403	\$ 2,550,403

**3. Breakdown by Park for Concessionaire Revenue is as follows:**

Park Name	YTD Actual (6/7/13)
Cachuma Recreation Area	70,686
Jalama Beach Park	66,257
Waller Park	1,600
Arroyo Burro Beach Park	470,476
Goleta Beach Park	207,019
Lookout Park	2,209
<b>Total YTD Concessions</b>	<b>818,247</b>

# Board Inquiry Form

Inquiry Number: 12

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Department: Probation  
Date: 6/9/13  
Page(s) of Budget Book: D-112

**Request/Question:** Where are clinics located within Probation? Are they only for AB 109ers?

Response Prepared by: Bev Taylor

**Response:**

The AB109 Clinics are located at the Probation Report and Resource Centers. In Santa Barbara the PRRC is located at Probation's Hollister Campus and in Santa Maria it is at the Center Pointe Parkway campus.

The Clinics serve only AB109 realigned offenders.

# Board Inquiry Form

Inquiry Number: 13

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Department: First 5  
Date: June 10, 2013  
Page(s) of Budget Book: D-144

**Request/Question:**

Why isn't the number of kids now ready for preschool a measured outcome?

Response Prepared by: Ben Romo, Director, First 5

**Response:**

First 5 does track kindergarten readiness among many of the children served by First 5 programs. However, measuring the number of children countywide who are ready for kindergarten is not logistically possible at this time and relating K readiness to all First 5 funded programs such as health care and family support would be tangential. Until recently there was not a proven assessment to measure kindergarten readiness that local schools had adopted. In recent years, in a partnership with First 5, the Santa Maria Bonita School District and UCSB, the Kindergarten Student Entrance Profile (KSEP) has been developed which has now been proven through third party research to be a reliable measurement for kindergarten readiness. The KSEP is now being implemented in many schools and school districts throughout the County, but this adoption is very much still in process and many school districts are not using the KSEP at all. First 5 depends on school districts to agree to use the KSEP and to share the data on the results in order for that data to be reported and has worked to link data systems to allow for measurement across agencies and programs. As the KSEP continues to be adopted more universally, our goal is to significantly increase the degree to which we measure and report on kindergarten readiness, hopefully one day reaching a point where such results may be measured on a countywide basis. A key program area where First 5 does collect and monitor KSEP scores in relation to funded programs is in the THRIVE Community Collaboratives in Carpinteria, Isla Vista, Guadalupe, and Santa Maria. First 5 grants in those communities require the use of the KSEP to assess the effectiveness of programs. In these communities, with First 5 support, since 2010, the number of kindergarteners who are either "Ready to Go" or "Almost Ready to Go" on the KSEP has increased from 29.6% to 44.3% (14% growth). First 5 is currently engaged in the development of a new Strategic Plan. Through that process we hope to further enhance the incentives provided to school districts to use the KSEP and align their data systems with First 5 so as to improve our ability as a community to fully understand the degree to which children throughout the county are ready for kindergarten.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Inquiry Number: 14

Department:

Elections

Date:

Sunday, June 9, 2013

Page(s) of Budget Book:

D-206

**Request/Question:**

Why aren't we posting elected officials Form 460 on line in the name of transparency?

**Response Prepared by:**

Renee Bischof, Elections and John Jayasinghe, CEO Fiscal & Policy Analyst

**Response:**

It is our intent to have campaign filings, which includes the Form 460, online starting in 2014. We are currently working with our vendor to upgrade our systems; however the start date of these forms being available online is driven by our current vendor completing the development and testing of their upgraded campaign finance disclosure systems.

# Board Inquiry Form

Inquiry Number: 15

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Department: Debt Service (Treasurer Tax Collector)  
Date: June 10, 2013  
Page(s) of Budget Book: D-233

## Request/Question:

In reference to page D-233, did the new Centeno Betteravia Government Center building cost \$3.772M or was money also spent elsewhere?

Response Prepared by:  
Joseph Toney, Fiscal & Policy Analyst  
Rochelle Camozzi, Treasury Finance Chief

## Response:

The chart on page D-233 is debt service on the 2010 Certificates of Participation.

Outstanding Debt 6/30/13 - \$3,772,746

Principal - \$2,439,471

Interest (28 years) - \$1,333,275

The principal includes:

- 2010 COP Cost of Issuance (\$450,000)
- Design of much larger original project (\$600,000)
- Betteravia Center Construction (\$1,400,000).

# Board Inquiry Form

Inquiry Number: 16

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Department: General County Programs  
Date: 06/09/13  
Page(s) of Budget Book: D-240

**Request/Question:** Children's' Health Initiative - does Affordable Care Act cover these costs and if not why not?

Response Prepared by: Ben Romo, Director, First 5

**Response:**

The Affordable Care Act will not cover children covered by the Children's Health Initiative of Santa Barbara because the vast majority of these children are undocumented and therefore are not eligible for ACA benefits.

# Board Inquiry Form

Inquiry Number: 17

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Department: General County Programs  
Date: 06/09/13  
Page(s) of Budget Book: D-244

**Request/Question:** Strategic Reserve - how much of this reserve has been designated for CEC/MISC and other items

Response Prepared by: Tom Alvarez

**Response:**

On page D-244 of the Budget Book, it identifies a potential need to fund the CEC/MISC liabilities of \$7.8 million. After a negotiated settlement with the State, the remaining balance estimated necessary for this liability is \$2.7 million. Approximately half of this balance is expected to be paid in FY 2013-14 and the balance is anticipated to be due in FY 2014-15. The County has appealed these audit assessments; however, it is probable that these funds will need to be paid before any negotiations would occur and the ultimate outcome is unknown. We therefore will be recommending that the Board increase the Audit Exception Reserve by \$2.7 million for these items. The source of the \$2.7 million could be from expected FY 2013-14 General Fund savings or from the Strategic Reserve. A Budget Revision will be brought to the Board later this month to appropriate these funds.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Inquiry Number: 18

Department: Social Services  
Date: Sunday, June 9, 2013  
Page(s) of Budget Book: G-8 (County Statistical Profile)

**Request/Question:**

What is considered Mid-County?

Response Prepared by:

Terrie Concellos, Deputy Director, Department of Social Services

**Response:**

Mid-County includes the following Zip Codes:

Buellton – 93427  
Lompoc and VAFB – 93436, 93437, 93438  
Los Olivos – 93441  
Santa Ynez – 93460  
Solvang – 93463, 93464

Note: Geographical segregation of data is determined by the Department of Social Services.

## Board Inquiry Form

Board Member	
Carbajal	
Wolf	X
Farr	
Adam	
Lavagnino	

Inquiry Number: 19

Department: Clerk-Recorder Assessor  
 Date: 6/10/13  
 Page(s) of Budget Book:

**Request/Question:** The Clerk-Recorder-Assessor (CRA) recently provided an increased estimate in the Property Tax Roll. Provide an estimate of the impact and advise of any further updates.

Response Prepared by: Tom Alvarez

**Response:**

In February, the Auditor-Controller's (AC's) office worked with the CRA and the CEO's office to develop the revenue projections for Property Taxes. At this time, a 2.2% growth in the gross Assessed Value (AV) was assumed.

On June 6, 2013, the CRA notified the CEO's office that they are still working on the tax roll but that he was currently estimating the Assessed Value to increase 3%. As stated above, a gross increase of 2.2% was used in our Budget Book projections, which netted down to 2% overall growth in Property Tax accounts (after the Fire Tax Transfer and other adjustments).

On June 10<sup>th</sup>, the AC's staff estimated that a 3% growth in the AV would result in an increase of about \$1 million to the General Fund and an increase of about \$225,000 to the Fire District.

On June 11, 2013, the CRA indicated to the CEO and AC that they are still completing the tax roll through next week but it is currently looking like the net secured AV will exceed 3.5% over the previous year. A new calculation has not yet been run by the AC staff but we are asking for an estimation to be provided this week assuming a 3.5% gross increase.

**REVISED On June 13, 2013:**

The Auditor-Controller has provided an estimation showing the incremental growth per 0.5% increase in the Secured Assessed Value. Every 0.5% increase in AV results in approximately:

- \$650K increase to County (after taxes shared with Fire & includes growth in VLF)
- \$145k increase in GF taxes shared with Fire
- \$170k increase to Fire's (2280) budgeted Current Year Secured

The Auditor-Controller stated that based on the revised estimates from the CRA, he would be comfortable with a 1% increase over the currently budgeted Property Tax Revenue figures. The CEO's office concurs with this revised estimate. This would result in increasing revenues as follows:

- \$1,300K increase to County (after taxes shared with Fire & includes growth in VLF)
- \$290k increase in GF taxes shared with Fire
- \$340k increase to Fire's (2280) budgeted Current Year Secured

# Board Inquiry Form

Inquiry Number: 20

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Department: CSD  
 Date: 6/10/13  
 Page(s) of Budget Book:

**Request/Question:** Please provide a history of the revenues at Lake Cachuma and Jalama Park for rentals of the cabins and yurts.

Response Prepared by: Kerry Bierman, CFO

**Response:**

The following represents cabin and yurt revenue at Cachuma Lake Recreation Area over the last three fiscal years:

Cachuma Lake Recreation Area	FY 2010-11*	FY 2011-12	YTD Actual 5-31-2013
Yurt Revenue	2,250	127,581	110,654
Cabin Revenue	37,275	139,427	116,674
Cachuma Lake Recreation Area	39,525	267,008	227,328

*\* New Cabins and Yurts were completed late fiscal year 2010-11*

*\* Highest occupancy of Cabins and Yurts occur between Memorial Day and Labor Day. June 2013 will not be available until fiscal year end close is complete.*

The following represents cabin revenue at Jalama Beach over the last three fiscal years:

Jalama Beach	FY 2010-11*	FY 2011-12	YTD Actual 5-31-2013
Cabin Revenue	110,636	342,224	293,651
Jalama Beach	110,636	342,224	293,651

*\* New Cabins were completed late fiscal year 2010-11*

*\* Highest occupancy of Cabins occur between Memorial Day and Labor Day. June 2013 will not be available until fiscal year end close is complete.*

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	x
Adam	
Lavagnino	

Inquiry Number: 21

Department: Agricultural Commissioner & Public Health  
 Date: 6/10/13  
 Page(s) of Budget Book:

**Request/Question:** Under the current contract with USFWS, who is available to remove live wild animals (e.g. skunks, possums, etc.) from private property in the unincorporated areas of county? After the contract is ended, who?  
 Under the current contract with USFWS, who comes out to remove dead wild animals from private property? From right of ways? After the contract is ended, who does?

Response Prepared by: Cathy Fisher, Agricultural Commissioner and Jan Glick, Animal Services Director

**Under the current contract with USFWS, who is available to remove live wild animals (e.g. skunks, possums, etc.) from private property in the unincorporated areas of the county?**

**Answer:** The current contract with USFWS includes services for abatement of nuisance wildlife incidences (opossums, raccoons, skunks, various birds, etc.) in an urban setting which consists of wildlife specialists providing the technical expertise for property owners to mitigate nuisance wildlife problems through non-lethal means. Wildlife specialists instruct the property owners how to modify the environment around the affected area to solve the problem. In some cases the animal will be removed by trapping. These problem animals are humanely euthanized as it is a violation of state law to relocate them without specific authorization from CA Fish and Wildlife. The reasons for the prohibition are biologically based and supported by national fish and wildlife agencies.

Animal Services does not remove healthy, live wild animals. Animal Control Officers respond to wild animal cases when there is a rabies, animal welfare or public safety concern. This includes calls regarding suffering, ill or injured animals or safety risks to humans such as wildlife inside of the home.

Animal Services staff does not respond to nuisance wildlife complaints. They will assist residents with information and educational materials to understand the reasons wildlife are attracted to their property and changing the environment to remove those attractants. Trapping and removing the animal without changing the habitat to remove what is attracting them will not resolve the problem as other animals will soon come to take its place.

It is not possible for Animal Services to respond to wildlife service calls other than those related to rabies, animal welfare or public safety concerns with current staff resources. Animal Services staff is not equipped, trained, or staffed to perform trapping on the wide range of animals the USFWS wildlife specialists handle and in fact, they refer residents who call with such concerns to the USFWS wildlife specialists.

Other resources to assist residents with wildlife complaints are local volunteer wildlife rescue organizations such as The Santa Barbara Wildlife Care Network and the Wildlife Information, Literature, Data and Education Service (WILDES).

**After the contract is ended?**

**Answer:** Property owners may contact the agriculture department for referral to a variety of resources, such as, the UCIPM website that provides information on integrated pest management options (including exclusion techniques), for vertebrate pest control. The homeowner may choose to remove the nuisance wildlife causing the damage by trapping however this leaves the homeowner with a live animal to deal with. This may lead to the homeowner relocating the animal to nearby open space which is illegal, inhumane and biologically unsound. The other option is homeowners may hire a licensed vertebrate pest control business to assist with the nuisance wildlife incident. Pest control businesses must register with the agriculture department every year. The department can provide contact information of all registered vertebrate pest companies for homeowners.

The reduction of funding to the contract in FY 13-14 will result in a reduction of staffing from 2 to 1.3 FTE. At present, Wildlife Services receives 1,500 calls per year requesting assistance with urban wildlife.

**Under the current USFWS contract, who comes out to respond to dead wild animals from private property?**

**Answer:** the current USFW contract does not provide this service.

**After the contract is ended, who does?**

**Answer:** Animal Services responds to calls to remove dead wildlife on private and public property, except for state highways and other thoroughfares under the authority of Caltrans.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	

Inquiry Number:22

Department: Planning and Development  
Date: June 12, 2013  
Page(s) of Budget Book:

**Request/Question:** What is the list of projects that can be expedited with the \$200,000 unanticipated revenue? What could be done with staffing of 14 FTEs?

Response Prepared by: Glenn Russell, Director of Planning and Development

## Response:

This inquiry has two related questions. They both ask how P&D would expedite existing or take on new projects, but differ as to the nature and amount of the resources that would be available to do so.

### **Question 1: What is the list of projects that can be expedited with the \$200,000 unanticipated revenue?**

Because this is one-time money, it would be unwise to hire new permanent staff with these resources to expedite existing projects. Instead, it would be more effective to use the \$200,000 to contract out EIR's currently being prepared by staff and then devote the freed up staff time of two planners for a limited time to moving other projects forward that are currently not moving due to an absence of staff resources, such as the Summerland Community Plan, Hollister Avenue Streetscape Plan, or Housing Element Implementation. This approach would have some limited and immediate positive effect on expediting the progress of existing projects, but it does not solve the ongoing problem of insufficient staffing, as discussed in the P&D budget presentation of Monday afternoon.

The current project list in the Work Program as approved by the Board on April 2, 2013 includes 15 on-going and mandated projects; see attachment. Staff recommends that the additional \$200,000 (along with \$28,000 already budgeted for the Energy & Climate Action Plan in the proposed department budget) be allocated to two projects below because these projects have EIRs that can be contracted out:

1. Energy & Climate Action Plan Adoption \$98,000 (This does not include any funding for the Community Choice Aggregation (CCA) feasibility study or implementation actions.)
  - Revising emission reduction measures
  - Refining the costs/benefits of proposed reduction measures
  - Producing an Environmental Impact Report
  - Drafting Comprehensive Plan amendments
  - Adoption of the ECAP and associated documents.
2. Eastern Goleta Valley Community Plan \$102,000
  - Drafting and finalizing major components of the Environmental Impact Report
  - Complete additional technical studies on biological, cultural resources and transportation
  - Public release of DEIR.

(continued on next page)

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	

Inquiry Number: 22

Department: Planning and Development  
 Date: June 12, 2103  
 Page(s) of Budget Book:

**Request/Question:** What is the list of projects that can be expedited with the \$200,000 unanticipated revenue? What could be done with staffing of 14 FTEs?

Response Prepared by: Glenn Russell, Director of Planning and Development

**Response:** (continued from previous page)

**Question 2: What could be done with staffing of 14 FTEs?**

The second question asks what the impact would be if staffing levels were increased to 14 FTE in the Long Range Planning Division. This would require an ongoing commitment of GFC and entail hiring an additional 2.5 FTE. As detailed below, this approach would have a more significant positive effect on expediting the progress of existing projects and would also allow new projects to be added to the work program as existing projects are completed, something that would not be possible with one-time funding.

An increase to 14 FTE would best be assigned to expedite some of the 15 existing/mandated projects rather than take on any potential new projects at this time. The attached table includes only those Long Range Planning staff actually available to work on projects, a total of 8.8 FTE. This table does not include supervisory, management or administrative staff that account for the remaining 2.7 FTE, for the current total of 11.5 FTE in the division. If the additional requested 2.5 FTE are funded, we suggest they be assigned to the following projects, to be worked on over the next two years. Specific FTE assignments and timing would vary depending on factors such as staff case load and project completions:

1. Climate Action Implementation (Service Level Reduction)
2. Hollister Avenue Streetscape Plan (Service Level Reduction)
3. Housing Element Implementation (Service Level Reduction)
4. Summerland Community Plan
5. Housing Element Update
6. Santa Claus Lane
7. Land Use Element – Disadvantaged Communities
8. Safety Element – Fire Hazards
9. Winery Ordinance Update

It is important to note that hiring new staff is a process that requires several months to complete, with additional time for training. This scenario would have a somewhat less immediate effect on expediting projects than the use of contracting, but would have a more significant positive long term effect by providing capacity for new projects in future years.

Additional staff will provide a depth in the division that will allow projects to move forward more quickly. This will also result in having more specialists in specific areas such as housing, CEQA, etc. This will also provide a buffer to keep projects moving when unanticipated issues arise.

**TABLE 2  
PROPOSED SERVICES, OPERATIONS AND PROJECTS  
FY 2013-2014**

<b>PROPOSED PROGRAMS AND PROJECTS</b>		<b>FTE</b>
<b>Required Services</b>		
	Comprehensive Plan Annual Progress Report	
	CIP Conformity Review	
	General Plan Maintenance & Consistency Review	
	LAFCO & Responsible Agency Review	
	Regional & Inter-Agency Coordination	
	EIR Coordination & Technical Support to Other Depts	
	Legislative Review	
	Grant Research & Applications	
	Agric Preserve Processing & APAC Support	
<b>Subtotal</b>		<b>1.0</b>
<b>Operations</b>		
	Budget Development & Implementation	
	Public Information & Outreach	
	Website Maintenance	
	Staff Meetings	
	Training	
	Evaluation & Performance Reviews	
<b>Subtotal</b>		<b>0.8</b>
<b>Projects</b>		
	<b>PROJECTS - GRANT FUNDED</b>	
1	Gaviota Coast Plan	1.5
2	Los Alamos Parking & Pedestrian Plan	0.5
3	Mission Canyon Multi-Modal Plan	0.1
	<b>ON-GOING PROJECTS – GENERAL FUND</b>	
4	Summerland Community Plan	0.1
5	Climate Action Plan Adoption	0.1
6	Mission Canyon Community Plan	0.3
7	Eastern Goleta Valley Community Plan	1.2
8	Winery Ordinance Update	0.6
9	Isla Vista Pilot Parking Program	0.2
10	Isla Vista Master Plan CCC Certification	0.1
11	Santa Claus Lane Beach Access and Street Improvements	0.3
	<b>STATE MANDATED PROJECTS – GENERAL FUND</b>	
12	Housing Element Update 2015-2023	1.5
13	Land Use Element Update – Disadvantaged Communities	0.1
14	Conservation Element Update – Important Minerals	0.3
15	Safety Element Update – Fire Hazards	0.1
<b>Subtotal</b>		<b>7.0</b>
<b>TOTAL FTE</b>		<b>8.8</b>

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	X
Adam	
Lavagnino	

Inquiry Number: 23

Department: Public Works  
 Date: 6/10/13  
 Page(s) of Budget Book: C-27

**Request/Question:** Please provide details on then use and balances of the Transportation Fund Balances  
**Response Prepared by:** Mark Paul/Chris Sneddon

**Response:** Fund balance details are presented in two sections: account detail/recommended levels and projections.

Account details and recommended levels

Transportation road fund balances for the various line items are presented in Table 1. Of those accounts, all but 9799 (Purpose of Funds) are committed to specific expenditures.

TABLE 1: Public Works Transportation Road Fund Balance (in thousands)			
LINE ITEM ACCOUNT	Est 6/30/13	Change	Est 6/30/14
9721 -- Imprest Cash	1		1
9730 -- Allocated for Capital Outlay	2,875		2,875
9736-39 -- Measure A	2,412	(2,274)	137
9749 -- FY 12/13,13/14 Operating Plans	(0)		(0)
9763 -- Road Infrastructure Mitigation	519		519
9772 -- School Safety AB186	2		2
9797 -- Unrealized Gains	22		22
9799 -- Purpose of Fund	9,172	(2,891)	6,281
9799 -- Alternative Transportation	292	(3)	289
<b>TOTAL</b>	<b>15,296</b>	<b>(5,169)</b>	<b>10,127</b>

9799 is the primary fund balance account and is used for three primary purposes:

- 1) **Project delivery:** Individual construction contracts for Transportation projects can generate invoices in the millions of dollars within a few months on large infrastructure projects. In addition, these projects often run concurrently with other contracts. Although these projects often have grants that pay for large portions, Transportation must fund these projects between the time contractors are paid (monthly) and when invoices are paid from granting agencies (which can take 3-6 months for federal projects). The division uses fund balance from 9799 for this purpose. For a typical year, this amount is approximately \$3-5M.
- 2) **Disasters and Emergencies:** The 2005 storm event cost over \$20M for road repair and restoration projects. Preparing for these large scale scenarios is not financially feasible at this time, but some reserves for disasters and emergencies allows the division to respond aggressively to disasters while still maintaining operations funding. A minimum of \$1M disaster funding allows the division to fund smaller scale disasters, and for initial response and emergency opening during larger events.
- 3) **Operating Reserves:** The division maintains an operating reserve of 60 days; 30 for operations and 30 for ongoing projects based on operating expenditures of Fund 0015- Operations. This \$3.7M also allows the division to adjust to funding volatility, like the recent announcement that \$500-\$800k less funding would be available in FY12/13 gas tax. In addition, funding elements of the federal transportation bill (MAP-21), which expires next year, are uncertain. 9799 fund balance allows the division to adjust to these changes proactively without impacting the General Fund.

(continued on Attachment 1)

## Attachment 1

Based on historical uses and division funding strategies described above, Table 2 presents a summary of current and recommended fund balance levels

Account #	Use of Funds	Est. Balance 6/30/14	Recommended Balance	Variance
9730	Equipment Replacement	\$2,875,000	\$6,100,000	(\$3,225,000)
9799	Purpose of Fund	\$6,281,000		(\$2,419,000)
	Project Delivery		\$4,000,000	
	Operating Reserves		\$3,700,000	
	Disasters/Emergencies		\$1,000,000	

### Fund Balance Trends

In addition to the three main components fund balance is used for, it balances the overall Road Funds on an ongoing basis. This includes amounts required to carry project delivery costs and for contract carryover at the end of each fiscal year. The trend for the budgeted fund balance is downward, and within the next 3-5 years is projected to be near zero without any changes (Table 3). This deficit may be offset with additional revenues in the form of increases over projections and additional grant funds, as well as adjustments in expenditures savings/reductions. Current fund balance levels allow the division to continue to provide core services while adapting to the future funding climate.

Purpose of Fund Balance 06/30/2013	\$9,172,000
FY13-14 Use of Fund Balance	2,891,000
Purpose of Fund Balance 06/30/2014	6,281,000
FY14-15 Use of Fund Balance	3,209,000
Purpose of Fund Balance 06/30/2015	\$3,072,000

In addition to account 9799, there are other accounts that are committed to specific uses. The largest of these accounts is 9730 - equipment replacement account (\$2.875M). This fund is for equipment replacement. Based on the same methodology used for the Motorpool Fund, the recommended amount for this account would be over \$6.1 million.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	X
Adam	
Lavagnino	

Inquiry Number: 24

Department: Public Works  
Date: 6/10/13  
Page(s) of Budget Book: C-27

**Request/Question:** Please provide details on then use and balances of the Flood Control Fund Balances.

Response Prepared by: Thomas D. Fayram, Public Works

**Response:**

The Fund Balance presented in the Budget materials is not a single account rather it is a rolled up total of 12 different funds. The Flood Control District is a Special District and as such its funding is constrained to the Flood Control District's purpose. Of the 12 funds, 10 are Flood Zones and in each case, the funds from these zones are dedicated to that Zone and cannot be shared or used for other purposes.

In the attached slides, the balances and anticipated needs are outlined. In each Zone, a critical element of the reserve is intended for Emergency Response. Department experience has shown that these reserves are critical to allow the District to react to disasters and restore streams and channels to prevent further damages.

Reserves are also utilized to build capital projects and the Department balances the need for emergency reserves and the needs for building capital projects. While the combination of Capital Projects and Emergency reserves creates a large negative balance (slide 3), the Department balances its expenditures to its revenues and implements capital projects over time while retaining a reserve for emergencies. Often, balances will climb for several years and then expenditures will reduce the balances. This effect is clearly seen on the South Coast Flood Zone as Lower Mission Creek is implemented.

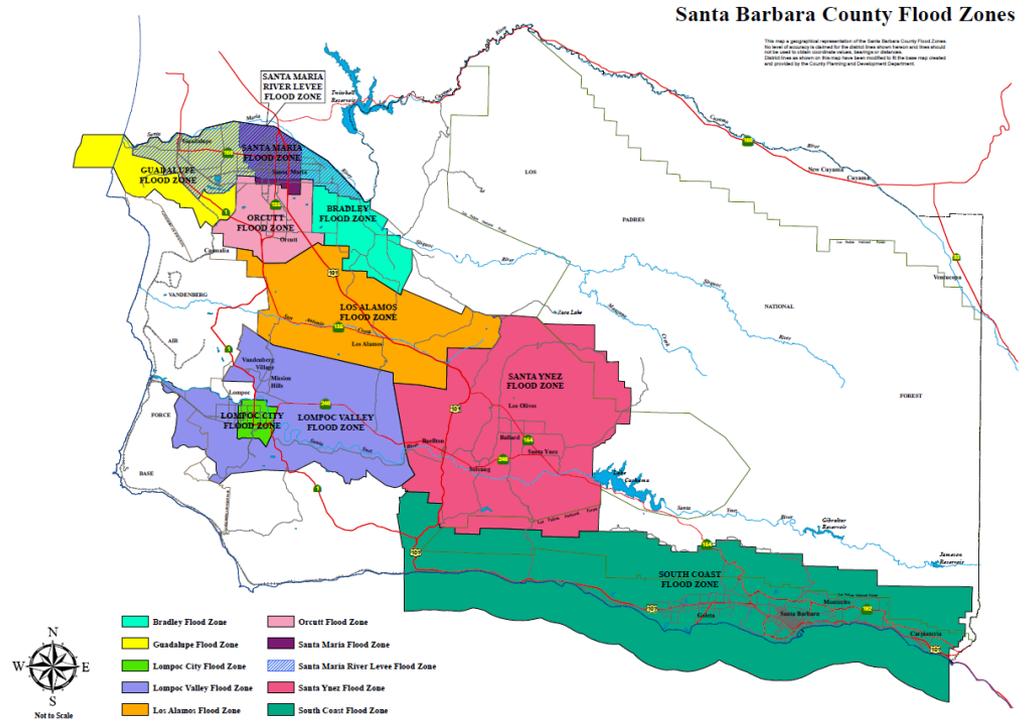
# Public Works Flood Control District

- Special District, Funds are Restricted to the District's Purpose
- Consists of 12 Separate Funds, Funding Accounted for, and Kept Separate
- Funds Derived from Taxes and Voter Approved Assessments
- Large Portion of Funding from Properties within all 8 Cities
- Fund Balance (Reserves) Play an Important Role in Implementation of Projects and the District's Ability to Respond to Emergencies



# Public Works Flood Control District

Fund	Balance
Flood District	\$11,481,953
Orcutt Drainage (OMD)	\$2,665,947
Bradley Flood Zone	\$359,288
Guadalupe Flood Zone	\$553,408
Lompoc City Flood Zone	\$4,341,336
Lompoc Valley Fd Zone	\$1,271,096
Los Alamos Flood Zone	\$993,371
Orcutt Flood Zone	\$2,194,807
Santa Maria Fd Zone	\$6,144,212
SM River Levee Mt. Zone	\$1,329,426
Santa Ynez Flood Zone	\$2,446,462
South Coast Flood Zone	\$17,861,666
	\$51,642,972



# Public Works

## Flood Control District

### Fund Balance vs. Needs

Fund	Balance	CIP	Future Proj	Emerg Reserves*	Status
Flood District	\$11,481,953	\$2,000,000		\$10,000,000	(\$518,047)
Orcutt Drainage (OMD)	\$2,665,947	\$2,665,947			\$0
Bradley Flood Zone	\$359,288			\$500,000	(\$140,712)
Guadalupe Flood Zone	\$553,408			\$1,000,000	(\$446,592)
Lompoc City Flood Zone	\$4,341,336		\$5,000,000	\$2,000,000	(\$2,658,664)
Lompoc Valley Fd Zone	\$1,271,096		\$2,000,000	\$1,000,000	(\$1,728,904)
Los Alamos Flood Zone	\$993,371	\$5,000,000		\$750,000	(\$4,756,629)
Orcutt Flood Zone	\$2,194,807	\$1,500,000		\$750,000	(\$55,193)
Santa Maria Fd Zone	\$6,144,212	\$12,500,000		2,000,000	(\$8,355,788)
SM River Levee Mt. Zone	\$1,329,426			\$5,000,000	(\$3,670,574)
Santa Ynez Flood Zone	\$2,446,462	\$1,146,000	\$1,000,000	\$750,000	(\$449,538)
South Coast Flood Zone	\$17,861,666	\$110,000,000	\$50,000,000	\$15,000,000	(\$157,138,334)
	\$51,642,972				(\$179,918,975)

#### Notes

\* Emergency Reserve target based on Dept. experience, Reserves cannot be transferred between Zones



# Board Inquiry Form

Inquiry Number: 25

Board Member	
Carbajal	
Wolf	X
Farr	
Adam	
Lavagnino	

Department: General Services  
Date: 6/10/13  
Page(s) of Budget Book: NA

**Request/Question:** How much was spent on the Mural room after the fire damage from all sources.

Response Prepared by:  
Ray Aromatorio, Risk Manager

**Response:**

Total claimed loses from fire damage at the Courthouse was \$1,123,809.56, but the unreimbursed County costs were \$18,603. Mural Restoration costs were \$483,265.50.

An insurance claim was submitted to the County's property insurance carrier for the "Court Fire" loss that occurred on January 5, 2010. Some of the costs were paid directly to the restoration company by the insurance company and the costs for the Mural Room restoration were reimbursed. The costs that were NOT reimbursed related to the County's labor rate. The insurance company reimburses County employee time at the production rate, but does not reimburse for the "overhead" rate that includes retirement costs (benefits), etc.

The unreimbursed additional labor costs were \$8,603. There was also a \$10,000 deductible for the loss. Accordingly, the unreimbursed cost to the County was \$18,603. Attached is a "Statement of Value and Loss" that broadly outlines the loss.

**STATEMENT OF VALUE AND LOSS**

**CSAC-EIA**  
**COUNTY OF SANTA BARBARA**

**1100 Anacapa Street**  
**SANTA BARBARA, CALIFORNIA**

Date of Loss: January 5, 2010

Coverage:

Manuscript form extending All Risk cover for Real and Personal Property. There is a \$10,000 deductible applicable to this claim.

Recapitulation of Verified Detail:

VALUE                      LOSS

Values at Risk:

Not Found

Loss as determined:

	<u>CLAIMED</u>	<u>ALLOWED</u>	
Restoration Services:	\$588,741.32	\$584,323.32	
Mural Restoration:	\$483,265.50	\$479,080.50	
Drapery Cleaning:	\$17,459.30	\$17,459.30	
Miscellaneous:	\$551.31	\$551.31	
County Labor:	<u>\$33,792.13</u>	<u>\$33,792.13</u>	
TOTALS:	<u>\$1,123,809.56</u>	<u>\$1,115,206.56</u>	\$1,115,206.56

Value and Loss:

Not Found

\$1,115,206.56

Less: Deductible:

(\$10,000.00)

NET CLAIM:

\$1,105,206.56

Less: Prior Payments:

(\$1,096,947.26)

**PRESENT PAYMENT:**

**\$8,259.30**

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	X
Adam	
Lavagnino	

Inquiry Number: 26

Department: Community Services  
 Date: 6/10/13  
 Page(s) of Budget Book: NA

**Request/Question:** How much money went to warming centers this current year, provide breakdown by warming center and how much is currently budgeted for next fiscal year.

Response Prepared by:  
 Kerry Bierman, CFO and Dinah Lockhart, Deputy Director

**Response:**

Warming Center breakdown for the current fiscal year and the recommended budget for Fiscal Year 2013-2014:

Warming Center	FY 2012 - 2013	FY 2013-2014 Recommended
Unitarian Society	\$ 25,000	\$ 25,000

The \$25,000 is used to reimburse the salaries of Unitarian Society staff who coordinate all the participating warming centers (approximately 9 locations) and staff monitoring each warming center site, in addition to supplies used by all warming centers. Warming centers are located throughout Santa Barbara, Isla Vista, Carpinteria, and Goleta.

# Board Inquiry Form

Inquiry Number: 27

Board Member	
Carbajal	
Wolf	
Farr	X
Adam	
Lavagnino	

Department: CSD  
 Date: 6/10/13  
 Page(s) of Budget Book: NA

**Request/Question:** How much funding went to homeless shelter operations – sort by shelter and dollar amount.

Response Prepared by: Kerry Bierman, CFO, Dinah Lockhart, Deputy Director and John Jayasinghe, CEO Fiscal & Policy Analyst

**Response:**

Shelter Services breakdown for the current fiscal year and the recommended budget for Fiscal Year 2013-2014:

	FY2012 - 2013	FY 2013-2014 Recommended
<b>Shelter Contracts</b>		
Bridgehouse	\$ 45,000	\$ 47,369
Santa Maria Emergency Shelter	\$ 159,670	\$ 126,610
Casa Esperanza Emergency Shelter	\$ 140,330	\$ 126,021
<b>Total</b>	<b>\$ 345,000</b>	<b>\$ 300,000</b>

*Note 1: The \$45,000 for Bridgehouse for FY 2012-13 was based on an estimated annual number of bed nights, while the other 2 shelters had actual bed night numbers. For FY 2013-14 actual bed night figures will be used for all 3 shelters.*

*Note 2: FY 2013-2014 allocations for shelters are preliminary and will be finalized after final June bed night data becomes available.*

# Board Inquiry Form

Board Member	
Carbajal	X
Wolf	
Farr	
Adam	
Lavagnino	

Inquiry Number: 28

Department: CSD  
 Date: 6/10/13  
 Page(s) of Budget Book:

**Request/Question:**

Hispanic Chamber of Commerce, what funding have they received and do they have a request for contribution from CSD funding.

**Response Prepared by:**

Herman D. Parker, Community Services Director

**Response:**

The Santa Barbara Hispanic Chamber of Commerce has received \$35,060.00 from Housing and Community Development from August 2004 through July 2007.

Date	Dollars	Description	Vendor Name
3/1/2004	1,500.00	ANNUAL MEETING SPONSORSHIP	Santa Barbara Hispanic Chamber of Commerce
12/7/2004	10,760.00	FY 2004-05 FULFILLMENT PROGRAM	Santa Barbara Hispanic Chamber of Commerce
7/3/2006	11,400.00	2005-06 FULFILLMENT PROGRAM	Santa Barbara Hispanic Chamber of Commerce
7/16/2007	11,400.00	2006-07 FULFILLMENT PROGRAM	Santa Barbara Hispanic Chamber of Commerce
	<b>35,060.00</b>	<b>Total</b>	

The Hispanic Chamber of Commerce submitted a request on June 11, 2013 in the amount of \$15,000 to assist in providing business workshops in both North and South County. (See attached letter)

The chart below provides a revised allocation if the Hispanic Chamber of Commerce was allocated \$15,000, and the remaining balance was re-allocated in the existing proportions. This would reduce the remaining distribution to \$180,000 for existing recipients and applies the current allocation proportionally to the existing organizations:

VendorName	FY 12-13 Adopted	12-13 Adopted Allocation %	Proportional Chg. For Hispanic Chamber of Commerce
Buellton Business Assoc Chamber of Commerce	2,758	1.41%	2,546
Carpinteria Valley Chamber Of Commerce	7,160	3.67%	6,609
Cuyama Valley Recreation District	830	0.43%	766
Goleta Valley Chamber of Commerce	23,106	11.85%	21,329
Hispanic Chamber of Commerce	n/a		15,000
Lompoc Chamber of Commerce	21,696	11.13%	20,027
Los Alamos Valley Men's Club	2,612	1.34%	2,411
Los Alamos Valley Visitors Association	-	0.00%	-
Los Olivos Business Organizations	1,202	0.62%	1,110
Santa Barbara Conference & Visitors Bureau	79,280	40.66%	73,181
Santa Maria Valley Chamber of Commerce	41,301	21.18%	38,124
Santa Ynez Valley Visitors Associaton	7,307	3.75%	6,745
Solvang Chamber of Commerce	3,874	1.99%	3,576
Solvang Conference & Visitors Bureau	3,874	1.99%	3,576
<b>Total</b>	<b>195,000</b>	<b>100.00%</b>	<b>195,000</b>

June 11, 2013

To: Herman Parker, SB County Community Services

From: Santa Barbara Hispanic Chamber of Commerce

Re: Community Service Grant, 2013-2014

The Santa Barbara Hispanic Chamber is committed to fostering the economic vitality of small businesses and to enhancing the quality of life in the greater Santa Barbara County area. Through its Small Business Assistance Committee, the Hispanic Chamber looks to provide programs and services that will assist and positively impact the small business owner. Through these activities, the Chamber hopes to assist the business owner to become a more knowledgeable business person and to grow and expand their business. The Chamber believes that this type of assistance can only help in creating more job opportunities for our community members, but in particular, our youth.

During the upcoming fiscal year, the SB Hispanic Chamber plans to conduct a small business workshop series and a business trade expo. While the majority of services have been provided on the South Coast, during the last several years we have received multiple requests to provide services in North County as well. Funding would assist us in providing business workshops in both north and south county. Workshop topics would include healthcare, technology, marketing and legal issues for the small business. Our business workshops will be provided in a bilingual format. The aim of our trade expo is to provide the small business owner with a venue to showcase his/her products and services. The expo also provides the business owner and the general public the opportunity to network and learn through presentations held during the trade expo.

The estimated cost to provide the above services is \$ 15,000.00. We look forward to partnering with SB County in order to provide these services to our small business owners. Should you require additional information, please call us at (805) 233-3690.

# Board Inquiry Form

Inquiry Number: 29

Board Member	
Carbajal	
Wolf	x
Farr	
Adam	
Lavagnino	

Department: ADMHS

Date: 6/11/2013

Page(s) of Budget Book: Pg 7 of binder tab 6a

**Request/Question:** ADMHS SLR identifies \$216,000 in reductions for both "reduced inpatient beds" and "elimination of Juvenile Justice program". How do those two break down, respectively?

Response Prepared by:  
Joseph Toney, Fiscal & Policy Analyst

**Response:**

As viewed in the SLR section of the binder (Tab 30, page 9 of 12), the Mental Health Inpatient Services had received \$216,000 as part of prior year Board expansions for temporary inpatient bed needs. The amount is again being requested due to a rise in admissions and increased State charges for State Hospital Beds.

The Probation Department will continue the Juvenile Justice program but plans to contract these services out to Corizon. Therefore, ADMHS will no longer provide this program. The entire program was estimated to be \$1.5M for FY 2013-14.

For more information on the proposal to contract out Juvenile Justice, please see BIF #5.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	X
Lavagnino	

Inquiry Number: 30

Department:

Public Works

Date:

June 11, 2013

Page(s) of Budget Book: Proposed FY 13-14 Operating Plan Presentation, p22

**Request/Question:**

Is the \$500,000 for Roads in addition to the \$3 million they currently have budgeted for maintenance?

Response Prepared by: Mark Paul

**Response:**

Yes; these are separate funds. The \$3 million is funded by Measure A funds and the \$500,000 is funded with General Fund dollars.

# Board Inquiry Form

Inquiry Number: 31

Board Member	
Carbajal	
Wolf	
Farr	
Adam	X
Lavagnino	

Department: Proprietary Funds  
 Date: June 11, 2013  
 Page(s) of Budget Book: C-27

**Request/Question:**

Please explain why all Non-Major Proprietary Funds (Vehicle ISF, Utilities ISF, etc.) seem to have been spent down? Are all of these funds restricted or committed?

Response Prepared by: Jette Christiansson, CEO Business Manager

**Response:**

**Risk Management Funds – Restricted, -\$3.3 million change to fund balance**

The main component of the reduction to the Risk Management Fund is caused by Workers' Compensation.

Fund 1911 Workers' Compensation: -\$2.0 million. The reduction to this fund is based on increasing actuarially determined liabilities that are being funded over six years.

Fund 1912 General Liability: -\$0.8 million. This program has benefited from a surplus in fund balance which has been used to reduce premiums assessed to the departments.

**General Services Funds:**

Fund 1900 Vehicle Operations – Restricted, -\$2.4 million. The draw on fund balance is budgeted to be used for purchase of capital assets - vehicles. The fund balance is restricted to use by the fund.

Fund 1919 Communications – Restricted, -\$1.9 million. The draw on fund balance is budgeted to be used for purchase of capital assets – Voice Over Internet Protocol purchases. The fund balance is restricted to use by the fund.

Fund 1915 Data Processing – Restricted, -\$1.7 million. The draw on fund balance is budgeted to be used for purchase of capital assets – network and infrastructure purchases. The fund balance is restricted to use by the fund.

Fund 1920 Utilities – Restricted, -\$0.3 million. The draw on fund balance is due to a timing difference between debt payment and rebate receipt. The fund balance is restricted to use by the fund.

# Board Inquiry Form

Inquiry Number: 32

Board Member	
Carbajal	
Wolf	X
Farr	
Adam	
Lavagnino	

Department: CEO  
Date: 6/11/13  
Page(s) of Budget Book:

**Request/Question:** Please show all CEO's restorations and Expansions

Response Prepared by: Tom Alvarez, Budget Director

**Response:**

Please See attached detail

Dept	Purpose	Ongoing	Onetime	Notes
County Counsel	(Expansion - CEO Recommended GFC Onetime) This adjustment will provide legal support to General Fund departments, specifically Community Services, Planning and Development and Sheriff. Approving this adjustment will minimize the impact and level of service to these departments.		123,000	Anticipate significant continued need for CC support of CSD (HCD) and Sheriff (Jail).
Sheriff	(Expansion - CEO Recommended GFC Ongoing) This adjustment would restore the County's Air Support Unit (ASU) to full funding necessary to operate and maintain the fleet. Any unspent maintenance funds would be set-aside into a maintenance Fund Balance Component at fiscal year-end.	298,733		Additional fuel costs based on estimated flight hours. More accurate ongoing maintenance estimates. Any unspent maintenance funds would be set-aside into a maintenance Fund Balance Component at fiscal year-end.
Sheriff	(Expansion - CEO Recommended GFC Ongoing) In the FY2012-13 budget hearings, the BOS gave the Sheriff 3 Custody Deputy positions, funded with one time funds. This expansion funds the three positions at .80 FTE with ongoing GFC.	270,000		Needed ongoing vs. one time. Also allow dept. to keep ongoing savings from newly negotiated Corizon contract.
CSD-Parks	(Expansion - CEO Recommended GFC Ongoing) This adjustment is necessary to provide essential lifeguard supervision, protect the public and water safety at our County beaches, swimming pools and lake.	38,000		Public Safety concern.
CSD-Housing/Community Development	(Expansion - CEO Recommended GFC Onetime) This adjustment from the Housing and Community Development Division is necessary to continue its engagement with MDG consultants in order to revise the County's HOME federal affordable housing program. This is a one year request for funding.		50,000	To complete HUD and OIG Audit response and implementation to mitigate potential future sanctions.
Auditor-Controller	(Expansion - CEO Recommended GFC Ongoing) This budget adjustment reflects the request of the Auditor-Controller to restore a Financial Accounting Analyst position to perform annual reviews and audits of county departmental compliance efforts as required by County contracts, grants, franchises, real property transactions, regulations and laws related to the receipt and expenditure of County funds. This function would be staffed in the Internal Audit division and require annual reports to the Board of Supervisors.	143,100		Consistent with CEO sponsored Countywide contracts compliance project.
Auditor-Controller	(Expansion - CEO Recommended GFC Ongoing) This budget adjustment is requested to restore a Senior Financial Systems Analyst position in the Auditor-Controller (A-C) department to maintain and enhance the 63 system applications maintained in the A-C. These systems are necessary to run the complex financial operations of the County. The systems are generally enterprise applications that support the accounting and finances of the entire County and other agencies (schools, cities and special districts).	161,200		Needed to minimize risk of failure of key financial systems.
Treasurer-Tax Collector-Public	(Expansion - CEO Recommended GFC Ongoing) This adjustment provides ongoing funding for increased software maintenance for the new Property Tax billing system.	50,000		Ongoing Maintenance for much needed new Integrated Property Tax System (IPTS).
Treasurer-Tax Collector-Public	(Expansion - CEO Recommended GFC Onetime) This adjustment provides one-time funding for crossover costs associated with the new Property Tax billing system.		50,000	One time conversion costs for much needed new IPTS.
		961,033	223,000	
<b>CEO Recommended Restorations/Expansions Total</b>			<b>1,184,033</b>	

# Board Inquiry Form

Board Member	
Carbajal	X
Wolf	
Farr	
Adam	
Lavagnino	

Inquiry Number: 33

Department: Public Safety Departments and P&D  
 Date: 6/12/13  
 Page(s) of Budget Book:

**Request/Question:** What is the 8 year General Fund Contribution difference between Public Safety Departments and Planning & Development?

Response Prepared by:  
 John Jayasinghe, CEO Fiscal & Policy Analyst

**Response:**

General Fund Contributions	Adopted FY 04-05	Recommended FY 13-14	\$ Change from FY04-05 Ado to FY13-14 Rec	% Change from FY04-05 Ado to FY13-14 Rec
<b>Public Safety</b>				
Court Special Services	7,818,672	8,536,800	718,128	9.2%
District Attorney	7,376,379	12,476,800	5,100,421	69.1%
Fire*	2,416,537	6,600,000	4,183,463	173.1%
Probation	15,215,601	25,150,400	9,934,799	65.3%
Public Defender	4,081,741	6,602,700	2,520,959	61.8%
Sheriff	45,155,044	69,834,600	24,679,556	54.7%
<b>Total Public Safety</b>	<b>82,063,974</b>	<b>129,201,300</b>	<b>47,137,326</b>	<b>57.4%</b>
Planning & Development	3,999,692	4,044,800	45,108	1.1%

\* The FY 13-14 recommended amount for Fire represents the General Fund Property Tax shift.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	X
Adam	
Lavagnino	

Inquiry Number: 34

Department: Sheriff  
 Date: 6/12/13  
 Page(s) of Budget Book:

**Request/Question:** Please provide the details of the Sheriff's Prop. 172 Public Safety Fund Balance.

Response Prepared by:  
 Doug Martin, Sheriff CFO and John Jayasinghe, CEO Fiscal & Policy Analyst

**Response:**

	Beginning Balance 7/1/12	Change from 7/1/12	Estimated Balance 6/30/13	Change from 6/30/13	Balance 6/30/14	Change from 6/30/14	Balance 6/30/15
<b>Sheriff Prop 172 Fund Balance (LI 9768)</b>							
Beginning Balance	1,729,242						
Usage of Prop 172 FY2012-13 (A)		(1,729,242)					
Anticipated Usage - North County Jail (B)				(368,118)		(488,374)	
Anticipated contribution to Fund Balance (C)		500,000				500,000	
	<u>1,729,242</u>	<u>(1,229,242)</u>	<u>500,000</u>	<u>(368,118)</u>	<u>131,882</u>	<u>11,626</u>	<u>143,508</u>

This analysis documents the contributions and uses of the Fund Balance Component for Sheriff's Office, Line Item 9768

(A) In FY 2012-13, the Fund Balance was committed to the following:

- 650,000 Replace department servers and SAN (Storage Area Network)
- 650,000 Contribution to Northern County Jail project
- 350,000 Replace Dispatch Radio system
- 79,242 Jail Security Project revisions

(B) Anticipated future contributions to Northern Jail Project by Sheriff's Office

(C) Anticipated increases in Fund Balance of Prop 172 revenues in excess of budget

# Board Inquiry Form

Inquiry Number: 35

Board Member	
Carbajal	
Wolf	
Farr	
Adam	X
Lavagnino	

Department: All  
 Date: 6/12/13  
 Page(s) of Budget Book:

**Request/Question:** What is the FY 2012-13 to FY 2013-14 General Fund Contributions dollar and percentage variances?

Response Prepared by: Richard Morgantini, CEO Office

**Response:**

	Adopted FY 12-13	Recommended FY 13-14	\$ Amount of Change	Percentage change FY 13-14 from FY 12-13	Notes
<b>Policy &amp; Executive</b>					
Board of Supervisors	\$ 2,767,608	\$ 2,815,500	\$ 47,892	2%	
County Executive Office	7,690,269	7,991,400	\$ 301,131	4%	Replace structural imbalance per Policy \$200k.
County Counsel	2,270,038	2,307,200	\$ 37,162	2%	
<b>Sub-Total</b>	<b>12,727,915</b>	<b>13,114,100</b>	<b>\$ 386,185</b>	<b>3%</b>	
<b>Public Safety</b>					
Court Special Services	8,536,760	8,536,800	\$ 40	0%	
District Attorney	12,289,381	12,476,800	\$ 187,419	2%	
Fire	-	-	\$ -	0%	Fire now receives share of Property Tax instead of GF
Probation	25,031,738	25,150,400	\$ 118,662	0%	
Public Defender	6,821,428	6,602,700	\$ (218,728)	-3%	
Sheriff	68,811,994	69,834,600	\$ 1,022,606	1%	
<b>Sub-Total</b>	<b>121,491,301</b>	<b>122,601,300</b>	<b>\$ 1,109,999</b>	<b>1%</b>	
<b>Health &amp; Human Services</b>					
Alcohol, Drug, & Mental Hlth Svcs	3,005,544	1,767,300	\$ (1,238,244)	-41%	Increased ongoing Realignment Revenue reduced one time increase in FY 2012-13 GFC of \$1.26 million.
Public Health	6,945,890	8,215,200	\$ 1,269,310	18%	FY 2012-13 was reduced by \$1.36 million based on one time revenue; GFC returned in FY 2013-14
Social Services	9,153,759	2,972,499	\$ (6,181,260)	-68%	Dept. receiving one-time and ongoing increased state realignment funding in FY 2013-14 (\$2.9 million). GFC will increase in FY 2014-15 by \$3.6 million.
<b>Sub-Total</b>	<b>19,105,193</b>	<b>12,954,999</b>	<b>\$ (6,150,194)</b>	<b>-32%</b>	
<b>Community Resources &amp; Public Facilities</b>					
Agricultural Commissioner/W&M	1,355,130	1,385,900	\$ 30,770	2%	
Community Services	7,416,172	7,801,700	\$ 385,528	5%	Replace structural imbalance per Policy \$380k.
Planning & Development	3,738,730	4,044,800	\$ 306,070	8%	Replace structural imbalance per Policy \$190k.
Public Works	2,696,126	2,796,600	\$ 100,474	4%	\$69k increase in MOE
<b>Sub-Total</b>	<b>15,206,158</b>	<b>16,029,000</b>	<b>\$ 822,842</b>	<b>5%</b>	
<b>General Government &amp; Support Services</b>					
Auditor-Controller	6,134,725	6,515,400	\$ 380,675	6%	\$300k replace department revenue with GF revenue
Clerk-Recorder-Assessor	8,752,646	9,509,300	\$ 756,654	9%	Replace structural imbalance per Policy \$600k.
Debt Service	690,000	1,090,000	\$ 400,000	58%	Increased debt service payments required
General Services	7,574,002	8,142,800	\$ 568,798	8%	Replace structural imbalance per Policy \$200k; \$200K move from deferred maintenance allocation (salary & benefit portion) \$75k transfer from Parks for maintenance of County facilities
Treasurer-Tax Collector-Public	3,021,937	3,107,000	\$ 85,063	3%	
<b>Sub-Total</b>	<b>26,173,310</b>	<b>28,364,500</b>	<b>\$ 2,191,190</b>	<b>8%</b>	
<b>General County Programs</b>					
General County Programs	15,806,468	11,539,101	\$ (4,267,367)	-27%	Reflects year end balance (FY 2011-12) transfer to Strategic Reserve
General Revenues	(584,117)	-	\$ 584,117	-100%	
<b>Sub-Total</b>	<b>15,222,351</b>	<b>11,539,101</b>	<b>\$ (3,683,250)</b>	<b>-24%</b>	
<b>General Fund Contributions Total</b>	<b>\$ 209,926,228</b>	<b>\$ 204,603,000</b>	<b>\$ (5,323,228)</b>	<b>-3%</b>	

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	X
Farr	
Adam	
Lavagnino	

Inquiry Number: 36

Department: CSD  
 Date: 6/12/2013  
 Page(s) of Budget Book:

**Request/Question:**

Please provide the dollar amount of restoring the per capita Library funding to \$6.50 and \$6.90.

Response Prepared by: Kerry Bierman, CFO

**Response:**

Current Library funding Level:	\$5.9691 per capita	\$2,550,403	
	At \$6.50 per capita	\$2,777,236	+\$226,833
	At \$6.90 per capita	\$2,948,142	+\$397,739

# Board Inquiry Form

Inquiry Number: 37

Board Member	
Carbajal	
Wolf	
Farr	
Adam	X
Lavagnino	

Department: Public Health  
Date: June 12, 2013  
Page(s) of Budget Book:

**Request/Question:** Is Environmental Health fully supported by program fees? What does the budgeted \$300,623 GF contribution fund?

Response Prepared by: Michele Mickiewicz and Suzanne Jacobson

**Response:**

The programs within Environmental Health Services (EHS) are predominately fee supported programs with certain exceptions. Approximately 95% percent of the Environmental Health services are fully supported by fees. The other 5% supports activities of general public health benefit, such as in the housing and vector control programs, where services cannot be tied to a specific fee paying entity nor can they be built into the fee structure because of Prop 26 constraints.

In the proposed fiscal year 13-14 budget, there is approximately \$300,000 in general fund in EHS in the following programs:

- \$20,000 for Housing and Vector
- \$25,000 for the Ocean Water program's mandated months
- \$160,000 for the Liquid Waste program
- \$35,000 for the Solid Waste program
- \$60,000 for the Drinking Water programs

It should be noted that EHS program fees were last updated in December 2009. When fees are updated, some portion of the general fund support may be able to be reduced.

In addition, a portion of the general fund for the Liquid Waste program relates to the Voluntary Septic Maintenance program, established in 1999 or 2000.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	
Farr	
Adam	X
Lavagnino	

Inquiry Number: 38

Department: Planning and Development  
Date: June 12, 2013  
Page(s) of Budget Book: Inquiry 01

**Request/Question:**

Please explain the difference between the estimated costs of the ECAP Adoption & Implementation (\$273k) and the County Costs in the Santa Barbara County Feasibility Analysis (\$5 million+) provided to Board offices in March.

(see 4.-Santa-Barbara-County-Feasibility-Analysis.xlsx)

Response Prepared by: Glenn Russell

**Response:**

The estimated costs of the ECAP Adoption and Implementation (\$273k) are those costs, including EIR preparation by a consultant, staff time necessary to prepare the plan and take it through the hearing process, implementation measures such as staff training and monitoring of the plan's success, and a feasibility study for implementation of Community Choice Aggregation.

The Santa Barbara County Feasibility Analysis is a preliminary draft document that contains very rough estimates in the form of a range of costs for implementing each of a series of Energy Reduction Measures (ERM's). This was provided to Board offices as a result of a request for the information and is a very large and complex document that does not include any total costs. Therefore it is difficult to know exactly how the figure of \$5 million+ was arrived at. The best way to answer this inquiry is to note what the document does and does not contain in terms of the costs of implementing the ERM's.

- The document contains rough and preliminary estimates of direct costs for implementing ERM's for both the County and the community.
- The costs are presented as ranges; High, Medium, and Low and will need significant refinement to be more accurate.
- The document includes all potential ERM's identified by staff. Some of these may not be selected to be part of the final plan and some may remain as voluntary measures.
- The document does not include any analysis of the potential benefits of implementing ERM's, such as increased property values, cost savings to property owners due to achieved energy savings, and the creation of jobs as a result of ERM's such as energy retrofits of homes.
- The document does not currently include any analysis of the length of time over which ERM's would be implemented. The costs of selected ERM's would be spread over many years, not all at once.
- The document is only the first step in a process to better understand what the costs and benefits of implementing ERM's will be.
- Once completed, the information will be presented to decision makers and the public to inform the process of consideration of an Energy and Climate Action Plan.

# Board Inquiry Form

Inquiry Number: 40

Board Member	
Carbajal	
Wolf	x
Farr	
Adam	
Lavagnino	

Department: Public Health  
 Date: June 12, 2013  
 Page(s) of Budget Book:

**Request/Question:** Why is Public Health proposing to make Service Level Reductions to positions in the County Health Care Centers? Won't these positions be needed to meet the increased demand when the Affordable Care Act (ACA) is implemented?

Response Prepared by: Michele Mickiewicz, Liz Snyder

**Response:**

The Service Level Reductions listed for Public Health on page 7 of 12 include reducing a number of staff positions in the Health Care Centers; however, reducing these positions will not affect the department's ability to meet increased demand due to the ACA for the following reasons:

- The 3.0 FTE Medical Social Service worker positions listed on line 2 assist Medically Indigent Adult (MIA) patients in applying for Medi-Cal and Social Security Disability benefits. This activity will be largely eliminated with the implementation of the ACA. This is because most of the MIA patients will be eligible for the Medi-Cal expansion under ACA and will be enrolled via the application assisters through the Department of Social Services. The residual work in this area will be reassigned to the existing patient representatives. Two of these positions are vacant and 1.0 FTE will be reassigned to work in a grant-funded Enrollment and Outreach program.
- The reduction of 1.3 FTE health care provider positions listed on lines 3 and 4 is being recommended to better match staffing with demand. There has been a decrease in patient visits over the last three fiscal years and even with anticipated increased demand from the Medi-Cal expansion, these positions are not needed to meet the patient needs at the Health Care Centers. The .3 provider position is vacant and the 1.0 FTE position would result in a layoff.
- The 5.0 AOP I/II positions are being reduced in the Medical Records area due to the implementation of the PHD's Electronic Health Record. The work done by these positions no longer exists due to the shift from a paper patient chart to the electronic patient charts. PHD has reassigned 2 FTE's to open AOP positions elsewhere in the department and will reassign the other 3 FTE's if vacancies occur. It is possible that 3 FTE's would be laid off. It is also possible that countywide AOP positions will become available.
- 
- The 7.0 staff positions in the Santa Maria Women's Health Center are slated for reduction due to the consolidation of the Women's Health Center services into the Santa Maria Health Care Center in the Betteravia Government Complex. These positions can be reduced without impacting service levels and there will be little to no patient growth in the Women's Center practice due to the implementation of Health Care Reform. Two of these positions are vacant and the other 5 have been reassigned elsewhere in the department so there will be no layoffs as a result of this change.

The PHD continuously monitors access and utilization measures relative to our staffing within our clinic sites. If implementation of the ACA results in increased demands for services beyond existing capacity, PHD, in coordination with CenCal and our community clinic partners, would work together to ensure sufficient capacity within our overall system.

# Board Inquiry Form

Inquiry Number: 41

Board Member	
Carbajal	
Wolf	
Farr	
Adam	X
Lavagnino	

Department: ADMHS  
 Date: 6/12/13  
 Page(s) of Budget Book:

**Request/Question:** What is the number and location of MHSA Housing Units in the County?

Response Prepared by: Michael Evans, ADMHS

**Response:**

MHSA Housing Units as of 6/12/13				
Region	Current	In Process	Potential	Total
Santa Barbara	10	0	12	22
Lompoc	8	5	0	13
Santa Maria	12	0	5	17
<b>Total</b>	<b>30</b>	<b>5</b>	<b>17</b>	<b>52</b>

**Definition of terms:**

Current Units = Units constructed

In Process Units = Units which have been considered and approved in the MHSA housing review process however not yet constructed.

Potential Units = Units which have been requested as a part of the MHSA housing review/funding process for the upcoming year and may be at various stages of the MHSA stakeholder review and approval process. Approvals not secured.

# Board Inquiry Form

Board Member	
Carbajal	
Wolf	X
Farr	
Adam	
Lavagnino	

Inquiry Number: 42

Department: Parks/General County Programs/General Services  
 Date: 6/12/13  
 Page(s) of Budget Book:

**Request/Question:** Please provide the current balance in the Cell site fund, and note the income for this year, and previous year.

Response Prepared by: Jette Christiansson, CEO Business Manager

**Response:**

The County receives cell site revenue, estimated at \$453,000 for FY 12/13, from a number of carriers in three different departments: Community Services Department/Parks, General Services/Communications and General County Programs. There is no specific fund set up to record this revenue. The CSD/Parks revenue is included in the division's operating revenue; the GS/Communications revenue is deposited in the Communications ISF; and the revenue in General County Programs goes into fund balance at the end of the year.

Cell Site Revenue

Dept	Carrier	Site	FY 11/12	FY/12/13
Parks	Cingular	Rincon Park	27,691.21	29,075.77
		Total Parks	<b>27,691.21</b>	<b>29,075.77</b>
GS/Communications	Verizon	Transfer Stn	26,996.74	28,076.61
GS/Communications	T-Mobile	Transfer Stn	27,923.91	29,320.11
GS/Communications	Cingular	Transfer Stn	13,228.13	13,516.51
GS/Communications	AT&T	Rincon Peak	18,521.71	20,952.30
GS/Communications	Ventura Co	Rincon Peak	13,413.43	15,227.08
GS/Communications	Sprint-Nextel	Transfer Stn	26,497.21	27,557.10
GS/Communications	Lompoc School Dist	Harris Grade	3,360.00	3,360.00
		Total GS/Communications	<b>129,941.13</b>	<b>138,009.71</b>
Gen Co Programs/Gen Revenues	Sprint-Nextel	Admin Bldg	26,186.63	27,234.10
Gen Co Programs/Gen Revenues	Sprint-Nextel	Transfer Stn	24,321.98	25,051.64
Gen Co Programs/Gen Revenues	Sprint-Nextel	Betteravia Gov Cntr	25,051.64	25,803.19
Gen Co Programs/Gen Revenues	Verizon	Tijiguas Landfill	25,619.61	26,644.40
Gen Co Programs/Gen Revenues	Sprint-Nextel	Tucker's Grove	25,051.65	26,053.72
Gen Co Programs/Gen Revenues	T-Mobile	Betteravia Gov Cntr	24,321.99	25,051.65
Gen Co Programs/Gen Revenues	Verizon	Tucker's Grove	26,571.70	27,634.57
Gen Co Programs/Gen Revenues	Verizon	County Bowl	25,549.71	26,571.70
Gen Co Programs/Gen Revenues	T-Mobile	County Bowl	24,400.70	25,132.72
Gen Co Programs/Gen Revenues	AT&T	Tucker's Grove	26,000.00	26,000.00
Gen Co Programs/Gen Revenues	T-Mobile	San Antonio Rd	23,690.00	24,400.70
		Total General Revenues	<b>276,765.61</b>	<b>285,578.39</b>
		Grand Total All Depts	<b>434,397.95</b>	<b>452,663.87</b>

\* Estimated

# Board Inquiry Form

Inquiry Number: 43

Board Member	
Carbajal	X
Wolf	
Farr	
Adam	
Lavagnino	

Department: Fire  
Date: 6/12/13  
Page(s) of Budget Book:

**Request/Question:** What is the Cost of the Fire Dept. Management Incentive Plan

Response Prepared by:  
Chief Michael Dyer  
Joseph Toney, Fiscal and Policy Analyst

**Response:**

The total annual estimate of the Fire Department Management Incentive Plan is \$96,775.

Please see the attached Professional Development and Organizational Incentive Plan for the Fire Department.

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**SANTA BARBARA COUNTY FIRE DEPARTMENT**

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**PROFESSIONAL DEVELOPMENT  
AND  
ORGANIZATIONAL SUCCESSION  
INCENTIVE PLAN**

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# Santa Barbara County Fire Department

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## Santa Barbara County Fire Department



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### OVERVIEW

As employee development is closely linked to the growth of a company, employee development of public service professionals can also be linked with the increase in effective and efficient service delivery of its fire service managers. Employee growth has direct and indirect benefits. More knowledgeable, skilled, and capable employees directly impact the Department's ability to satisfy customers, resolve problems and crises, and adapt to changing community needs and conditions. Incentivizing professional education, similar to the Santa Barbara Sheriff's Department's Managers Association MOU for example, can assist the Fire Department in gaining expertise in new technologies and markets, which can save the department time and money in the long run. In addition, most employees find learning new skills and taking on new challenges extremely rewarding. Satisfied employees have a more positive attitude, work harder, and stay with a company or an organization longer than workers who aren't given opportunities to grow.

There are many ways to foster employee development. The Santa Barbara County Fire Department has developed a comprehensive incentive plan that encourages employee professional growth as well as organizational succession. As detailed within the attached incentive plan, participants are encouraged to seek professional development in two specific areas: post-secondary education and vocational education.

**Post-secondary education** in the form of an Associate, Bachelor or Master of Science/Arts degree is a vital foundation for all fire service managers. Participation in and completion of a post-secondary degree program will result in a more confident employee who feels valued, challenged, rewarded and more adept to engage with other government officials, emergency managers and members of the community. Furthermore, by supporting an employees desire to educate themselves, an organization can expect to increase the knowledge base within the organization. In the long term this can make internal operations more efficient, reduce the need to outsource for expertise, and help the organization stay ahead of trends and initiatives in an ever dynamic profession.

**Vocational education** is required in order to stay current and informed in today's firefighting environment. Innovations in incident management, best practices and safety improvements are necessary skills for all fire service managers. Employee development in the realm of improving a manager's technical skills is a key element in administrative and emergency fire service management. Further, employee development in emergency and incident management may mean the difference in saving lives and minimizing property losses.

Often, businesses or organizations indicate they cannot afford the cost of employee development. Their reasons fall mainly in two areas: they cannot afford employees the time away from the job for training or they cannot afford to bring in an outside professional trainer. While these two issues are serious concerns for many organizations, the attached incentive plan outlines a host of vocational education options in which fire service managers may participate in the plan by seeking and completing training at their own cost. Each vocational education option has a direct nexus toward enhancing the technical skills a fire service manager needs to succeed in their profession.

Public service organizations must cultivate and develop their personnel as never before. Instead of viewing employees as item numbers or commodities, the organization must innovatively invest in their men and women. By doing so, individuals seeking higher levels of responsibility will be more skilled at forecasting and developing the capabilities necessary for their organizations to perform new and critical missions.

The Santa Barbara County Fire Department and our managers continue to improve our workforce and service excellence as well as our professional development as we work collectively toward understanding our Department's evolutionary direction. In doing so, we continue also to align our own growth and development with this direction in order to be prepared for challenges and opportunities in the future. We are hopeful that the County of Santa Barbara will work in a proactive manner with its

stakeholder fire department managers toward acceptance of the Professional Development and Organizational Incentive Plan.

**PROFESSIONAL DEVELOPMENT  
AND  
ORGANIZATIONAL SUCCESSION INCENTIVE PLAN**

<b>POST-SECONDARY EDUCATION<sup>1</sup></b>	<b>INCENTIVE<sup>2</sup></b>
Associate of Arts/Science	1%
Bachelor of Arts/Science	3%
Master of Arts/Science	5%
<b>VOCATIONAL EDUCATION<sup>3</sup></b>	
Emergency Medical Technician (EMT)	4%
OSFM Chief Officer Certification	3%
Incident Commander/Section Chief Qualified	3%
National Fire Academy Executive Fire Officer (EFO)	3%
<b>MAXIMUM COMBINED INCENTIVE</b>	
Maximum Post-Secondary Incentive	5%
Maximum Vocational Incentive	7%
<b>MAXIMUM TOTAL INCENTIVE</b>	<b>12%</b>

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<sup>1</sup> Program participants earn incentive for only one (single highest) earned degree.

<sup>2</sup> Increase to base salary by percentage noted.

<sup>3</sup> Program participants need only complete one component within the Vocational Education component to begin earning the incentive.

## **APPENDIX A**

### **Post-secondary Education**

#### **ASSOCIATE OF ARTS/SCIENCE, BACHELOR OF ARTS/SCIENCE, MASTER OF ARTS/SCIENCE DEGREES**

College graduates often enjoy benefits beyond increased income. A 1998 report published by the Institute for Higher Education Policy reviews the individual benefits that college graduates enjoy, including higher levels of saving, increased personal/professional mobility, improved quality of life for their offspring, better consumer decision making, and more hobbies and leisure activities (Institute for Higher Education Policy, 1998).

According to a report published by the Carnegie Foundation, non-monetary individual benefits of higher education include the tendency for postsecondary students to become more open-minded, more cultured, more rational, more consistent and less authoritarian; these benefits are also passed along to succeeding generations (Rowley and Hurtado, 2002).

Additionally, college attendance has been shown to "decrease prejudice, enhance knowledge of world affairs and enhance social status" while increasing economic and job security for those who earn bachelor's degrees (Rowley and Hurtado, 2002). Further, in terms of the social value of higher education, a number of studies have shown a high correlation between higher education and cultural and family values, and economic growth. Cohn and Geske (1992) report that "college graduates appear to have a more optimistic view of their past and future personal progress."

Public benefits of attending college include increased tax revenues, greater workplace productivity, increased consumption, increased workforce flexibility, and decreased reliance on government financial support (Institute for Higher Education Policy, 1998).

#### **Recognition of Degrees Earned by Program Participants**

Program participants must earn a degree from an accredited institution. Accreditation must be by a nationally recognized accrediting agency that the U. S. Secretary of Education has determined is a reliable authority as to the quality of education or training provided by the institutions of higher education and the higher education programs they accredit.

The practice of accreditation arose in the United States as a means of conducting nongovernmental, peer evaluation of educational institutions

and programs. Institutional accreditation as practiced in this country is a unique characteristic of American education. In many other countries, the maintenance of educational standards is a governmental function. No institution in the United States is required to seek accreditation, however, because of the recognized benefits, most of the eligible institutions in this and other regions have sought to become accredited.

The accreditation process aids institutions in developing and sustaining effective educational programs and assures the educational community, the general public, and other organizations that an accredited institution has met high standards of quality and effectiveness.

### **Types of Accreditation**

There are two basic types of educational accreditation, one referred to as "institutional" and the other referred to as "specialized" or "programmatic."

Institutional accreditation normally applies to an entire institution, indicating that each of an institution's parts is contributing to the achievement of the institution's objectives, although not necessarily all at the same level of quality. The various commissions of the regional accrediting agencies, for example, perform institutional accreditation, as do many national accrediting agencies.

Specialized or programmatic accreditation normally applies to programs, departments, or schools that are parts of an institution. The accredited unit may be as large as a college or school within a university or as small as a curriculum within a discipline. Most of the specialized or programmatic accrediting agencies review units within an institution of higher education that is accredited by one of the regional accrediting agencies. However, certain accrediting agencies also accredit professional schools and other specialized or vocational institutions of higher education that are freestanding in their operations. Thus, a "specialized" or "programmatic" accrediting agency may also function in the capacity of an "institutional" accrediting agency. In addition, a number of specialized accrediting agencies accredit educational programs within non-educational settings, such as hospitals.  
(U.S. Department of Education)

### **References**

Institute for Higher Education Policy (1998). Reaping the Benefits: Defining the Public and Private Value of Going to College. The New Millennium Project on Higher Education Costs, Pricing, and Productivity. Washington, DC: Author.

Rowley, L.L., & Hurtado, S. (2002). The Non-Monetary Benefits of an Undergraduate Education. University of Michigan: Center for the Study of Higher and Postsecondary Education.

United States Department of Education (2012). *Accreditation in the United States*. Retrieved from <http://www2.ed.gov/admins/finaid/accred/accreditation.html>

## **APPENDIX B**

### **Vocational Education**

#### **EMERGENCY MEDICAL TECHNICIAN CERTIFICATION**

The California Health and Safety Code requires all firefighters to be trained in first aid and Cardio Pulmonary Resuscitation (CPR). The Emergency Medical Technician (EMT) certification is recognized state-wide as a standard for all firefighters. Currently, firefighters represented by Firefighters Local 2046 are compensated 4% of their base pay to maintain their EMT certification. Firefighters must complete 24 hours of continuing education every two years to maintain this certification. In addition they must also maintain certain skills including CPR and Automatic External Defibrillator operation. Chief Officers should be compensated as well for maintaining this vital certification.

#### **CALIFORNIA INCIDENT COMMAND CERTIFICATION SYSTEM (CICCS)**

- **INCIDENT COMMANDER**
- **SECTION CHIEF – TYPE 2**
- **DIVISION/GROUP SUPERVISOR**

The California Incident Command Certification System (CICCS) was developed in response to concerns of California fire service professionals who identified the need for a system to enhance readiness and safety of emergency responders on multi-agency incidents. The program was designed as a decentralized certification system administered at the local, regional, and state level.

In an effort to ensure management and supervisory proficiency on emergency incidents, the California State Fire Marshal's Office (SFMO), and the Office of Emergency Services, (OES) Fire and Rescue Division (Branch), in cooperation with the California Fire Chief's Association, established the CICCS a means in which to standardize certification and qualifications for ICS positions.

In the aftermath of the October 1996 Calabasas Fire, the Los Angeles City Fire Department, Glendale Fire Department, and Los Angeles County Fire Departments established a task force to research, analyze, and develop a Calabasas Fire Report. Within the report, 56 recommendations were developed to enhance fire agencies' capability to combat wildland fire incidents and provide for maximum safety for personnel. There was agreement among the three departments that approximately 12 of those

recommendations should be adopted on a statewide basis for review and concurrence.

At an April 1997 meeting of the Fire and Rescue Service Advisory Committee/FIRESCOPE Board of Directors', the Los Angeles County Fire Department Chief (also designated as the OES Mutual Aid Region I Coordinator) presented the findings of the Calabasas Fire Report to the members. At least three of the recommendations in the report involved the need for improved training and the development of qualifications and experience requirements for all fire fighters responding to mutual aid wildland/urban interface incidents.

The Committee/Board of Directors indicated that they wanted to develop minimum qualifications and certifications for all-risk emergency incident management starting with wildland firefighting. In June 1997 the Department Training Chief for CalFire/SFMO presented the Committee/Board of Directors a recommendation that the FIRESCOPE Board of Directors should appoint members to a working group chaired by the Office of the State Fire Marshal to develop minimum standards for all-risk incident management. The working group should use National Wildfire Coordinating Group (NWCG) 310-1 as the model.

Ultimately, in October 1997, the Committee/Board of Directors agreed that the CalFire/Office of the State Fire Marshal should lead the development of State of California Incident Management Certifications and Qualifications for the California Fire Service. This agreement led to the implementation of CICCIS at the local government fire department level in 2002. A two-year period established for historical recognition of prior training and experience, began August 1, 2002 and concluded August 1, 2004.

Placing the CICCIS into service was a cooperative effort between the California State Fire Marshal's Office and the Governor's Office of Emergency Services, Fire and Rescue Branch. State Fire Training is a SFMO responsibility, while the movement of fire service resources throughout the state during times of emergency is the responsibility of OES Fire and Rescue through the California Fire and Rescue Service Emergency Mutual Aid Plan.

A key component of CICCIS involves certifying fire service personnel who have attained a certain level of Incident Command System (ICS) position expertise. The certification of individuals includes the completion of specific position related courses and participation in those roles on active emergency incidents.

- I. Documentation validating certification as a Division/Group Supervisor includes the following:

1. Qualified as a Strike Team Leader
  2. Completion S-290: Intermediate Fire Behavior *(37 hrs)*
  3. Completion S-215: Fire Operations in Wildland Interface *(32 hrs)*
  4. Completion S-330: Strike Team Leader Course *(26 hrs)*
  5. Completion S-390: Introduction to Wildland Fire Behavior Calculations *(36 hrs)*
  6. Completion S-339: Division/Group Supervisor *(23 hrs)*
  7. Completion: Division/Group Supervisor position task book with the performance evaluations and have two documented assignments as a Strike Team Leader
- II. Documentation validating certification as an Operations Section Chief (OSC Type 2) includes the following:
1. Qualified as a Division/Group Supervisor plus,
  2. Completion S-430: Operations Section Chief *(24 hrs)*
  3. Completion I-400: Advanced Incident Command System *(16 hrs)*
  4. Completion S-420: Command and General Staff *(38 hrs)*
  5. Completion: Branch Director Type 2 (OSC2) position task book with performance evaluations and two documented assignments as a Division/Group Supervisor
- III. Documentation validating certification as a Planning Section Chief (PSC Type 2) includes the following:
1. Completion S-420: Command and General Staff *(38 hrs)*
  2. Satisfactory performance as a Situation Unit Leader (SITL)
  3. Satisfactory performance as a Resources Unit Leader (RESL)
  4. Successful position performance as a Planning Section Chief Type 2 (PSC2) on a wildland fire incident
  5. Completion I-400: Advanced ICS *(16 hrs)*
  6. Completion L-480: Incident Management Team Leadership *(40 hrs)*
  7. Completion S-440: Planning Section Chief *(21 hrs)*
- IV. Documentation validating certification as a Logistics Section Chief (LSC Type 2) includes the following:
1. Completion S-420: Command and General Staff *(38 hrs)*
  2. Satisfactory performance as a Facilities Unit Leader (FACL)
  3. Satisfactory performance as a Ground Support Unit Leader (GSUL)
  4. Successful position performance as a Logistics Section Chief Type 2 (LSC2)

5. Completion I-400: Advanced ICS (*16 hrs*)
  6. Completion L-480: Incident Management Team Leadership (*40 hrs*)
  7. Completion S-450: Logistics Section Chief (*16 hrs*)
- V. Documentation validating certification as a Finance Section Chief (FSC Type 2) includes the following:
1. Completion S-420: Command and General Staff (*38 hrs*)
  2. Satisfactory performance as a Time Unit Leader (TIME)
  3. Satisfactory performance as a Procurement Unit Leader (PROC)
  4. Successful position performance as a Finance/Administration Section Chief Type 2 (FSC2)
  5. Completion I-400: Advanced ICS (*16 hrs*)
  6. Completion L-480: Incident Management Team Leadership (*40 hrs*)
  7. Completion S-460: Finance/Administration Section Chief (*25 hrs*)
- VI. Documentation validating certification as an Incident Commander (IC Type 2) includes the following:
1. Completion S-420: Command and General Staff (*38 hrs*)
  2. Satisfactory performance as an Incident Commander Type 3 (ICT3)
  3. Satisfactory performance as an Operations, Planning, Logistics, or Finance Section Chief Type 2 (OSC2, PSC2, LSC2, or FSC2)
  4. Successful position performance as an Incident Commander Type 2 (ICT2) on a wildfire incident
  5. Completion I-400: Advanced ICS (*16 hrs*)
  6. Completion L-480: Incident Management Team Leadership (*40 hrs*)
  7. Completion S-400: Incident Commander (*24 hrs*)

### **Reference**

National Interagency Incident Management System: Wildland Fire Qualification System Guide PMS 310-1 (April 2006). National Wildfire Coordinating Group, Incident Operations Standards Working Team, Boise, ID; Author.

## **CALIFORNIA OFFICE OF THE STATE FIRE MARSHAL (OSFM) CHIEF OFFICER CERTIFICATION**

Certified Chief Officer is the second of three steps (Company Officer, Chief Officer, Fire Chief) of certification leading to the position of Certified Fire Chief through the California Office of the State Fire Marshal (OSFM). This level of certification utilizes upper level curriculum that establishes some lower level courses as prerequisites. Although these courses are open to all individuals who meet the academic prerequisites, Chief Officer Certification is only available to those holding the rank of officer and above. The OSFM now requires an Associate of Arts or Science degree in addition to the following class curriculum.

### **Educational Requirements (40 hours per course):**

1. Fire Command 2A: Command Tactics at Major Fires
2. Fire Command 2B: Management of Major Hazardous Materials Incidents
3. Fire Command 2C: High Rise Fire Tactics
4. Fire Command 2D: Planning for Large Scale Disasters
5. Fire Command 2E: Wildland Fire Tactics
6. Fire Management 2A: Organizational Development and Human Relations
7. Fire Management 2B: Fire Service Financial Management
8. Fire Management 2C: Personnel and Labor Relations
9. Fire Management 2D: Master Planning
10. Fire Management 2E: Contemporary Issues and Concepts
11. I-400: Advanced ICS

## **UNITED STATES FIRE ADMINISTRATION (USFA), NATIONAL FIRE ACADEMY (NFA) EXECUTIVE FIRE OFFICER PROGRAM (EFOP)**

The EFOP is an extensive, 4-year program conducted on-site at the National Fire Academy in Emmitsburg, Maryland. The program is aimed at increasing the professionalism of fire professionals from throughout the United States. The EFOP target audience is current and emerging executive-level leaders in fire and emergency services organizations. The selection criteria are divided between two requirement areas: Service Requirement and Academic Requirement.

### **Service Requirement**

- Chiefs of Department or equivalent

- Chief Officers or equivalent who head major bureaus or divisions within a fire department, e.g., suppression, prevention, training, emergency medical services, etc.
- Chief Officers and senior deputies of State governmental fire organizations
- Fire Marshals and State Directors of Fire Training
- Other individuals who are serving in "key leadership" positions

**Academic Requirement**

- Bachelor Degree

When the final Applied Research Project has received a passing grade, the student is awarded the Executive Fire Officer Program Certificate.

# Board Inquiry Form

Inquiry Number: 44

Board Member	
Carbajal	
Wolf	
Farr	
Adam	
Lavagnino	X

Department: General Services  
Date: 6/12/2013  
Page(s) of Budget Book:

**Request/Question:**

What is the annual Telephone Services Operating budget? What is the total project cost of the Voice Over Internet Protocol (VoIP)?

**Response Prepared by:**

Matt Pontes, Director, General Services  
Joseph Toney, Fiscal and Policy Analyst

**Response:**

The annual Telephone Services Operating budget is \$2 million, not including one-time monies for VoIP in FY 2012-13.

The total cost for the VoIP project is \$3 million.

# Board Inquiry Form

Inquiry Number: 45

Board Member	
Carbajal	
Wolf	
Farr	X
Adam	
Lavagnino	

Department: All  
Date: 6/12/2013  
Page(s) of Budget Book:

**Request/Question:** On the Fund Balance listing with descriptions, page 3, account #9730, it identifies \$310,580 of funds which were misclassified as Restricted and that they will be moved to a Committed Capital Account. Can these be re-allocated to the Program Restoration Account?

Response Prepared by: Tom Alvarez, Budget Director

**Response:**

Yes, your Board can reallocate these funds as a source to fund expansions through the Program Restoration account. The Fund Balance detail is a new process improvement and was only completed and distributed last week. We are going through the balances to identify any misclassifications or necessary adjustments. Thus far, we have identified the following other balances that can be moved from existing committed balances to be used as your Board deems appropriate:

- \$310,580 per above
- \$ 69,645 page 4 of list, account 9788
- \$ 85,000 page 7 of 9, account 9828 (sub-account 8300, EMID Pedestrian Bridge) included in total amount of \$434,847 at 6/30/13
  
- \$465,225 Total available for reclassification

# Board Inquiry Form

Board Member	
Carbajal	X
Wolf	X
Farr	
Adam	
Lavagnino	

Inquiry Number: 46

Department:

Public Works/County Counsel

Date:

6/13/13

Page(s) of Budget Book:

**Request/Question:** Please provide the projected funding amount needed to complete the necessary review of the draft plastic bag ordinance scheduled for a BOS hearing on 6/25/2013.

Response Prepared by:

Mark Schleich, Deputy Director, Resource Recovery & Waste Management

**Response:**

The projected funding amount needed to complete the necessary environmental review and possible adoption of a plastic bag ordinance is \$35,000 consisting of \$15,000 for consultant with the remainder for staff time of Public Works and County Counsel and supplies.

# Board Inquiry Form

Inquiry Number: 47

Board Member	
Carbajal	
Wolf	X
Farr	
Adam	
Lavagnino	

Department: CEO

Date: 6/13/13

Page(s) of Budget Book: B-5, Binder Tabs 3, 6 (page 6-7)

**Request/Question:** 1. Why do the CEO Recommended Restorations and Expansions only include two of the three Sheriff SLRs?

Response Prepared by: Joseph Toney, Fiscal and Policy Analyst

**Response:**

**1. Why do the CEO Recommended Restorations and Expansions only include two of the three Sheriff SLRs?**

The Sheriff Department's reduction of 4.0 Custody Deputies is not showing as a restoration of \$455,000 on the Final Budget Adjustment schedule as the need for this reduction no longer exists. The reductions were originally necessitated by anticipated increases in Jail-Medical staffing and pharmaceutical costs. Through recent renegotiations with Corizon, the contract costs will not increase and therefore, the reduction in Sheriff Custody Deputies will not be necessary.



BOARD OF SUPERVISORS  
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors  
105 E. Anapamu Street, Suite 407  
Santa Barbara, CA 93101  
(805) 568-2240

**Department Name:** County Executive Office  
**Department No.:** 012  
**For Agenda Of:** June 10, 2013  
**Placement:** Departmental  
**Estimated Time:**  
**Continued Item:** No  
**If Yes, date from:**  
**Vote Required:** Majority

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**TO:** Board of Supervisors  
**FROM:** Department Director(s) Chandra L. Wallar, County Executive Officer *CW*  
Contact Info: Tom Alvarez, Budget Director (568-3432)  
**SUBJECT:** Fiscal Year 2013-14 Recommended Operating Plan and Budget

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**County Counsel Concurrence**

As to form: Yes

**Auditor-Controller Concurrence**

As to form: Yes

**Recommended Actions:**

It is recommended that the Board of Supervisors:

1. Approve final budget adjustments to the Fiscal Year 2013-14 Recommended Budget;
2. Delegate authority to the County Executive Officer to execute ongoing grants and contracts included in the Recommended Budget;
3. Authorize the County Executive Officer to approve ongoing contracts where amounts are up to 10% more or less than indicated amounts, or up to \$5,000 more or less than indicated amounts on contracts under \$50,000, without returning to the Board for approval; and
4. Adopt the Resolution of the Board of Supervisors entitled In the Matter of Adopting the Budget for Fiscal Year 2013-14

**Summary Text:**

The Fiscal Year 2013-14 Recommended Operating Plan and Budget is hereby submitted to the Board of Supervisors. The information in this letter, attachments, and hearing binder is provided to enable the Board to adopt a Fiscal Year 2013-14 operating plan and budget on June 14, 2013. Budget hearings are scheduled for the week of June 10-14, 2013 and if necessary, may be continued into the week of June 17-21, 2013.

**Background:**

<b>Budget at a Glance:</b>				
<b>(Dollars in Millions)</b>	<b>FY 2011-12 Actual</b>	<b>FY 2012-13 Adopted</b>	<b>FY 2013-14 Recommended</b>	<b>FY 14-15 Proposed</b>
Total Operating Revenues	\$ 836.3	\$ 815.5	\$ 839.5	\$ 847.1
Total Operating Expenditures	\$ 801.0	\$ 833.3	\$ 844.5	\$ 860.8
Net Operating Impact *	\$ 35.3	\$ (17.8)	\$ (5.0)	\$ (13.7)
Staffing FTE's	3,845.9	3,923.7	3,891.2	3,894.0

\* Net Operating Impact is funded by Other Financing Sources or use of Fund Balances.

The Fiscal Year 2013-14 recommended operating expenditures for all funds totals \$844.5 million; an increase of \$11.2 million from Fiscal Year 2012-13 adopted operating expenditures. The recommended budget includes \$37.0 million in Capital Assets.

The proposed budget is primarily balanced with Fiscal Year 2013-14 operating revenues of \$839.5 million and the net use of fund balances of \$43.7 million. The increase in total Fiscal Year 2013-14 revenues of \$24.0 million from the FY 2012-13 adopted of \$815.5 million is primarily attributed to increases in intergovernmental revenues and property taxes.

Staffing levels in the Recommended FY 2013-14 Operating Plan are 3,891.2 Full Time Equivalent (FTE) positions. This is a decrease of 32.5 FTE compared with 3,923.7 FTE in the FY 2012-13 Adopted budget. The decrease in recommended FTEs is primarily in the Fire Department, Probation, and General Services. The Fire Department will decrease 10 firefighter FTEs with eight from Engine 11 and two from Station 22. Probation will have a net decrease of 8.2 FTEs from decreased California Community Corrections Performance Incentive Act of 2009 funding (restored in the Governor’s Budget May Revision with further details in the Final Budget Adjustments) and a decrease of staff at the juvenile hall due to a reduced daily population. General Services has various reductions that net to 8.4 FTEs.

**Final Budget Adjustments**

As is the case each year, events have occurred since the Recommended Budget was prepared which prompts staff to recommend adjustments to various appropriations and revenues. The recommended adjustments fall into two main categories listed here and detailed in **Attachment A**:

1. Re-budgeting appropriations included in the Fiscal Year 2012-13 budget, but not spent during the fiscal year, and moved to a designation via a Budget Revision during Fiscal Year 2012-13 for use in Fiscal Year 2013-14.
2. Other recommended changes adjust General Fund and non-General Fund budgets.

**Attachment A** is a list of all final budget adjustments recommended for approval by the Board.

### **Ongoing Grants and Contracts**

The County has numerous ongoing grants and contracts that are renewed each year with the funding and expenditures approved by the Board during the annual budget hearings. The execution then becomes ministerial and can be delegated to the County Executive Officer, who will verify their inclusion in the Adopted Budget and sign for the County, thus reducing the number of administrative agenda items that come before the Board during the year. The Board has customarily delegated this authority to include grants and contracts where amounts are up to 10% more or less than indicated amounts, and approval of changes up to \$5,000 from the Board approved amounts on contracts less than \$50,000. This process has proven to be an efficient and responsive way for the agencies involved and to comply with the Board's policy direction.

The grants to be included in this year's delegation are identified in **Attachment B**. The contracts to be included in this year's delegation are identified in **Attachment C**. The contract list could include *part-year* contracts that would have been for the same amount as the prior year if the request had been to renew them for a *full year*. For example, a contractor was paid \$100,000 for a full year's work last year but the proposed contract is for \$50,000 for 6 months work in Fiscal Year 2013-14.

### **Budget Resolution**

The Resolution of the Board of Supervisors follows as **Attachment D**. Note the resolution allows the County Executive Officer, under limited circumstances, to approve changes to appropriations for previously approved equipment purchases.

### **Mandates and Service Levels**

Board approval of these proposed changes (final budget adjustments and ongoing grants and contracts) during budget hearings is discretionary. The budget hearings, recommended budget and the budget resolution are subject to the Government Code of the State of California Chapter 1, Division 3, Title 3, Articles 3 and 4.

### **Fiscal and Facilities Impacts:**

Approval of these recommendations adopts the Fiscal Year 2013-14 Recommended Budget (with any modifications determined by the Board) and authorizes the County Executive Officer and/or the County Auditor-Controller to take necessary related fiscal action.

### **Attachments:**

- A- Final Budget Adjustments
- B- Ongoing Grants
- C- Ongoing Contracts
- D- Resolution of the Board of Supervisors

### **Authored by:**

Richard Morgantini, CEO Fiscal and Policy Analyst, 568-3551

**Cc:** Department Directors  
Assistant County Executive Officers  
Fiscal and Policy Analysts

## Attachment A

### 09 Final Budget Adjustments Summary-All Depts (2013-14)

Dept / Adj. #	Sources	Uses	GFC	FTEs	Positions	Purpose
<b>County Executive Office</b>						
2	551,500	551,500	0	0.00	0.00	This adjustment recognizes revenue from the 2011 and 2012 Homeland Security grants (\$370,000 and \$181,500) and establishes appropriations for generators, fencing, safety cabinet and COPLINK.
<b>County Counsel</b>						
1	123,000	123,000	0	1.00	1.00	(Expansion - CEO Recommended GFC Onetime) This adjustment will provide legal support to General Fund departments, specifically Community Services, Planning and Development and Sheriff. Approving this adjustment will minimize the impact and level of service to these departments.
<b>Probation</b>						
1	567,099	567,099	0	4.00	0.00	This adjustment restores 4 FTEs to the SB678 program that were previously unfunded due to a reduction of State California Community Corrections Performance Incentives Act of 2009 (SB 678) revenue. The Governor's May revised budget restored funding.
<b>Fire</b>						
4	770,900	770,900	0	0.00	0.00	This adjustment accounts for the Fire Department cost allocation plan charges resulting from the movement of fire operations from the General Fund to the Fire District Fund.
<b>Sheriff</b>						
1	298,733	298,733	298,733	0.00	0.00	(Expansion - CEO Recommended GFC Ongoing) This adjustment would restore the County's Air Support Unit (ASU) to full funding necessary to operate and maintain the fleet. Any unspent maintenance funds would be set-aside into a maintenance Fund Balance Component at fiscal year-end.
3	270,000	270,000	270,000	2.40	0.00	(Expansion - CEO Recommended GFC Ongoing) In the FY2012-13 budget hearings, the BOS gave the Sheriff 3 Custody Deputy positions, funded with one time funds. This expansion funds the three positions at .80 FTE with ongoing GFC.
6	(7,368)	(7,368)	0	0.00	0.00	This adjustment makes slight changes to the AB109 Realignment budget submitted by the Sheriff to match the final budget approved by the CCP.

**Attachment A**  
**09 Final Budget Adjustments Summary-All Depts (2013-14)**

Dept / Adj. #	Sources	Uses	GFC	FTEs	Positions	Purpose
<b>Sheriff</b>						
7	193,023	193,023	0	0.00	0.00	This adjustment recognizes the growth in the City of Goleta Law Enforcement contract by 1 Deputy Sheriff as an add to the Motor Unit.
Dept Totals	754,388	754,388	568,733	2.40	0.00	
<b>Public Health</b>						
1	500,000	500,000	0	0.00	0.00	This adjustment will reclassify the Environmental Health Services (EHS) Solid Waste Agency Fund designation in Fund 0042 to Fund 0001.
2	0	0	0	0.00	0.00	This adjustment shifts \$7,500 from Services and Supplies to Capital Assets object level for the purchase of Golvo Mobile Lift for field operations when activation of medical shelters are required.
3	93,300	93,300	0	0.00	0.00	This adjustment will re-budget \$93,300 unspent funds/unused appropriation from a \$106,000 grant from the Blue Shield of California Foundation for ACA preparedness.
4	766,018	766,018	0	0.00	0.00	This adjustment will increase TSAC appropriations and the corresponding decrease to committed fund balance. This adjustment is funded by the unanticipated 2013 Tobacco Settlement Non-participating Manufacturers (NPM) adjustment settlement.
Dept Totals	1,359,318	1,359,318	0	0.00	0.00	
<b>Alcohol, Drug, &amp; Mental Hlth Svcs</b>						
2	3,653,295	0	0	0.00	0.00	This adjustment is requested in the event ADMHS does not receive (and does not accrue) the \$3,653,295 which is due from the State to the County as part of a cost report settlement agreement entered into in April 2012.
3	5,220,334	0	0	0.00	0.00	This adjustment will re-budget unspent funding from the Audit Exception Reserve to pay previously booked cost report liabilities.
Dept Totals	8,873,629	0	0	0.00	0.00	

## Attachment A

### 09 Final Budget Adjustments Summary-All Depts (2013-14)

Dept / Adj. #	Sources	Uses	GFC	FTEs	Positions	Purpose
<b>Social Services</b>						
1	749,846	749,846	0	8.00	0.00	To fund: 1) Six positions that were initially unfunded in the FY 2012-13 Adopted Budget (6). All but one of these positions was funded during the fiscal year based on increased funding. 2) Convert two part time positions to two full time positions in CalWORKs and Adult Protection Services. 3) Add one contractor on payroll for the Adult and Aging Network. Source of Funding is Federal, State and Realignment Funds. No General Fund monies required
2	2,013,063	2,013,063	0	24.00	24.00	To increase the number of staff providing critical services in Child Welfare Services (11), Adult Protective Services and In-Home Supportive Services (7), Foster Care Eligibility (2), and Clerical Support (4). These additional positions are necessary due to increases in caseloads, adherence to best practice models, new programs and regulations, and recent audit findings. Additionally, these new positions will result in better service delivery for children and families as well as improvement in our state and federal outcomes. Source of Funding is Federal, State and Realignment Funds. No General Fund monies required
3	1,890,164	1,890,164	0	28.00	28.00	This adjustment will increase 28 line and direct supervision staff in the Department's Medi-Cal and CalFresh Eligibility programs. This increased staffing should allow the Department to provide the necessary services for the increased caseloads. Workload has increased over 58% while staffing for ongoing work has remained stagnant. This deficit in resources has had a direct impact on the Department's ability to contain and reduce the number of audit findings in these programs. Source of Funding is Federal, State and Realignment Funds. No General Fund monies required
4	0	0	0	0.00	0.00	Shifts 1991 Realignment fund balance from Committed Fund balance to Restricted Fund balance as per Auditor Controller direction to comply with GASB 54 directives.

## Attachment A

### 09 Final Budget Adjustments Summary-All Depts (2013-14)

Dept / Adj. #	Sources	Uses	GFC	FTEs	Positions	Purpose
<b>Social Services</b>						
5	586,160	586,160	0	6.00	6.00	Provides the needed programmatic support for line staff dealing with program regulations and case management, oversight and data integrity, systems and contracts/grants support and overpayments and fair hearings. Source of Funding is Federal, State and Realignment Funds. No General Fund monies required
6	1,365,360	1,365,360	0	19.00	19.00	Creates a training unit needed to conduct two training classes simultaneously which will eliminate much of the lag in case processing caused by waiting for a vacancies to occur. Source of Funding is Federal, State and Realignment Funds. No General Fund monies required
Dept Totals	6,604,593	6,604,593	0	85.00	77.00	
<b>Agricultural Commissioner/W&amp;M</b>						
1	58,413	58,413	0	1.00	1.00	This adjustment will restore one Agricultural Biologist. This position is divided into .8 FTE in the Pesticide Use Enforcement program and .2 FTE in the Pest Prevention program. The Department has secured funding to restore the position without any additional GF required.
<b>Parks</b>						
2	38,000	38,000	38,000	0.75	0.75	(Expansion - CEO Recommended GFC Ongoing) This adjustment is necessary to provide essential lifeguard supervision, protect the public and water safety at our County beaches, swimming pools and lake.
<b>Planning &amp; Development</b>						
1	4,500	4,500	0	0.00	0.00	This adjustment releases fund balance component for the annual \$4,500 contribution to the Historic Landmarks Advisory Committee for use in FY 2013-14. This amount was budgeted in FY 2012-13 and the Committee has requested that it be carried over.
2	184,541	184,541	0	1.50	0.00	This adjustment will increase salaries and benefits by \$184,541 funded by land use permit revenue.

## Attachment A

### 09 Final Budget Adjustments Summary-All Depts (2013-14)

Dept / Adj. #	Sources	Uses	GFC	FTEs	Positions	Purpose
<b>Planning &amp; Development</b>						
11	72,744	72,744	0	0.00	0.00	This adjustment carries over anticipated unspent grant revenues and related expenditures from FY 2012-13 to FY 2013-14. There is no General Fund Contribution impact.
<hr/>						
Dept Totals	261,785	261,785	0	1.50	0.00	
<hr/>						
<b>Housing/Community Development</b>						
1	50,000	50,000	0	0.00	0.00	(Expansion - CEO Recommended GFC Onetime) This adjustment from the Housing and Community Development Division is necessary to continue its engagement with MDG consultants in order to revise the County's HOME federal affordable housing program. This is a one year request for funding.
<hr/>						
<b>Auditor-Controller</b>						
1	143,100	143,100	143,100	1.00	1.00	(Expansion - CEO Recommended GFC Ongoing) This budget adjustment reflects the request of the Auditor-Controller to restore a Financial Accounting Analyst position to perform annual reviews and audits of county departmental compliance efforts as required by County contracts, grants, franchises, real property transactions, regulations and laws related to the receipt and expenditure of County funds. This function would be staffed in the Internal Audit division and require annual reports to the Board of Supervisors.
2	161,200	161,200	161,200	1.00	1.00	(Expansion - CEO Recommended GFC Ongoing) This budget adjustment is requested to restore a Senior Financial Systems Analyst position in the Auditor-Controller (A-C) department to maintain and enhance the 63 system applications maintained in the A-C. These systems are necessary to run the complex financial operations of the County. The systems are generally enterprise applications that support the accounting and finances of the entire County and other agencies (schools, cities and special districts).

## Attachment A

### 09 Final Budget Adjustments Summary-All Depts (2013-14)

Dept / Adj. #	Sources	Uses	GFC	FTEs	Positions	Purpose
<b>Auditor-Controller</b>						
3	0	0	0	0.00	0.00	This adjustment recognizes \$48,599 of revenue for Administrative fees resulting from the Public Safety Realignment Act Plan for FY 13-14 adopted by the Community Corrections Plan Committee (CCPC) and reduces the one time release of A-C Systems Maintenance/Development Committed Fund Balance by \$48,599 to \$203,244.
Dept Totals	304,300	304,300	304,300	2.00	2.00	
<b>Treasurer-Tax Collector-Public</b>						
2	50,000	50,000	50,000	0.00	0.00	(Expansion - CEO Recommended GFC Ongoing) This adjustment provides ongoing funding for increased software maintenance for the new Property Tax billing system.
3	50,000	50,000	0	0.00	0.00	(Expansion - CEO Recommended GFC Onetime) This adjustment provides one-time funding for crossover costs associated with the new Property Tax billing system.
Dept Totals	100,000	100,000	50,000	0.00	0.00	
<b>General County Programs</b>						
1	(961,033)	(961,033)	(961,033)	0.00	0.00	This adjustment reduces the increase of Program Restoration fund balance \$961,033 and GFC \$961,033 to provide ongoing GFC to departments for CEO recommended expansions.
2	770,900	770,900	770,900	0.00	0.00	This adjustment accounts for the Fire Department cost allocation plan charges resulting from the movement of fire operations from the General Fund to the Fire District Fund.
4	3,653,295	3,653,295	0	0.00	0.00	This adjustment is requested in the event ADMHS does not receive (and does not accrue) the \$3,653,295 which is due from the State to the County as part of a cost report settlement agreement entered into in April 2012.
5	5,220,334	5,220,334	0	0.00	0.00	This adjustment will re-budget unspent funding from the Audit Exception Reserve to pay previously booked cost report liabilities.
Dept Totals	8,683,496	8,683,496	(190,133)	0.00	0.00	

## Attachment A

### 09 Final Budget Adjustments Summary-All Depts (2013-14)

Dept / Adj. #	Sources	Uses	GFC	FTEs	Positions	Purpose
<b>General Revenues</b>						
1	0	0	0	0.00	0.00	<p>The Recommended Budget includes \$961,033 in the 'Program Restoration Committed Fund Balance Account' that will be used to fund the following CEO Recommended Restoration/Expansions as follows:</p> <ul style="list-style-type: none"> <li>•Decrease 991 GFC transfer out to Gen Co Programs due to shifting of funding to departments</li> <li>•Increase 991 GFC transfer out to Sheriff for Air Support Unit</li> <li>•Increase 991 GFC transfer out to Sheriff for Custody Deputies</li> <li>•Increase 991 GFC transfer out to Parks for lifeguard supervision</li> <li>•Increase 991 GFC transfer out to AC for Financial Accounting Analyst position</li> <li>•Increase 991 GFC transfer out to AC for Sr Financial Sys Analyst position</li> <li>•Increase 991 GFC transfer out to Treasurer-Tax Collector software maintenanc</li> </ul>
2	770,900	770,900	(770,900)	0.00	0.00	<p>This adjustment accounts for the Fire Department cost allocation plan charges resulting from the movement of fire operations from the General Fund to the Fire District Fund.</p>
Dept Totals	770,900	770,900	(770,900)	0.00	0.00	
Grand Total	29,871,321	20,997,692	0	97.65	81.75	

## Ongoing Grants for Fiscal Year 2013-14

(Grouped by Department.)

GrantID and Title	Grantor	Jurisdiction	Grant Amt.	Match Amt.	Total
<b>Dept: District Attorney</b>					
208 - Victims of Violent Crimes Claims	Victim Compensation and Government Claims Board	State	232,064	0	232,064
210 - Victim Witness Assistance Program	U.S. DEPARTMENT OF JUSTICE Passed through: Governor's Office of Emergency Services	Federal	237,062	0	237,062
349 - State Quality Assurance and Revenue Recovery	Victim Compensation and Government Claims Board	State	92,671	0	92,671
468 - State Worker's Compensation	Department of Insurance	State	286,000	0	286,000
1109 - Underserved Victim Advocacy Outreach Program	California Emergency Management	State	104,744	0	104,744
<b>District Attorney Total</b>			<b>952,541</b>	<b>0</b>	<b>952,541</b>

## Ongoing Grants for Fiscal Year 2013-14

(Grouped by Department.)

GrantID and Title	Grantor	Jurisdiction	Grant Amt.	Match Amt.	Total
<b>Dept: Sheriff</b>					
1199 - Avoid the 12 DUI Campaign - Santa Barbara County	U.S. DEPARTMENT OF TRANSPORTATION Passed through: State of California Office of Traffic Safety	Federal	53,873	0	53,873
<b>Sheriff Total</b>			<b>53,873</b>	<b>0</b>	<b>53,873</b>

## Ongoing Grants for Fiscal Year 2013-14

(Grouped by Department.)

GrantID and Title	Grantor	Jurisdiction	Grant Amt.	Match Amt.	Total
<b>Dept: Public Health</b>					
83 - Health Center Cluster (PHD Homeless pgm 1361)	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES - CONSOLIDATED HEALTH CENTERS CLUSTER	Federal	483,143	0	483,143
103 - Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (Ryan White Part C)	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES	Federal	355,524	0	355,524
125 - PH Emergency Preparedness Comprehensive Agreement	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Passed through: California Department of Health Services	Federal	415,357	0	415,357
617 - NATIONAL BIOTERRORISM HOSPITAL PREPAREDNESS PROGRAM	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Passed through: California Department of Health Services	Federal	265,923	0	265,923
1204 - AIDS Drug Assistance Program (ADAP)	State of California Department of Public Health Passed through: Office of AIDS	State	7,346	0	7,346
1226 - Maternal and Child Health Services	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Passed through: California Department of Public Health	Federal	960,000	585,000	1,545,000
1227 - Nutrition Network	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Passed through: California Department of Public Health	Federal	785,000	0	785,000
1228 - Women, Infants, and Children (WIC)	U.S. DEPARTMENT OF AGRICULTURE Passed through: California Department of Public Health	Federal	3,144,275	0	3,144,275
1234 - Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program	Department of Health and Human Services	Federal	160,255	0	160,255

## Ongoing Grants for Fiscal Year 2013-14

(Grouped by Department.)

GrantID and Title	Grantor	Jurisdiction	Grant Amt.	Match Amt.	Total
1255 - Solid Waste Grant (Environmental Safety)	California Department of Resources Recycling and Recovery	State	25,000	0	25,000
	Passed through: CalRecycle				
1257 - HIV Care Formula Grants (PHD Care Programs 1460)	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES	Federal	218,464	0	218,464
	Passed through: California Department of Public Health - Office of AIDS				
1258 - HIV Education and Prevention (PHD Education Program 1455)	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES	Federal	108,967	0	108,967
	Passed through: California Department of Public Health - Office of AIDS				
1259 - HIV/AIDS MGA, AIDS Block Grant Funding (PHD Surveillance program 1452)	State Office of AIDS	State	38,732	0	38,732
1260 - Tobacco Health Education	California Department of Public Health	State	150,000	0	150,000
	Passed through: California Department of Public Health				
1266 - Leaking Underground Fuel Tanks (LUFT 5100)	State Water Resources Control Board	State	697,000	0	697,000
		<b>Public Health Total</b>	<b>7,814,986</b>	<b>585,000</b>	<b>8,399,986</b>

**Ongoing Grants for Fiscal Year 2013-14**

(Grouped by Department.)

GrantID and Title	Grantor	Jurisdiction	Grant Amt.	Match Amt.	Total
<b>Dept: Public Works</b>					
425 - 863002 N Jonata Park Rd At Zaca Creek BR 51C-226	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: Caltrans	Federal	1,535,996	0	1,535,996
431 - 862032 Floridale Ave Ab No. 51C-006 BRLSZD-5951(060)	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: Caltrans	Federal	112,000	0	112,000
460 - 863018 Jalama Road Bridge No. 51C-13 BRLS-5951(022)	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: Caltrans	Federal	30,986	0	30,986
731 - 863033 Refugio Road Improvements	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: Caltrans	Federal	776,886	0	776,886
842 - 863035 Hollister Avenue Widening	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: CalTrans	Federal	200,000	0	200,000
847 - 862278 Jalama Road Bridge 51C-017	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: CalTrans	Federal	315,000	0	315,000

**Ongoing Grants for Fiscal Year 2013-14**

(Grouped by Department.)

GrantID and Title	Grantor	Jurisdiction	Grant Amt.	Match Amt.	Total
851 - 862274 Cathedral Oaks Bridge 51C-001	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: CalTrans	Federal	1,555,500	0	1,555,500
1068 - 830408 Rincon Hill Bridge 51C-039 Siesmic Retrofit	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: CalTrans	Federal	35,412	0	35,412
1072 - 862319 Sandspit Road Bridge 51C-158	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: CalTrans	Federal	209,354	0	209,354
1132 - 862328 Kinevan Road Bridge HBP grant	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: CalTrans	Federal	710,000	0	710,000
1134 - 862339 Foothill Road Low Water Crossing Replacement HBP Grant	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: CalTrans	Federal	260,000	0	260,000
1140 - 862336 Temp-Minor Bridge Rehab HPB Grant	U.S. DEPARTMENT OF TRANSPORTATION - HIGHWAY PLANNING AND CONSTRUCTION CLUSTER Passed through: CalTrans	Federal	201,189	0	201,189
<b>Public Works Total</b>			<b>5,942,323</b>	<b>0</b>	<b>5,942,323</b>

**Ongoing Grants for Fiscal Year 2013-14**

(Grouped by Department.)

GrantID and Title	Grantor	Jurisdiction	Grant Amt.	Match Amt.	Total	
			<b>County Total</b>	<b>14,763,723</b>	<b>585,000</b>	<b>15,348,723</b>

**Grouped by: Dept**   **Sorted by: Grant ID****Report Criteria:** Dept: All Departments

Ongoing Contracts for Fiscal Year 2013-14

Attachment C

Revenue Contracts

Contractor	12-13 Contract #/Title	13-14 Contract #	12-13 Amount	13-14 Amount	% Change	Note	Service Provided
<b>Ag Commissioner/Weights and Measures</b>							
CA Dept of Food & Agriculture	12-0093		79,656	79,656	0.0%		High risk pest exclusion (HRSK)
			79,656	79,656			
<b>Alcohol, Drug and Mental Health Services</b>							
Central Coast Headway	Revenue		33,650	33,650	0.0%		PC1000/DUI Revenue
Charles Golodner Counseling Group	Revenue		5,750	5,750	0.0%		PC1000 Services
City of Buellton	Revenue		7,454	7,454	0.0%	1	Mobile Crisis Revenue FY 12-15 (Three-Year Agreement)
City of Carpinteria	Revenue		5,994	5,994	0.0%	1	Mobile Crisis Revenue FY 12-15 (Three-Year Agreement)
City of Guadalupe	Revenue		213	213	0.0%	1	Mobile Crisis Revenue FY 12-15 (Three-Year Agreement)
City of Lompoc	Revenue		60,644	60,644	0.0%	1	Mobile Crisis Revenue FY 12-15 (Three-Year Agreement)
City of Santa Barbara	Revenue		173,471	173,471	0.0%	1	Mobile Crisis Revenue FY 12-15 (Three-Year Agreement)
City of Santa Maria	Revenue		103,654	103,564	-0.1%	1	Mobile Crisis Revenue FY 12-15 (Three-Year Agreement)
City of Solvang	Revenue		5,994	5,994	0.0%	1	Mobile Crisis Revenue FY 12-15 (Three-Year Agreement)
Council on Alcoholism and Drug Abuse	Revenue		10,000	10,000	0.0%		PC1000/DUI Revenue
Zona Seca, Inc.	Revenue		40,000	40,000	0.0%		PC1000/DUI Revenue
			446,824	446,734			
<b>Public Health</b>							
City of Buellton	10-00575		31,469	32,306	2.7%		Animal Control Field and Shelter Services
City of Solvang	09-00471		36,648	35,119	-4.2%		Animal Control Field and Shelter Services
Cottage Hospital			10,000	10,000	0.0%		ST Elevated Myocardial Infarction (STEMI) designation
Marian Medical Center			10,000	10,000	0.0%		ST Elevated Myocardial Infarction (STEMI) designation
			88,117	87,425			

Contractors on Payroll

Contractor	12-13 Contract #/Title	13-14 Contract #	12-13 Amount	13-14 Amount	% Change	Note	Service Provided
<b>Alcohol, Drug and Mental Health Services</b>							
Bajor, George	EID 3317		71,700	71,700	0.0%		Psychiatrist
Benson, MD, Edward	EID 11361		91,520	91,520	0.0%		Psychiatrist
Berge, MD, Fred	EID 13934		105,600	105,600	0.0%		Psychiatrist
Black, Bob	EID 778		74,750	74,750	0.0%		Psychiatrist
Ginsberg, MD, Harold	EID 9024		45,800	46,000	0.4%		Psychiatrist
Levy, MD, Lawrence	EID 11007		239,200	239,200	0.0%		Psychiatrist
Litten, Daniel	EID 9648		60,000	60,000	0.0%		Physician
Lunianski, MD, Irwin	EID 151		105,600	105,600	0.0%		Psychiatrist
Marrero, MD, Albert	EID 14048		199,680	199,680	0.0%		Physician
			993,850	994,050			
<b>County Counsel</b>							
Campbell, Diane	08-00587		28,837		-100.0%		Paralegal
			28,837	-			
<b>First 5</b>							
Morales, Maricela	BC 13-114		27,914	55,827	100.0%	4	Program Support for Implementation of three First 5 grants
			27,914	55,827			
<b>Public Health</b>							
Fleher, Kyle	BC 10-109		63,763	63,763	0.0%		EMS, Disaster Cache Management
Hart, Brian	BC 11-077		43,164	43,164	0.0%		AMR EPCR IT Professional
			106,927	106,927			
<b>Social Services</b>							
Yepez, Martha	BC 11-001		75,529	76,917	1.8%		New Cuyama Family Resource Center coordinator
			75,529	76,917			

## Attachment C

### Expenditure Contracts

Contractor	12-13 Contract #/Title	13-14 Contract #	12-13 Amount	13-14 Amount	% Change	Service Provided
<b>Alcohol, Drug and Mental Health Services</b>						
Aegis Medical Systems	BC 13-012	BC 14-015	1,887,400	1,887,400	0.0%	DMC Narcotic Treatment Program
American Baptist Homes of the West	BC 13-009	BC 14-016	120,000	120,000	0.0%	Meal Service for PHF
Aurora Vista del Mar Hospital	BC 11-011	BC 14-017	1,350,000	1,350,000	0.0%	Acute Inpatient Services
Casa Pacifica	BC 13-024	BC 14-018	3,703,803	3,703,803	0.0%	Children's Mental Health Services
Child Abuse Listening & Mediation	BC 13-026	BC 14-019	2,022,488	2,022,488	0.0%	Children's Mental Health Services
Community Action Commission	BC 13-027	BC 14-021	1,433,766	1,433,766	0.0%	Children's Mental Health Services
Community Health Centers of the Central Coast	BC 11-010	BC 14-022	463,112	463,112	0.0%	Mental Health Services in Primary Care Clinics
Council on Alcoholism and Drug Abuse	BC 13-006	BC 14-023	104,750	104,750	0.0%	Children's Mental Health Services
Crestwood Behavioral Health Center, Inc.	BC 12-017	BC 14-025	554,000	519,000	-6.3%	Institute for Mental Disease Services for Adults
Davis Guest Home	BC 11-082	BC 14-026	156,000	156,000	0.0%	Adult IMD Stepdown Care
Family Service Agency	BC 13-026	BC 14-028	813,911	813,911	0.0%	Children's Mental Health Services
Good Samaritan Shelter, Inc.	BC 13-005	BC 14-029	1,273,920	1,192,920	-6.4%	Alcohol and Drug Treatment Services
Mental Health Systems, Inc.	BC 13-010	BC 14-030	284,900	284,900	0.0%	Children/Transition-Aged Youth Mental Health Services
Mental Health Systems, Inc.	BC 13-007	BC 14-031	478,698	478,698	0.0%	Children's Mental Health Services
Pathpoint	BC 12-027	BC 14-033	1,118,478	1,118,478	0.0%	Adult Mental Health Services
Phoenix of Santa Barbara	BC 13-025	BC 14-034	886,136	886,136	0.0%	Adult Mental Health Services
Phoenix of Santa Barbara	BC 13-008	BC 14-035	186,870	186,870	0.0%	Alcohol and Drug Co-Occurring Treatment Services
Regents of the University of CA (Santa Barbara)	BC 12-021	BC 14-036	166,660	166,660	0.0%	Evaluation Services for ADP
Santa Maria Valley Youth & Family Center	BC 13-028	BC 14-039	688,386	688,386	0.0%	Children's Mental Health Services
Sierra Vista Rehabilitation Center	BC 12-014	BC 14-040	250,000	250,000	0.0%	Institute for Mental Disease Services for Adults
State of California Department of State Hospital	N/A		225,205	225,205	0.0%	State hospital bed purchase
Sylmar Health & Rehabilitation Center	BC 12-018	BC 14-042	350,000	385,000	10.0%	Institute for Mental Disease Services for Adults
Telecare Corporation	BC 12-028	BC 14-043	2,676,424	2,676,424	0.0%	Adult Mental Health Services
Transitions Mental Health Association	BC 11-012	BC 14-044	2,518,980	2,518,980	0.0%	Adult Mental Health Services
Zona Seca	BC 13-011	BC 14-045	340,090	340,090	0.0%	Substance Abuse Treatment Services
			24,053,977	23,972,977		
<b>Clerk-Recorder-Assessor</b>						
DFM Associates	BC 09-007	BC 14-046	174,000	160,000	-8.0%	Lease/maint of DFM elections info mgmt system (EIMS)
Robert Half International, dba Office Team	BC 08-089	BC 14-047				2 Election Temporary Staffing
			174,000	160,000		
<b>Court Special Services</b>						
Criminal Defense Council	South County Conflict Defense Contract	BC 14-048	803,406	798,622	-0.6%	Legal services when the Public Defender conflicts out
North County Defense Team	North County Conflict Defense Contract	BC 14-049	869,586	860,976	-1.0%	Legal services when the Public Defender conflicts out
			1,672,992	1,659,598		
<b>General County Programs</b>						
Santa Barbara Regional Health Authority	BC 09-070	BC 14-050	1,000,000	1,000,000	0.0%	Health Insurance for Children (CHI)
			1,000,000	1,000,000		
<b>Planning and Development</b>						
Robert Brown Engineers	BC 13-040	BC 14-051	300,000	300,000	0.0%	Offshore Oil & Gas Technical Expertise
			300,000	300,000		
<b>Probation</b>						
American Cleaners & Laundry, Inc.	BC 12-032	BC 14-052	170,000	170,000	0.0%	Laundry services for Probation institutions
Community Action Commission of SB Co.	BC 13-078	BC 14-053	167,500	175,625	4.9%	Services to Youth Offender Block Grants
Community Solutions Inc.	BC 13-022	BC 14-054	417,773	424,825	1.7%	Cognitive behavioral therapy group facilitation
			755,273	770,450		

## Attachment C

### Expenditure Contracts

Contractor	12-13 Contract #/Title	13-14 Contract #	12-13 Amount	13-14 Amount	% Change	Service Provided
<b>Public Health</b>						
Bines, Lawrence	BC 12-068	BC 14-055	1,026,456	1,004,078	-2.2%	Physician Services
Cerner Healthcare	BC 12-013	BC 14-057	56,521	56,521	0.0%	Pharmacy Software System
GE Healthcare	BC 11-083	BC 14-058	334,625	334,625	0.0%	EHR Software Licensing, Maintenance and Support
McKesson Information Solutions	BC 04-204	BC 14-059	259,167	259,167	0.0%	Maint, upgrades & processing svcs for patient info system (3-yr contract)
Pacific Pride Foundation	BC 13-070	BC 14-060	136,500	132,500	-2.9%	Ryan White Program - Part C
SB Regional Health Authority	BC 12-066	BC 14-061	164,072	180,465	10.0%	3 TS Hospital Allocation
ServiceMaster of Goleta	BC 12-053	BC 14-062	155,480	155,480	0.0%	Janitorial services for South County
			2,132,821	2,122,836		
<b>Public Works</b>						
AIS Construction Company	BC 12-043	BC 14-064	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
Bob's Backhoe & Trucking	BC 12-036	BC 14-065	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
CalPortland Construction	BC 12-040	BC 14-066	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
Cushman Contracting Corporation	BC 12-044	BC 14-067	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
Enviroscaping, Inc.	BC 12-019	BC 14-068	275,000	275,000	0.0%	Revegetation and maintenance work
Granite Construction Company	BC 12-035	BC 14-069	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
Lash Construction Company	BC 12-037	BC 14-070	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
Papich Contracting Company, Inc.	BC 12-045	BC 14-071	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
R.W. Scott Company, Inc	BC 12-038	BC 14-072	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
Shaw Contracting Corporation	BC 12-041	BC 14-073	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
Specialty Construction Inc.	BC 12-042	BC 14-074	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
Tierra Contracting, Inc.	BC 12-039	BC 14-075	350,000	350,000	0.0%	Maintenance of flood control facilities (time and material)
University of California at Santa Barbara	BC 07-027	BC 14-076	618,240	593,211	-4.0%	Household Hazardous Waste Facility Operations
			4,743,240	4,718,211		
<b>Sheriff</b>						
Bruce S Thomas, Inc.	BC 05-001	BC 14-077	140,000	150,000	7.1%	Data processing consulting, design and development services
			140,000	150,000		
<b>Social Services</b>						
Domestic Violence Solutions	BC 12-025	BC 14-078	125,000	112,500	-10.0%	Shelter-based Domestic Violence services, 3-yr term
Family Care Network	BC 12-024	BC 14-079	192,000	192,000	0.0%	Independent Living Program for CWS/Probation Youth
			317,000	304,500		

**Notes**

- 1 Contracts with cities for Mobile Crisis Revenue are for a 3-year period.
- 2 No specified contract amount. However, billing rates shall not exceed the contract rates.
- 3 Contract amount approved at \$164,072 but remained at \$180,465 for FY 12/13. No increase for FY 13/14.
- 4 FY 12/13 amount was for 6 months only. FY 13/14 amount is for an entire year.

**RESOLUTION OF THE BOARD OF SUPERVISORS OF THE  
COUNTY OF SANTA BARBARA STATE OF CALIFORNIA**

**IN THE MATTER OF ADOPTING THE BUDGET FOR FISCAL YEAR 2013-14**

**RESOLUTION NO. 13-**

1           **WHEREAS**, the Board of Supervisors of the County of Santa Barbara, State of  
2 California, has been meeting from time to time and holding public hearings at such meetings  
3 for the discussion and consideration of the recommended budget for the 2013-14 fiscal year,  
4 all pursuant to notice and the provisions of law, said public hearings having commenced on  
5 June 10, 2013, and concluded on June 14, 2013, pursuant to the requirements of Sections  
6 29080 through 29092 of the Government Code of the State of California; and

7           **WHEREAS**, said Board of Supervisors has met pursuant to such published notice  
8 and heard all members of the general public and officials present regarding the matters  
9 aforesaid and has considered, made and settled all revisions of, deductions from, and  
10 increases or additions to the recommended budget which it deems advisable; and

11           **WHEREAS**, the record is in final form in the possession of the Santa Barbara County  
12 Clerk of the Board of Supervisors and Auditor-Controller, which meets requirements set  
13 forth in Government Code Section 29089, and the public hearing on said budget being now  
14 finally closed, and the meetings thereon finally concluded;

15           **NOW THEREFORE, BE IT RESOLVED** by the Board of Supervisors of the  
16 County of Santa Barbara, State of California, that said budget as so increased, modified,  
17 revised and finally settled shall be, and the same hereby is adopted as the budget for the  
18 2013-14 fiscal year for the County of Santa Barbara and all other entities whose affairs are  
19 financed and under the supervision of the Board of Supervisors; and that said budget  
20 document presently consists of the 2013-14 Recommended Budget, the record for the Budget

1 Hearings, and the summaries and decisions of the Santa Barbara County Board of  
2 Supervisors in making final budget adjustments which are incorporated herein and made a  
3 part of this resolution as though set forth in full pursuant to Government Code Section 29090.

4 **BE IT FURTHER RESOLVED** that the Auditor-Controller in compiling the final  
5 budget, is authorized to make adjustments required to balance interfund and intrafund  
6 transfers, and to make adjustments in offsetting revenue/expenditure accounts to the extent  
7 that there is no net overall change in the budget or no net change in General Fund  
8 Contribution as adopted during budget hearings.

9 **BE IT FURTHER RESOLVED** that the County Executive Officer and the Auditor-  
10 Controller are authorized to transfer appropriations to or from the Salary and Retirement  
11 Offset account in order to make adjustments, if necessary, to the Salaries and Benefits  
12 account of departmental budgets in accordance with any negotiated salary agreements or  
13 retirement rate changes.

14 **BE IT FURTHER RESOLVED** that the County Executive Officer and the Auditor-  
15 Controller are authorized to make final budget adjustments that transfer 2012-13  
16 appropriations for fixed assets and other material purchases that have been ordered but not  
17 received, by June 30, 2013 to the 2013-14 budget, subject to established criteria.

18 **BE IT FURTHER RESOLVED** that the County Executive Officer is authorized to  
19 approve revisions to the 2013-14 budget that increase appropriations for approved fixed  
20 assets because of price changes subsequent to the adoption of the budget in amounts up to ten  
21 percent (10%) of the approved budget for the item.

22 **BE IT FURTHER RESOLVED** that the County Executive Officer is authorized to  
23 approve revisions to the 2013-14 budget to allow purchase of equipment approved in the  
24 budget as "Service and Supplies," which are subject to reclassification as fixed assets due to

1 price changes which occur after the preparation of the budget, causing the item to meet the  
2 capitalization threshold of \$5,000 for equipment.

3 **BE IT FURTHER RESOLVED** that the Auditor-Controller, in compiling the Final  
4 Budget, is authorized to make ministerial budget changes and to transfer appropriations to or  
5 from designated fund balances and contingencies to balance the budget for the various funds  
6 governed by the Board of Supervisors.

7 **BE IT FURTHER RESOLVED** that the internal charges for services included in the  
8 recommended budget and as increased, modified and revised, and finally settled, are hereby  
9 adopted and incorporated into the financing of the Final Budget.

10 **BE IT FURTHER RESOLVED** that the Auditor-Controller is authorized to make  
11 adjustments to the final budget throughout fiscal year 2013-14 for line item accounts 3381  
12 Unrealized Gain/Loss on Investments and 9897 Unrealized Gains to properly record changes  
13 in the fair value of investments.

14 **BE IT FURTHER RESOLVED** that the Auditor-Controller is authorized to make  
15 adjustments to the final budget throughout fiscal year 2013-14 for line item account 3380  
16 Interest Income and various fund balance accounts in order to properly record fund balance  
17 increases in operating funds due to interest income in the underlying agency fund.

18 **BE IT FURTHER RESOLVED** that the Other Post Employment Benefits (OPEB)  
19 contribution rate provided for by the County for fiscal year 2013-14 will be set at 3.25% of  
20 pensionable compensation.

21 **BE IT FURTHER RESOLVED** that the Auditor-Controller and County Executive  
22 Officer are authorized to make any adjustments to the final budget for fiscal year 2013-14 in  
23 order to comply with any Governmental Accounting Standards Board Pronouncements or to  
24 conform the budget to Generally Accepted Accounting Principles.

1           **BE IT FURTHER RESOLVED** that the Auditor-Controller is hereby authorized to  
2 make adjustments to the final budget for fiscal year 2013-14 to reflect the transfer of any  
3 undesignated General Fund balance greater than \$0 (zero) to the General Fund Strategic  
4 Reserve. If the General Fund undesignated fund balance ends the fiscal year below \$0 (zero)  
5 the difference will be taken from the General Fund Strategic Reserve.

6           **PASSED, APPROVED, AND ADOPTED** by reference in accordance with  
7 Government Code Section 29090 by the Board of Supervisors of the County of Santa  
8 Barbara, State of California, this fourteenth day of June 2013 by the following vote:

9           AYES:

10          NOES:

11          ABSENT:

12          ATTEST:  
Chandra L. Wallar  
Clerk of the Board

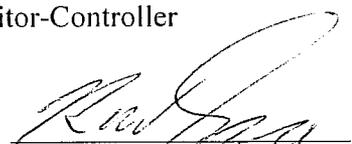
\_\_\_\_\_  
Salud Carbajal, Chair  
Board of Supervisors

BY: \_\_\_\_\_

APPROVED AS TO FORM:  
Dennis Marshall  
County Counsel

APPROVED AS TO  
ACCOUNTING FORM  
Robert W. Geis, CPA  
Auditor-Controller

BY:   
\_\_\_\_\_  
County Counsel

BY:   
\_\_\_\_\_  
Auditor-Controller



# **Proposed Fiscal Year 2013-14 Operating Plan**

## **Navigating Towards a Stable Future**

**June 10-14, 2013**

**Santa Barbara County Recommended Operational Plan**

# Fiscal Year 2013-14

- Moved from reacting to anticipating
- Structural and long standing fiscal problems
- Need for financially disciplined budget
- Poised to Navigate Towards a Stable Future



# Budget at a Glance

- Total Budget of \$844.5M and staffing of 3,891 FTEs

(Dollars in Millions)	FY 2011-12 Actual	FY 2012-13 Adopted	FY 2013-14 Recommended	FY 14-15 Proposed
Total Operating Revenues	\$ 836.3	\$ 815.5	\$ 839.5	\$ 847.1
Total Operating Expenditures	\$ 801.0	\$ 833.3	\$ 844.5	\$ 860.8
Net Operating Impact *	\$ 35.3	\$ (17.8)	\$ (5.0)	\$ (13.7)
Staffing FTE's	3,845.9	3,923.7	3,891.2	3,894.0

\* Net Operating Impact is funded by Other Financing Sources or use of Fund Balances.



# Navigating a Course to Stability

- Requires a structurally balanced budget
  - FY 2013-14 = 99.4%
  - Maintaining balance will require revenue growth



# Fiscal Issues

- Employee Compensation – salary increases
- Fire District Tax Transfer
- Retirement, OPEB & Healthcare Costs
- Northern Branch Jail
- Deferred Maintenance Backlog
- ADMHS Cost Settlements
- Affordable Care Act (ACA)



# Service Level Reductions

Department	Amount	Revisions*	Revised SLR	Description
CEO	\$50,000		\$50,000	Reduce Clerk of the Board staffing by 0.5 FTE (Extra Help)
County Counsel	\$246,000	(\$124,000)	\$122,000	*Reduce Advisory Program by 2.0 FTE Deputy County Counsel
Probation	\$1,601,000	(\$681,000)	\$920,000	*Eliminate the targeted gang intervention program Eliminate transportation services at the Santa Barbara Receiving and Transportation Center Reduce the capacity of the Santa Maria Juvenile Hall
Fire	\$1,956,000		\$1,956,000	Shut down Engine 11 in Goleta Reduce 3 Firefighter positions (1 Post) at Station 22 in Orcutt
Sheriff	\$1,065,000	(\$270,000) (\$455,000) (\$299,000)	\$41,000	*Reduce Custody Deputy staffing by 3.0 FTE *Reduce Custody Deputy staffing by 4.0 FTE with a concurrent reduction in Jail capacity *Reduce Aviation Support Unit (ASU) budget

\*Revisions are the result of CEO recommended restorations and revised departmental revenue estimates



# Service Level Reductions

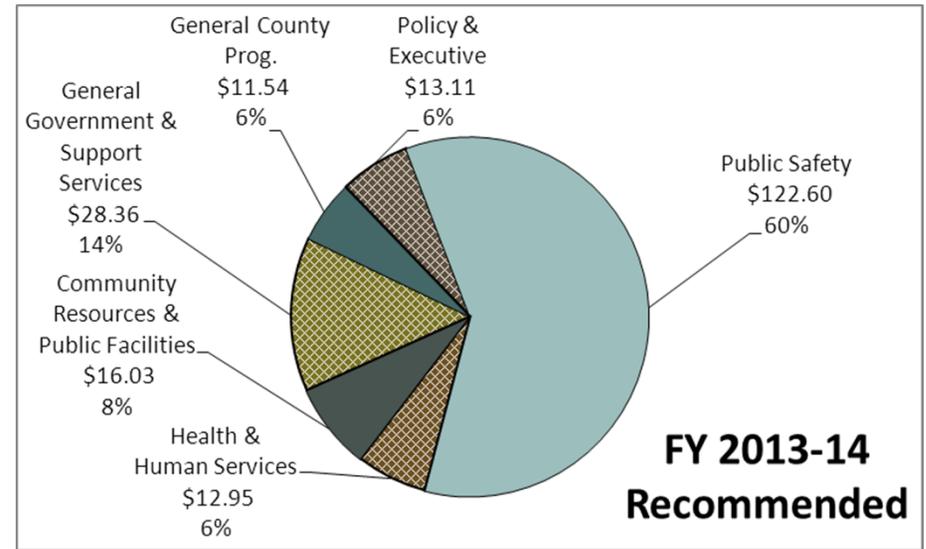
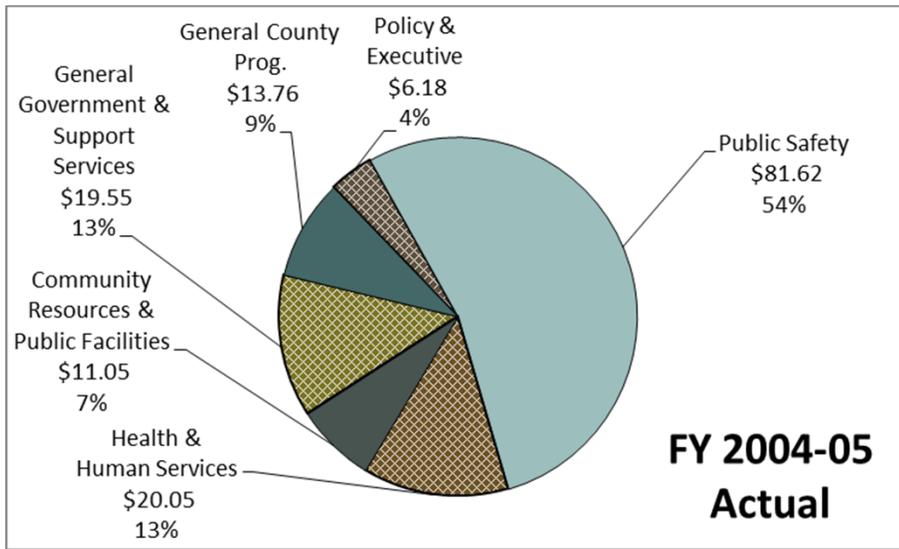
Department	Amount	Revisions*	Revised SLR	Description
Public Health	\$2,427,000		\$2,427,000	Reduce 3.0 FTE as of 12/31/13 with the implementation of the Affordable Care Act (ACA) Reduce 1.0 FTE in the Santa Maria Health Center Reduce 0.3 FTE due to reduced clinic patient load Reduce 5.0 FTE in Health Information Management Consolidate the Santa Maria Women's Center with the primary care practice at the Betteravia County Government Center
ADMHS	\$216,000		\$216,000	Reduce number of inpatient contracted acute and long term beds Eliminate the Juvenile Justice program
Ag. Comm.	\$289,000	(\$89,000)	\$200,000	<b>*Eliminate one Agricultural Biologist inspector</b> Eliminate the contract with UC for their Cooperative Extension services Eliminate Wildlife Services contract for urban areas
P & D	\$145,000		\$145,000	Reduce 0.7 FTE in the Long Range Planning Division
CSD	\$282,000		\$282,000	Eliminate contributions to 14 regional conference and visitors bureaus Reduce contribution to shelters Reduce Orcutt Park landscaping currently provided by the developer
<b>Total</b>	<b>\$8,277,000</b>	<b>(\$1,918,000)</b>	<b>\$6,359,000</b>	

\*Revisions are the result of CEO recommended restorations and revised departmental revenue estimates



# Commitment to Public Safety

## General Fund Contribution 10 Year Comparison



# Commitment to Public Safety

- 60% of General Fund
- 65% with inclusion of:
  - Fire District Tax Transfer
  - Northern Branch Jail Operations



# Arriving at a Stable Future

- Adequate Resources for:
  - Short-term
  - Long-term
- Board's tough choices
- Stable Future





**Proposed Fiscal Year 2013-14  
Operating Plan**

**2013-2015 Budget Hearings**

**County of Santa Barbara**

**June 10-14, 2013**

# Budget Hearing Materials

## Page Description

- 1 Board Inquiry Forms
- 2 Board Letter
- 3-5 Final Budget Adj./Ongoing Grants & Contracts/Budget Resolution
- 6 Budget Overview - CEO
- 7-27 Department Presentations
- 28 General County Programs/Successor Agency/Fund Balances
- 29 Outside Organizations/Non-County Agencies
- 30 Service Level Impacts
- 31 Expansions/Restorations



# Budget Overview Presentation

- Projected FY 2013-14 Gap
- Revenues
- Expenditures
- Recommended Budget Restorations/Expansions
- Risk – Fiscal Issues
- Available Fund Balance & Long Term Projections
- Conclusions
- Recommended Actions



# FY 2013-14 Gap & Proposed Solution

<b>GAP-SLR Reconciliation Schedule</b>		
<b>Issue:</b>	<b>(\$millions)</b>	
FY 2013-14 Gap	<b>(\$10.8)</b>	
SLR's	8.3	} \$ 10.8
1-Time funding	0.9	
CRA 1-Time funding	1.6	
<b>Total</b>	<b>\$0.0</b>	



# Budget at a Glance

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Staffing FTE's	3,845.9	3,923.7	3,891.2	3,894.0

\* Net Operating Impact is funded by Other Financing Sources or use of Fund Balances.



# FY 13-14 Recommended Budget Countywide Revenue

Budget By Categories of Revenues	Actual	Adopted	Change from	Recommended	Proposed
All Funds	FY 11-12	FY 12-13	FY12-13 Ado to FY13-14 Rec	FY 13-14	FY 14-15
Taxes	\$ 238,903,693	\$ 237,158,892	\$ 6,358,682	\$ 243,517,574	\$250,740,649
Licenses, Permits and Franchises	15,979,214	15,243,380	873,949	16,117,329	16,517,292
Fines, Forfeitures, and Penalties	10,990,888	10,282,286	(1,116,666)	9,165,620	8,261,073
Use of Money and Property	5,179,585	4,780,033	(820)	4,779,213	4,847,909
Intergovernmental Revenue	311,895,856	306,443,663	15,001,793	321,445,456	319,658,199
Charges for Services	196,996,636	202,825,082	(5,475,856)	197,349,226	202,496,910
Miscellaneous Revenue	56,318,186	38,784,816	8,378,536	47,163,352	44,566,757
<b>Total Operating Revenues</b>	<b>\$ 836,264,058</b>	<b>\$ 815,518,152</b>	<b>\$ 24,019,618</b>	<b>\$ 839,537,770</b>	<b>\$ 847,088,789</b>



# FY 13-14 Recommended Budget General Fund Revenue

<b>General Fund</b>	<b>Actual FY 11-12</b>	<b>Adopted FY 12-13</b>	<b>Change from FY12-13 Ado to FY13-14 Rec</b>	<b>Recommended FY 13-14</b>	<b>Proposed FY 14-15</b>
Taxes	\$ 190,029,428	\$ 183,141,802	\$ 4,413,198	\$ 187,555,000	\$192,585,000
Licenses, Permits and Franchises	12,410,528	11,924,225	818,580	12,742,805	12,962,354
Fines, Forfeitures, and Penalties	6,106,661	5,180,201	(445,611)	4,734,590	4,168,890
Use of Money and Property	2,230,770	2,005,000	378,800	2,383,800	2,469,800
Intergovernmental Revenue	67,648,346	67,101,624	(1,155,872)	65,945,752	67,976,942
Charges for Services	69,044,963	68,053,175	(10,925,206)	57,127,969	58,721,160
Miscellaneous Revenue	8,982,227	3,534,287	271,702	3,805,989	3,186,019
<b>Total Operating Revenues</b>	<b>\$ 356,452,923</b>	<b>\$ 340,940,314</b>	<b>\$ (6,644,409)</b>	<b>\$ 334,295,905</b>	<b>\$ 342,070,165</b>



# FY 13-14 Budget Summary

## Discretionary General Revenues

Discretionary General Revenue Summary:							
Source (Dollars in Millions)	FY 2011-12 Actual	FY 2012-13 Current Est.	FY 2013-14 Recommend	FY 2014-15 Proposed	FY 2015-16 Projected	FY 2016-17 Projected	FY 2017-18 Projected
Significant Property Taxes	\$ 172.6	\$ 174.3	\$ 177.7	\$ 182.3	\$ 188.0	\$ 194.1	\$ 200.6
RDA Dissolution Proceeds - One time	-	5.1	-	-	-	-	-
RDA Prop. Tax - Ongoing	3.9	4.2	4.3	4.4	4.5	4.6	4.7
Fire: Trans Tax	-	(5.9)	(6.6)	(7.5)	(8.5)	(9.6)	(10.7)
<b>Subtotal Property Taxes</b>	<b>\$ 176.5</b>	<b>\$ 177.7</b>	<b>\$ 175.4</b>	<b>\$ 179.2</b>	<b>\$ 184.0</b>	<b>\$ 189.1</b>	<b>\$ 194.6</b>
Cost Allocation Services	10.2	7.9	6.5	6.5	6.7	6.8	6.9
Local Sales Tax	7.9	6.7	6.9	7.2	7.5	7.8	8.1
Transient Occupancy Tax	7.6	6.7	6.8	7.2	7.5	7.9	8.3
Payments in Lieu of Tax	1.8	1.6	-	-	-	-	-
All Other (Franchise, interest, misc State)	10.2	8.3	8.9	8.9	8.8	9.0	9.2
<b>Total Discretionary Revenues</b>	<b>\$ 214.2</b>	<b>\$ 208.9</b>	<b>\$ 204.6</b>	<b>\$ 209.0</b>	<b>\$ 214.5</b>	<b>\$ 220.6</b>	<b>\$ 227.1</b>



# FY 13-14 Budget Summary

## Property Tax Growth

<b>Significant Property Taxes:</b>							
<b>Source (Dollars in Millions)</b>	<b>FY 2011-12 Actual</b>	<b>FY 2012-13 Current Est.</b>	<b>FY 2013-14 Recommend</b>	<b>FY 2014-15 Proposed</b>	<b>FY 2015-16 Projected</b>	<b>FY 2016-17 Projected</b>	<b>FY 2017-18 Projected</b>
Property Tax - Secured	\$ 113.6	\$ 114.8	\$ 117.4	\$ 120.5	\$ 124.0	\$ 127.7	\$ 131.8
Property Tax In-Lieu of VLF	42.7	43.1	44.0	45.2	46.4	47.8	49.3
Property Tax: Fines, Penalties	5.3	4.7	4.0	3.5	3.6	3.7	3.9
Property Tax - Unsecured	4.8	4.4	4.6	4.7	4.8	4.9	5.1
Property Tax - Unitary	2.1	2.4	2.5	2.5	2.5	2.5	2.5
Property Tax - Supplemental	1.6	2.0	2.2	2.6	3.2	3.5	3.8
Property Tax Transfer	2.5	2.9	3.1	3.3	3.6	3.9	4.2
<b>Significant Property Taxes</b>	<b>\$ 172.6</b>	<b>\$ 174.3</b>	<b>\$ 177.7</b>	<b>\$ 182.3</b>	<b>\$ 188.1</b>	<b>\$ 194.0</b>	<b>\$ 200.6</b>
<b>Growth Year over Year</b>		<b>\$ 1.7</b>	<b>\$ 3.4</b>	<b>\$ 4.6</b>	<b>\$ 5.8</b>	<b>\$ 5.9</b>	<b>\$ 6.6</b>
<b>Rate of Growth</b>		<b>1.0%</b>	<b>2.0%</b>	<b>2.6%</b>	<b>3.2%</b>	<b>3.1%</b>	<b>3.4%</b>



**Santa Barbara County Recommended Operational Plan**

# FY 13-14 Recommended Budget Expenditures – Countywide & GF

Budget By Categories of Expenditures	Actual	Adopted	Change from	Recommended	Proposed
All Funds	FY 11-12	FY 12-13	FY12-13 Ado to FY13-14 Rec	FY 13-14	FY 14-15
Salaries and Employee Benefits	\$ 458,110,066	\$ 478,884,624	\$ 13,503,151	\$ 492,387,775	\$ 517,392,216
Services and Supplies	227,385,841	230,022,530	7,346,853	237,369,383	228,454,867
Other Charges	115,543,042	124,378,195	(9,627,552)	114,750,643	114,926,154
<b>Total Operating Expenditures</b>	<b>\$ 801,038,950</b>	<b>\$ 833,285,349</b>	<b>\$ 11,222,452</b>	<b>\$ 844,507,801</b>	<b>\$ 860,773,237</b>
<b>General Fund</b>					
Salaries and Employee Benefits	\$ 267,816,408	\$ 282,791,225	\$ (35,200,329)	\$ 247,590,896	\$ 261,429,718
Services and Supplies	41,055,188	44,264,106	(3,251,898)	41,012,208	41,695,372
Other Charges	20,402,259	23,065,914	(2,801,466)	20,264,448	20,487,246
<b>Total Operating Expenditures</b>	<b>\$ 329,273,855</b>	<b>\$ 350,121,245</b>	<b>\$ (41,253,693)</b>	<b>\$ 308,867,552</b>	<b>\$ 323,612,336</b>



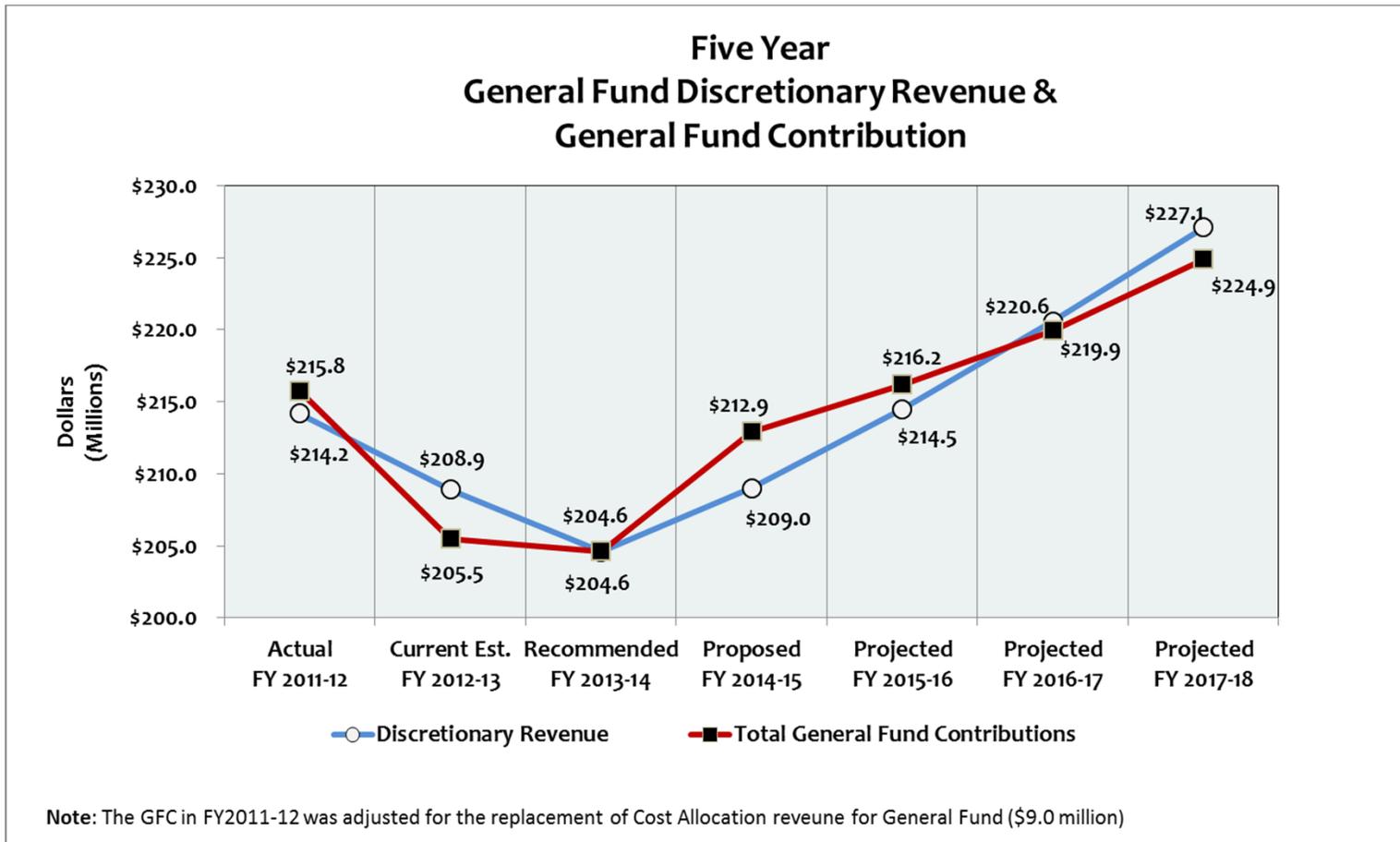
# FY 13-14 Budget Summary

## Incremental Expenditure Projections

Discretionary Revenue & Expenditure Projections							
(Dollars in Millions)	FY 2011-12 Actual	FY 2012-13 Current Est.	FY 2013-14 Recommend	FY 2014-15 Proposed	FY 2015-16 Projected	FY 2016-17 Projected	FY 2017-18 Projected
<b>Discretionary Revenue</b>	\$ 214.2	\$ 208.9	\$ 204.6	\$ 209.0	\$ 214.5	\$ 220.6	\$ 227.1
<b>General Fund Allocations:</b>							
GF Departments	169.2	170.4	173.3	169.3	177.5	178.4	179.9
Other Funds	31.0	28.8	22.5	26.5	27.3	28.2	29.0
Committed Fund Balance	6.6	5.2	8.6	7.6	8.9	10.4	11.9
<b>Subtotal</b>	<b>\$ 206.7</b>	<b>\$ 204.5</b>	<b>\$ 204.4</b>	<b>\$ 203.5</b>	<b>\$ 213.7</b>	<b>\$ 217.0</b>	<b>\$ 220.8</b>
<b>Incremental Changes:</b>							
<b>Salaries &amp; Benefits:</b>							
Salaries & Misc. Benefits			(3.0)	1.2	2.0	2.0	2.1
Healthcare Costs			0.4	0.8	0.5	0.5	0.5
Retirement			1.3	5.9	(1.7)	(1.3)	(0.2)
OPEB			0.2	0.3	0.2	0.2	0.3
<b>Sub-total S&amp;B</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (1.1)</b>	<b>\$ 8.2</b>	<b>\$ 1.0</b>	<b>\$ 1.4</b>	<b>\$ 2.6</b>
<b>Other Items:</b>							
Northern Branch Jail		1.0	1.3	1.3	1.5	1.5	1.5
Deferred Maintenance			-	-	-	-	-
<b>Subtotal</b>	<b>\$ -</b>	<b>\$ 1.0</b>	<b>\$ 1.3</b>	<b>\$ 1.3</b>	<b>\$ 1.5</b>	<b>\$ 1.5</b>	<b>\$ 1.5</b>
<b>Total Discretionary Expenditures</b>	<b>\$ 206.7</b>	<b>\$ 205.5</b>	<b>\$ 204.6</b>	<b>\$ 212.9</b>	<b>\$ 216.2</b>	<b>\$ 219.9</b>	<b>\$ 224.9</b>
<b>Net Discretionary Financial Impact</b>	<b>\$ 7.5</b>	<b>\$ 3.4</b>	<b>\$ (0.0)</b>	<b>\$ (3.9)</b>	<b>\$ (1.7)</b>	<b>\$ 0.7</b>	<b>\$ 2.2</b>



# Long Term Forecast



# CEO Recommended Budget Adjustments

## Attachment A

- \$ 223,000 Expansions with Onetime GFC
- \$ 961,033 Expansions with Ongoing GFC
- \$1,184,033 Total Expansions

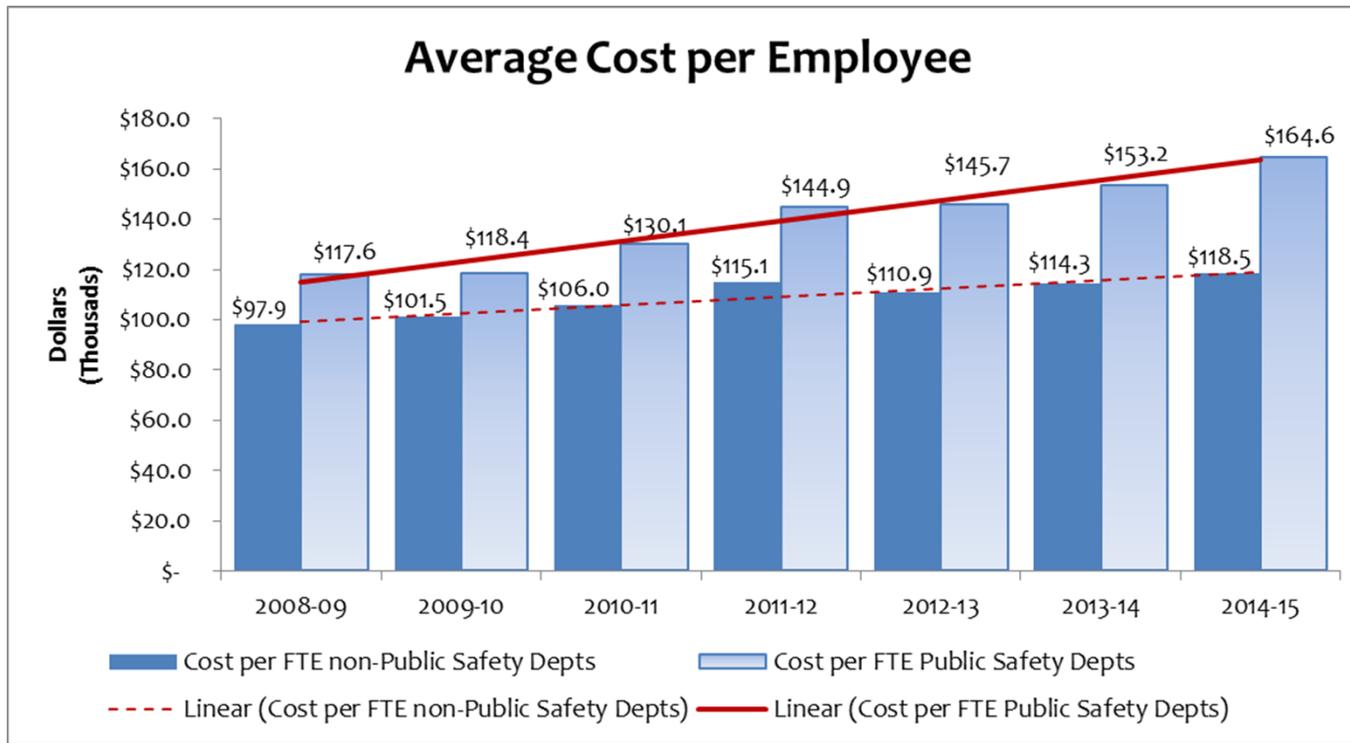


# Risk - Fiscal Issues

- Employee Compensation – salary increases
- Fire District Tax Transfer
- Retirement, OPEB & Healthcare Costs
- Northern Branch Jail
- Deferred Maintenance Backlog
- ADMHS Cost Settlements
- Affordable Care Act (ACA)

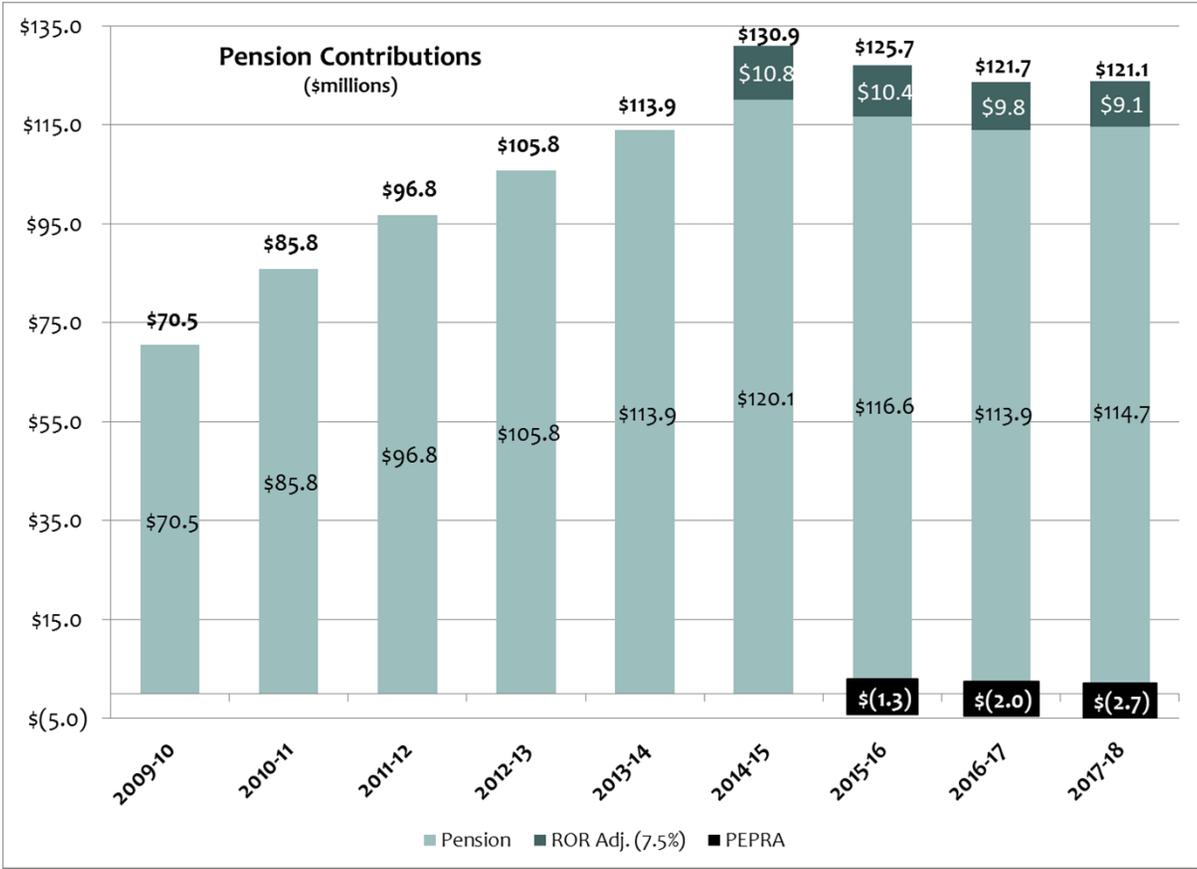


# Average Cost of County Employee 10 year Trend



# FY 13-14 Budget Summary

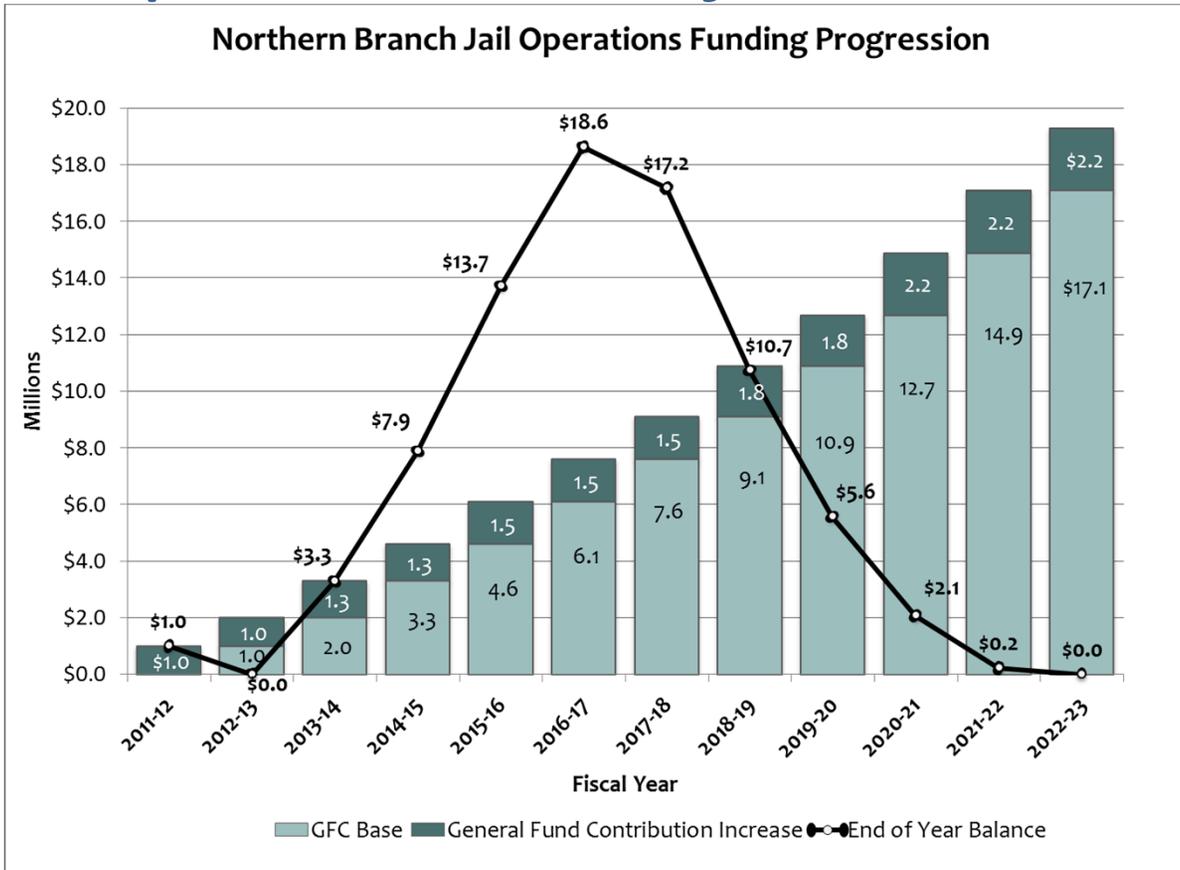
## Expenditure Projections-Retirement



**Santa Barbara County Recommended Operational Plan**

# FY 13-14 Budget Summary

## Expenditure Projections-Jail Operating Fund



Fiscal Year	GFC Base	GFC Increase	County Match	Operating Costs	End of Year
2011-12	\$ -	\$ 1.0	\$ -	\$ -	\$ 1.0
2012-13	1.0	1.0	(3.0)	-	-
2013-14	2.0	1.3	-	-	3.3
2014-15	3.3	1.3	-	-	7.9
2015-16	4.6	1.5	-	(0.3)	13.7
2016-17	6.1	1.5	-	(2.7)	18.6
2017-18	7.6	1.5	-	(10.5)	17.2
2018-19	9.1	1.8	-	(17.3)	10.7
2019-20	10.9	1.8	-	(17.9)	5.6
2020-21	12.7	2.2	-	(18.4)	2.1
2021-22	14.9	2.2	-	(19.0)	0.2
2022-23	17.1	2.2	-	(19.5)	-



**Santa Barbara County Recommended Operational Plan**

# FY 13-14 Budget Summary

## Expenditure Projections-Deferred Maintenance

**Departmental Estimated Unfunded Deferred Maintenance Backlog  
As of April 5, 2013 as submitted in CIP Database**

<b>Department Summary:</b>						
<b>(Dollars in Millions)</b>	<b>FY 2013-14</b>	<b>FY 2014-15</b>	<b>FY 2015-16</b>	<b>FY 2016-17</b>	<b>FY 2017-18</b>	<b>5 Yr Total</b>
Public Works	\$ 50.0	\$ 50.0	\$ 50.0	\$ 50.0	\$ 50.0	\$ 250.1
General Services	6.6	6.7	10.2	6.1	5.6	35.2
Parks	1.4	1.4	1.4	1.4	1.4	6.9
<b>Total</b>	<b>\$ 58.0</b>	<b>\$ 58.2</b>	<b>\$ 61.6</b>	<b>\$ 57.5</b>	<b>\$ 57.0</b>	<b>\$ 292.2</b>



**Santa Barbara County Recommended Operational Plan**

# Affordable Care Act (ACA)

- 30k – 40k newly eligible Medi-Cal recipients
- Assessing capacity to serve increased demand
- New revenue anticipated in PHD, ADMHS & DSS
- Threat of State revenue “take-away” (PHD only)
- Planning/Implementation Team in place



# Projected FY 2012-13 Results

- +\$2.2 million = Q3 Projected vs. Budget Book
- +\$2.5 million = Planned DSS GF transfer no longer necessary (post Q3 presentation)
- -\$2.7 million = CEC/MISC reserve, Probation
- In addition to the above, many Departments are not drawing as much from Fund Balance as previously planned.



# FY 13-14 Budget Summary

## Available Fund Balances (Budget Book)

Fund Balance Component	6/30/2013 Estimated Balance	2013-2014 Proposed Increases	2013-2014 Proposed Decreases	6/30/2014 Projected Balance
Capital	\$ 597,609	\$ 500,000	\$ -	\$ 1,097,609
Roads	-	500,000		500,000
Litigation	1,711,120		(570,000)	1,141,120
Salary & Benefits Reductions	5,126,311	-	-	5,126,311
Deferred Maintenance	1,914,361	1,800,000	(1,800,000)	1,914,361
Audit Exceptions	2,180,592		-	2,180,592
New Jail Operations	-	3,300,000		3,300,000
Program Restoration	314,740	2,156,033	(930,000)	1,540,773
Contingencies	1,048,768	500,000	(29,931)	1,518,837
Strategic Reserve	26,237,483	1,127,202	-	27,364,685
<b>TOTAL</b>	<b>\$ 39,130,984</b>	<b>\$ 9,883,235</b>	<b>\$ (3,329,931)</b>	<b>\$ 45,684,288</b>



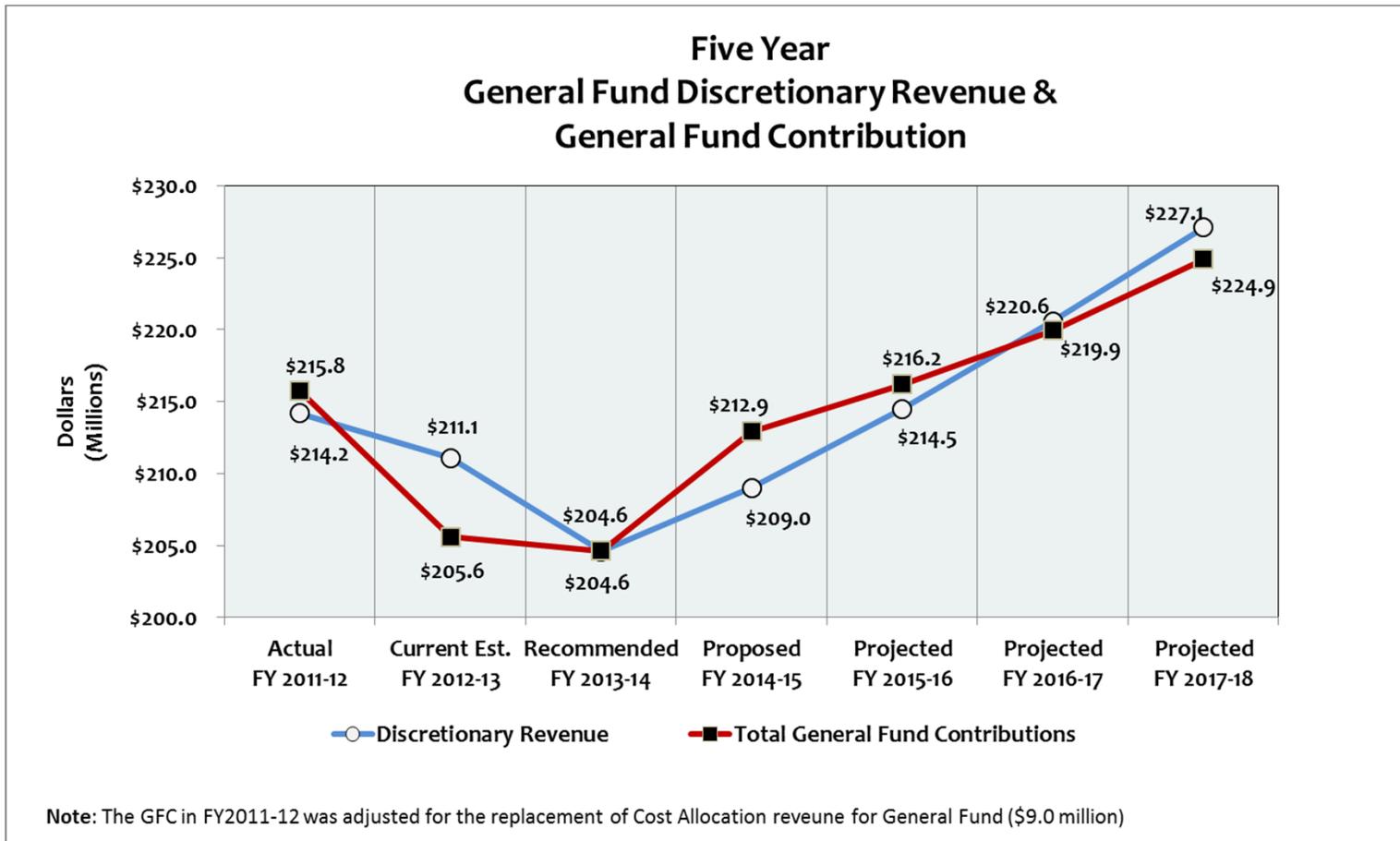
# FY 13-14 Budget Summary

## Available Fund Balances - Revised

<b>Fund Balance Component</b>	<b>6/30/2013 Estimated Balance</b>	<b>Updated for FY 2012-13 Proj. Results</b>	<b>2013-2014 Proposed Increases</b>	<b>2013-2014 Proposed Decreases</b>	<b>6/30/2014 Projected Balance</b>
Capital	\$ 597,609		\$ 500,000	\$ -	\$ 1,097,609
Roads	-		500,000	-	500,000
Litigation	1,711,120		-	(570,000)	1,141,120
Salary & Benefits Reductions	5,126,311		-	-	5,126,311
Deferred Maintenance	1,914,361		1,800,000	(2,800,000)	914,361
Audit Exceptions	2,180,592	5,739,742	-	-	7,920,334
New Jail Operations	-		3,300,000	-	3,300,000
Program Restoration	314,740		2,156,033	(2,114,000)	356,773
Contingencies	1,048,768		500,000	(29,931)	1,518,837
Strategic Reserve	26,237,483	2,200,000	1,127,202	-	29,564,685
<b>TOTAL</b>	<b>\$39,130,984</b>	<b>\$ 7,939,742</b>	<b>\$ 9,883,235</b>	<b>\$ (5,513,931)</b>	<b>\$51,440,030</b>



# Long Term Forecast – Revised



# Conclusions

- Preparing for a challenging FY 2014-15
- Projected growth in revenues
- Rate of increasing retirement costs should level off in coming years
- Fiscal Issues will require strategic funding plans



# Recommended Actions

1. Approve final budget adjustments to the Fiscal Year **2013-14** Recommended Budget, including Attachment E: Board Adjustments to FY 2013-14 Recommended Budget;
2. Delegate authority to the County Executive Officer to execute ongoing grants and contracts included in the Recommended Budget;
3. Authorize the County Executive Officer to approve ongoing contracts where amounts are up to 10% more or less than indicated amounts, or up to \$5,000 more or less than indicated amounts on contracts under \$50,000, without returning to the Board for approval; and
4. Adopt the Resolution of the Board of Supervisors entitled In the Matter of Adopting the Budget for Fiscal Year 2013-14



# Recommended Actions

## Successor Agency to the former County of Santa Barbara Redevelopment Agency

1. Adopt the Resolution of the Board of Supervisors of the County of Santa Barbara as the Successor Agency to the former County of Santa Barbara Redevelopment Agency, entitled In The Matter Of Adopting The Budget For Fiscal Year 2013-14 For The County Of Santa Barbara As Successor Agency To The Former County of Santa Barbara Redevelopment Agency.





# 2013-2015 Budget Hearings

County of Santa Barbara

A scenic landscape featuring a calm river in the foreground, with trees and mountains in the background. The text is overlaid on the image.

# **Proposed Fiscal Year 2013-14 Operating Plan**

## **2013-2015 Budget Hearings**

**County of Santa Barbara**

**June 14, 2013**

# Recommended Actions

1. Approve final budget adjustments to the Fiscal Year **2013-14** Recommended Budget, including Attachment A: Final Budget Adjustments Summary-All Depts. and Attachment E: Board Adjustments to FY 2013-14 Recommended Budget;
2. Delegate authority to the County Executive Officer to execute ongoing grants and contracts included in the Recommended Budget;
3. Authorize the County Executive Officer to approve ongoing contracts where amounts are up to 10% more or less than indicated amounts, or up to \$5,000 more or less than indicated amounts on contracts under \$50,000, without returning to the Board for approval; and
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# Recommended Actions

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# FY 13-14 Budget Summary

## Available Fund Balances - Revised

Fund Balance Component	6/30/2013 Estimated Balance	Updated for FY 2012-13 Proj. Results	2013-2014 Proposed Increases	2013-2014 Proposed Decreases	6/30/2014 Projected Balance
Capital	\$ 597,609		\$ 500,000	\$ -	\$ 1,097,609
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Strategic Reserve	26,237,483	2,200,000	1,127,202	-	29,564,685
<b>TOTAL</b>	<b>\$39,130,984</b>	<b>\$ 7,939,742</b>	<b>\$ 9,883,235</b>	<b>\$ (5,513,931)</b>	<b>\$51,440,030</b>



# FY 2013-15 GF Fund Balance Components

Fund Balance Component	6/30/2013 Estimated Balance	Updated for FY 2012-13 Proj. Results	2013-2014 Proposed Increases	2013-2014 Rec. Budget Decreases	2013-2014 CEO Proposed Decreases	6/30/2014 Projected Balance
Capital	\$ 597,609	\$ -	\$ 500,000	\$ -	\$ -	\$ 1,097,609
Roads	-	-	500,000	-	-	500,000
Litigation	1,711,120	-	-	(570,000)	-	1,141,120
Salary & Benefits Reductions	5,126,311	-	-	-	-	5,126,311
Deferred Maintenance	1,914,361	-	1,800,000	(1,800,000)	(1,000,000)	914,361
Audit Exceptions	2,180,592	5,739,742	-	-	-	7,920,334
New Jail Operations	-	-	3,300,000	-	-	3,300,000
Program Restoration	314,740	-	2,156,033	(930,000)	(1,184,000)	356,773
Contingencies	1,048,768	-	500,000	(29,931)	-	1,518,837
Strategic Reserve	26,237,483	2,200,000	1,127,202	-	-	29,564,685
<b>TOTAL</b>	<b>\$39,130,984</b>	<b>\$ 7,939,742</b>	<b>\$ 9,883,235</b>	<b>\$ (3,329,931)</b>	<b>\$ (2,184,000)</b>	<b>\$51,440,030</b>

## **RECENT EVENTS:**

Increased Property Tax Rev.	\$ 1,300,000	Ongoing	(BIF #19)
Increased Property Tax Rev. - Fire Dept.	\$ 630,000	Ongoing	(BIF #19)
Re-allocated Fund Bal to Prog Restoration	465,225	One-time	(BIF #45)
<b>Total of Recent Events</b>	<b>\$ 2,395,225</b>		



**Santa Barbara County Recommended Operational Plan**

A scenic landscape featuring a calm river in the foreground, reflecting the surrounding environment. The river is bordered by lush greenery and trees with yellowing leaves, suggesting an autumn setting. In the background, rolling hills and mountains are visible under a clear sky. The overall atmosphere is peaceful and natural.

# **2013-2015 Budget Hearings**

**County of Santa Barbara**



# **2013-2015 Budget Hearings**

**County Executive Office**

# County Executive Office

- Total Budget
  - Operating: \$38,820,073
  - Capital: \$30,000
- General Fund Contribution
  - \$7,991,400 (18.1% of total)
- Budget FTE
  - 56.0



# County Executive Office

## FY 2012-13 Accomplishments

- Served on seven Oversight Boards for the dissolved Redevelopment Agencies
- Comprehensive Assessment of ADMHS
- Coordinated departments' development of continuity of operations plans
- Implemented new Public Employees' Pension Reform Act (PEPRA)
- Targeted Workers' Compensation litigated cases for claim resolution and/or loss mitigation



# County Executive Office

## FY 2013-14 Potential Service Level Reductions

- Reduction to Clerk of the Board staffing by .5 FTE (Extra Help).



# County Executive Office

## FY 2014-15 Navigating Towards a Stable Future

- Workers' Compensation – severity of claims
- ACA – premiums expected to increase
- Develop a funding plan for deferred maintenance backlog
- Reduction in staffing level impacts Research and Budgeting capabilities



# County Executive Office

Questions?





**2013-2015 Budget Hearings**

**County Counsel**

# County Counsel

- **Total Budget**
  - Operating: \$6,808,186
  - Capital: \$0
- **General Fund Contribution**
  - \$2,054,118 (31% of total)
- **Budget FTE**
  - 35.8



# County Counsel

## FY 2012-13 Accomplishments

- Supported County's consideration of Land Use and Public Works projects including North Branch Jail, Vincent Vineyards Winery, Mattei's Tavern, Park Hills Estates and acquisition of rights of way for the Union Valley Parkway Extension project.
- Prevailed in the litigated defense of General Land Use cases.
- Prevailed in the litigated defense of property tax cases of statewide importance brought by the "fractionally owned aircraft" industry.
- A defense jury verdict in a federal case (Madrigal) where five plaintiffs alleged that County probation officers violated their civil rights by arresting and imprisoning them with excessive force.



# County Counsel

## FY 2013-14 Potential Service Level Reductions

- Reduction of 2.0 FTE attorneys. The inability to fill these positions will require reduced legal services for Community Services, Planning and Development, and Sheriff.
- Use of more costly outside counsel may be necessary to handle non-Risk funding litigation.
- Proposed staff reductions will result in a total loss of 10.6 FTE since 7/1/08.



# County Counsel

## Navigating Towards a Stable Future

Implications of continued staffing shortfalls include:

- Increasing delays in legal services as demand continues to grow.
- Redirecting revenue resources to high risk projects can implicate general fund.
- Shift of legal services to time sensitive litigation with a corresponding loss of advisory services.
- Additional attorney resources will increase legal support for critical, high risk, County projects.



# County Counsel

Questions?





# **2013-2015 Budget Hearings**

**Court Special Services**

# Court Special Services

- **Total Budget**
  - Operating: \$15,308,560
- **General Fund Contribution**
  - \$8,536,760 (56% of total)
- **Budget FTE**
  - NA



# Court Special Services

## FY 2012-13 Accomplishments

- Collected \$13.2 million in delinquent fees and fines.
- Continued to implement PC 1463.007 cost recovery program through which the County received reimbursement revenue of \$1,100,422.
- Recruited a Civil Grand Jury to review the governmental operations throughout Santa Barbara County.



# Court Special Services

## FY 2013-14 Potential Service Level Reductions

- No Service Level Reductions for FY 2013-14



# Court Special Services

## Navigating Towards a Stable Future

- Court Special Services faces significant economic risk in the Adult Conflict Program whenever multiple indigent co-defendants are charged on the same case. When conflicts of interest exist, the Court must appoint outside attorneys to represent the defendants which the Court Special Services Budget is responsible for payment.



# Court Special Services

Questions?





# 2013-2015 Budget Hearings

**District Attorney**

# District Attorney

- **Total Budget**
  - Operating: \$19,868,565
  - Capital: \$0
- **General Fund Contribution**
  - \$12,476,800 (63% of total)
- **Budget FTE**
  - 123.0

# **District Attorney**

## **FY 2012-13 Accomplishments**

- **Misdemeanor Diversion Program implemented**
  - First-time and low level offenders avoid criminal prosecution by completing the program and paying victim restitution, if ordered
  - Offender pays \$250 for program, including \$50 to District Attorney
- **Truancy Program established**
  - Work with school districts to implement 5-step intervention program
  - Attend relevant school meetings and give countywide presentation
- **Electronic Discovery to Public Defender and Private Defense Attorneys created**
  - Streamlined process from burning CDs to sending information online

# District Attorney

## FY 2013-14 Potential Service Level Reductions

- **No Service Level Reductions**
- **One-time funding of \$127,200 budgeted to maintain existing service levels**
  - Decrease from \$1.6 million one-time funding in FY 2011-12 Adopted
  - Result of fiscal responsibility and strategic budgeting including: controlling costs and vacancies, implementing efficiencies, generating new ongoing revenue, and employee concessions

# District Attorney

## Navigating Towards a Stable Future

- **Increase in serious and complex cases**
  - Requires additional staff including 1 Investigator, 1 Victim Witness Advocate, 2 Legal Office Professionals
- **Operational Risks**
  - Manager Compaction
  - Loss of team members to other jobs
  - Victim Witness Program staff compensation

# District Attorney

Questions?



# **2013-2015 Budget Hearings**

**Public Defender's  
Office**

# Public Defender's Office

- **Total Budget**
  - Operating: \$10,006,680
  - Capital: \$0
- **General Fund Contribution**
  - \$6,602,700 (66% of total budget)
- **Budget FTE**
  - 65.0



# Public Defender's Office

## FY 2012-13 Accomplishments

- Represented Clients in Over 21,000 Matters
- Helped Clients Care for Themselves and Return to Productive Lives
- Increased Attorney Fee Collections and Assessments
- Collaboratively Implement Public Safety Realignment



# Public Defender's Office

## FY 2013-14 Potential Service Level Reductions

- No service level reductions for FY 2013-14



# Public Defender's Office

## Navigating Towards a Stable Future

- Assist in Reducing Jail Overcrowding (2.0 FTE)
- Outdated Case Management System
- Bolster Support Staff and Provide Promotional and Training Opportunities



# Public Defender's Office

Questions?





# **2013-2015 Budget Hearings**

**Agricultural Commissioner/  
Weights & Measures**

# Agricultural Commissioner/ Weights & Measures

- **Total Budget**
  - Operating: \$4,209,734
  - Capital: \$0
- **General Fund Contribution**
  - \$1,385,900 (33% of total)
- **Budget FTE**
  - 31.0



# Agricultural Commissioner/ Weights & Measures FY 2012-13 Accomplishments

- New time coding program
- Pesticide Use Enforcement program improvements
- Implemented State-wide web-based pesticide use reporting system
- Suppressed or eradicated invasive pests
- New Weights & Measures database



# Agricultural Commissioner/ Weights & Measures

## **FY 2013-14 Service Level Reductions**

- Discontinue funding for UC Cooperative Extension contract
- Eliminate urban component of the USDA Wildlife Services contract



# Agricultural Commissioner/ Weights & Measures

## Navigating Towards a Stable Future

- Threat of exotic and invasive pests
- Certifying export shipments
- Ag/urban interface conflicts
- Challenges of new pesticide laws and regulations
- FY 14-15 \$262,169 budget gap



# Agricultural Commissioner/ Weights & Measures

Questions?





# 2013-2015 Budget Hearings

**Community Services Department**

# Community Services

- **Total Budget**
  - Operating: \$23,379,215
  - Capital: \$2,882,700
- **General Fund Contribution**
  - \$7,801,700 (26.6% of total)
- **Budget FTE**
  - 95.0



# Community Services

## FY 2012-13 Accomplishments

- Online reservation system for campgrounds
- Conceptual design for Arroyo Burro restrooms
- Ensured timely expenditures of \$2M in Federal Funds
- Successfully transitioned into a new HMIS system
- emPowerSBC impacted 202 homes, resulting in \$1.7M in project activity
- Hosted the first forum on economic impacts of arts and culture



# Community Services

## FY 2013-14 Potential Service Level Reductions

<u>Program</u>	<u>Amount</u>	<u>Service Level Reductions</u>
Conference and Visitors Bureaus	\$195,000	Eliminates advertising, tourism, promotion and business attraction contribution to 14 regional bureaus
Shelter Services	\$45,000	Reduces contribution to countywide shelter services
Orcutt Park Maintenance	\$42,000	Unfunded landscape services at Orcutt Park



# Community Services

## Navigating Towards a Stable Future

- **Safety**
  - Aquatics Supervisor (.75 FTE)
  - Additional Sheriff patrols
- **Long Term Support for Housing & Community Development**
  - Enhance staff support (3.0 FTE)
  - Consulting support
- **Customer Service**
  - Public interface and Web based support (1.5 FTE)
- **Maintenance**
  - Developer obligation ending - Orcutt Park Maintenance
  - \$ 7M Deferred Maintenance

# Community Services

**Questions?**





# **2013-2015 Budget Hearings**

**Planning and Development  
Department**

# Planning and Development

- **Total Budget**
  - Operating: \$14.4 million
  - Capital: \$0
- **General Fund Contribution**
  - \$4.0 million (28% of total)
- **Budget FTE**
  - 83.5



# Planning and Development

## FY 2012-13 Accomplishments

- Approval of the Agricultural Buffer Ordinance
- Revised and updated the Inclusionary Housing
- Updated ordinances to provide time extensions to reconstruct nonconforming structures following a natural disaster
- Successfully completed processing a number of major projects, including:
  - Mattei's Tavern, including the addition of 64 new cottages
  - Cavaletto Housing project, which will provide 134 new homes, including 8 affordable units
  - Pescadero Lofts project, comprised of 33 affordable housing units in Isla Vista
  - Demolition of Miramar Hotel



# Planning and Development

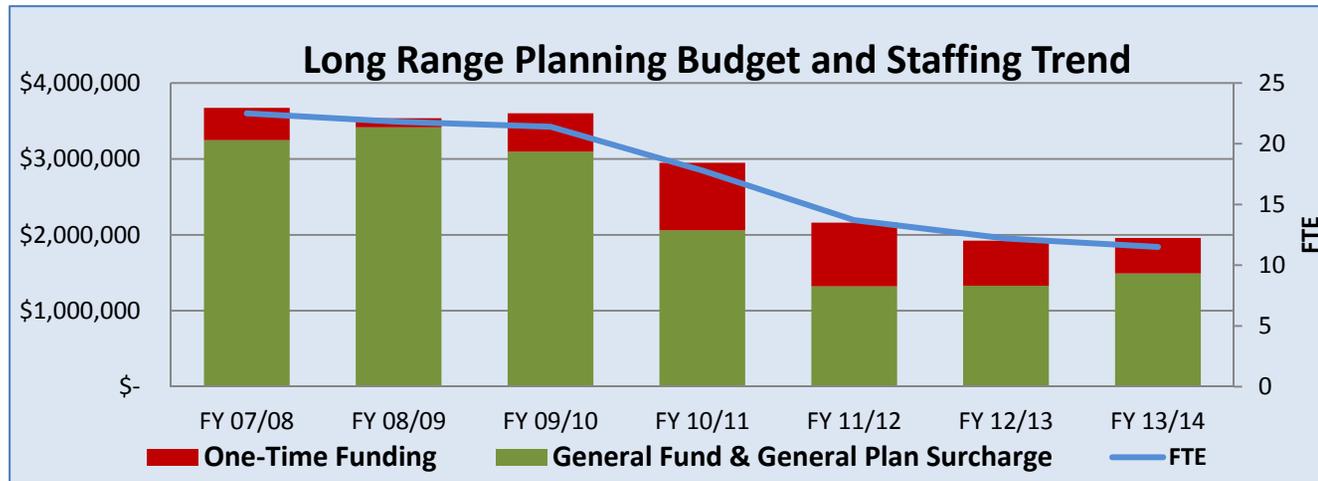
## FY 2013-14 Potential Service Level Reductions

- All reductions are to Long Range Planning:
  - No work on Climate Action Plan implementation (0.22 FTE)
  - No P&D participation in Hollister Ave-State St Improvements project study; no progress on Streetscape Plan (0.28 FTE)
  - No work on 2009-2014 Housing Element implementation programs (0.20 FTE)



# Planning and Development

## Navigating Towards a Stable Future



- Risk- Continued Erosion of Core Policy and Ordinance Development
- Due to Significant Decrease in GFC and Dwindling One-time Funding
- Minimum Level of Stability Would be 14 FTE in Long Range Planning



# Planning and Development

Questions?





# 2013-2015 Budget Hearings

## Public Works

# Public Works

- **Total Budget**
  - Operating: \$92,002,490
  - Capital: \$22,796,500
- **General Fund Contribution**
  - \$2,796,600 (2.0% of total)
- **Budget FTE**
  - 283.0



## Public Works

### **FY 2012-13 Accomplishments**

- Resource Recovery Project proposed at Tajiguas Landfill
- 1 Mega Watt solar facility at Laguna Sanitation District
- Construction of remaining 3600 ft. of Santa Maria Levee
- Modified resource allocations to meet transportation funding constraints
- Updated all LAFCO on-line catalog of maps
- Revised and filed the FEMA 2<sup>nd</sup> appeal of the 2005 Storm



# Public Works

## FY 2013-14 Potential Service Level Reductions

- No Service Level Reductions for FY 2013-14



## Public Works

### Navigating Towards a Stable Future

- Stable funding for transportation infrastructure
  - \$250 million deferred maintenance backlog
  - \$20 million required annually to meet industry standard
  - \$12 million required annually to maintain steady state
  - \$3 million currently expended annually for this purpose
- Develop long-term waste management solution
  - Tajiguas current permitted capacity is reached in 2026
  - Long range solution currently in environmental review
  - Environmental benefits, jobs, and associated public revenues



## Public Works

### Navigating Towards a Stable Future

- Implement Laguna Sanitation Master Plan
  - Current plant infrastructure constructed in 1959
  - Identified significant upgrades to meet regulatory standards
- Fund survey resources to meet State Mandates
  - Limited staff available to review private projects
  - Unable to meet project review government code timelines
  - Delays project development/increases private costs and reduces public revenues



# Public Works

Questions?





# **2013-2015 Budget Hearings**

**Fire  
Department**

# Fire

- **Total Budget**
  - Operating: \$51,187,554
  - Capital: \$442,500
- **General Fund Contribution**
  - \$0 (0% of total Fire budget)
- **Budget FTE**
  - 229.0 (285.3 FTEs in FY 2007-08)



# Fire

## FY 2012-13 Accomplishments

- Career Development Guide
- Emergency Incident Command Training
- Department Strategic Plan
- Fire Service Training Curriculum
- Operating Plan & Automatic Aid Agreements
- Countywide Emergency Communications Plan
- Acquired 12-Lead Defibrillators



# Fire

## FY 2013-14 Potential Service Level Reductions

- Shutdown Engine 11 in Goleta  
(9 positions – Cost to restore \$1.5M)
- Unstaff 4<sup>th</sup> Firefighter Post Position at Station 22 in Orcutt (3 positions; \$411K)



# Fire

## Navigating Towards a Stable Future

- Salary & Benefit Costs
- Cost Allocation
- EMS Oversight, QA, Training, Compliance
- Capital Improvement Plan
- Citygate Report/Operations Enhancement Plan



# Fire

## Questions?





**2013-2015 Budget Hearings**

**Probation Department**

# PROBATION DEPARTMENT

- **Total Budget**
  - Operating: \$50,116,414
  - Capital: \$0
- **General Fund Contribution**
  - \$25,150,400 (50.1% of total)
- **Budget FTE**
  - 352.50



# Probation Department

## FY 2012-13 Accomplishments

- Integrated RevQ collections software
- Implemented vehicle mounted computers
- Federal Prison Rape Elimination Act (PREA) training
- Demand staffing in the institutions



# Probation Department

## FY 2012-13 Accomplishments

- Gender specific services and programming
- Moral Reconciliation Therapy
- Sex Offender Containment Model
- Veterans Treatment Court



# Probation Department

## FY 2013-14 Potential Service Level Reductions

- Eliminate 3 Gang Intervention Officers and their Supervisor due to a reduction in State funding (4 FTE)
- Eliminate standby transportation staffing from south county to the Santa Maria Juvenile Hall requiring law enforcement to transfer minors who need to be detained (0 FTE)
- Reduce the staffed capacity of the Santa Maria Juvenile Hall from 110 to 90 beds (Efficiency) (8 FTE)



# Probation Department

## Navigating Towards a Stable Future

- Adult medium supervision caseloads – 3 FTE
- Non-sworn staffing – 7 FTE
- Alternative Report and Resource Center staffing – 2 FTE
- Adult investigations – 2 FTE



# Probation Department

Questions?





**2013-2015 Budget Hearings**

**Sheriff's Office**

# Sheriff's Office

- **Total Budget**
  - Operating: \$119,579,419
  - Capital: \$54,000
- **General Fund Contribution**
  - \$69,834,600 (58.4% of total)
- **Budget FTE**
  - 640.1



# Sheriff's Office

## FY 2012-13 Accomplishments

- Partnered with various federal agencies to combat increase in Panga smuggling activity.
- Established the Santa Barbara County Air Support Unit and brought into service Copter 3, a multi-mission capable UH-1H helicopter.
- Upgraded in-car video systems for all patrol vehicles.



# Sheriff's Office

## FY 2012-13 Accomplishments

- Obtained \$80 million State grant to build Northern Branch Jail.
- Selected Project Expert, Construction Manager and Architect.
- Commenced the new jail's schematic design process.
- Activated two Sheriff/Probation Compliance Response Teams (CRT) to increase accountability of inmates released as a result of AB109.



# Sheriff's Office

## FY 2013-14 Potential Service Level Reductions March 2013

- \$331,323 for 3.0 FTE Custody Deputy positions.
  - Reduced level of sworn staffing in Custody functions due to loss of one-time funding.
- \$454,502 for increased costs in inmate medical and pharmaceutical services.
  - \$270,000 in pharmaceutical costs
    - (\$20,000 increase over March 2013 presentation)
  - \$184,502 in increased costs for contracted medical services
- \$298,733 for increased costs in Aviation Support Unit (ASU)



# Sheriff's Office

## FY 2013-14 Potential Service Level Reductions

Revised June 2013

- ~~\$331,323~~ ~~\$270,000~~ for ~~3.0~~ ~~2.4~~ FTE Custody Deputy positions  
Recommended in Final Budget Adjustments
- ~~\$454,502~~ for increased costs in inmate medical and pharmaceutical services
  - ~~\$270,000~~ in pharmaceutical costs
    - ~~(\$20,000 increase over March 2013 presentation)~~
  - ~~\$184,502~~ in increased costs for contracted medical services  
Withdrawn; recommended jail medical costs are now fully funded due to recent contract negotiations with vendor
- \$298,733 for increased costs in Aviation Support Unit (ASU)  
Recommended in Final Budget Adjustments



# Sheriff's Office

## Navigating Towards a Stable Future

- Replenish Staffing Lost Due to Fiscal Recession
  - Law Enforcement Sworn FTE down 41.8 (13.5%) since FY2007-08.
  - Custody Staffing Levels have not kept pace with increasing safety requirements.
  - Civilian Support FTE down 23.0 (13.0%) since FY2007-08.
- Hiring for Northern Branch Jail
  - Additional staffing needed to open facility in 2018
- Repair Department Infrastructure
  - Deteriorating County facilities and parking lots at Sheriff Admin, Medium Security Facility and Training Bureau



# Sheriff's Office

Questions?





# **2013-2015 Budget Hearings**

**Alcohol, Drug and Mental Health  
Services Department**

# Alcohol, Drug and Mental Health Services Department

- **Total Budget**
  - Operating: \$78,127,216
  - Capital: \$110,000
- **General Fund Contribution**
  - \$1,767,300 (2% of total)
- **Budget FTE**
  - 281.2



# Alcohol, Drug and Mental Health Services

## FY 2012-13 Accomplishments

- Established new “AB 109” clinics.
- Secured approval for the purchase of five additional Mental Health Services Act (MHSA) Housing Units in Lompoc.
- Revised policies and procedures related to the involuntary hold process (5150).



# Alcohol, Drug and Mental Health Services

## FY 2013-14 Potential Service Level Impacts

- Reduce number of inpatient contracted acute and long term beds - \$216K
- Elimination of Juvenile Justice mental health services to Probation Department – 10.9 FTE



# Alcohol, Drug and Mental Health Services

## Navigating Towards a Stable Future

- Inpatient System of Care (\$1M)
- \$3.6M settlement with State not received
- Ongoing Cost Settlement Process with State
- Realignment revenue may be reduced
- Adjusting MHSA programs to match revenues
- Affordable Care Act Impacts Uncertain
- Cost for Katie A. Implementation Uncertain



# Alcohol, Drug and Mental Health Services

Questions?





# **2013-2015 Budget Hearings**

**Child Support Services  
Department**

# Child Support Services

- **Total Budget**
  - Operating: \$9,430,093
  - Capital: \$ -0-
- **General Fund Contribution**
  - -0-
- **Budget FTE**
  - 80.8



# Child Support Services

## FY 2012-13 Accomplishments

- Exceeded State goals in all five Federal Performance Measures
- Distributed over \$28 million in Federal FY2012
- Virtual Files for Court
- Sharepoint
- Successful Security Audit



# Child Support Services

## FY 2013-14 Potential Service Level Reductions

- No service level reductions for FY 2013-14
  - State restored \$175,000 that was one-time reduction in current fiscal year



# Child Support Services

## Navigating Towards a Stable Future

- Flat budget allocation with increasing costs results in fewer FTE's each year.
- Responding to Court Budget Issues
- Affordable Care Act Implementation



# Child Support Services

Questions?





# **2013-2015 Budget Hearings**

**First 5 Children and Families  
Commission**

# First 5 Children and Families Commission

- **Total Budget**
  - Operating: \$5,069,892
  - Capital: \$0
- **General Fund Contribution**
  - \$0
- **Budget FTE**
  - 14.0



# First 5 Children and Families Commission

## FY 2012-13 Accomplishments

- Administration & Support
- Program Evaluation and Research
- Children's Wellness and Support (11/12 results)



# First 5 Children and Families Commission

## **FY 2013-14 Potential Service Level Reductions**

- No Service Level Reductions for FY 2013-14



# First 5 Children and Families Commission

## Navigating Towards a Stable Future

- Past reliance on First 5 reserve funds not sustainable at current levels
- Estimated cut of \$600,000 necessary beginning in FY 2014-15
- Strategic planning process underway to identify future strategies/programs and involve stakeholders
- First 5 SBC policy: Maintain at least one year operating reserve in case Prop 10 is repealed



# First 5 Children and Families Commission

Questions?





# **2013-2015 Budget Hearings**

**Public Health Department**

# Public Health Department

- **Total Budget**
  - Operating: \$79,950,259
  - Capital: \$23,000
- **General Fund Contribution**
  - \$8,215,200 (9.4% of total)
- **Budget FTE**
  - 486.4



# Public Health Department

## FY 2012-13 Accomplishments

- Preparing for Affordable Care Act
- Patient-Centered Medical Home Accreditation
- Electronic Health Record Enhancements
- Lompoc Animal Shelter Remodel
- Worksite Wellness
- Statewide Earthquake Exercise



# Public Health Department

## FY 2013-14 Potential Service Efficiencies

- Utilization Review & Medical Social Services: \$154,158 (3.0 FTE)
- Santa Maria Health Center: \$161,524 (1.0 FTE)
- Santa Barbara Health Center: \$75,854 (.3 FTE)
- Health Info. Management: \$339,722 (5.0 FTE)
- SM Women's Center: \$868,321 (7.0 FTE)



# Public Health Department

## Navigating Towards a Stable Future

- Affordable Care Act
  - Redirection of 1991 Realignment
  - Caring for/Paying for the Residually Uninsured
- Achieving Financial Stability by addressing Budget Gap and Structural Deficit
- Public Health Accreditation



# Public Health Department

Questions?





# **2013-2015 Budget Hearings**

**Social Services**

# Social Services

- **Total Budget**
  - Operating: \$146,375,927 + \$5,854,747
  - Capital: \$270,000
- **General Fund Contribution**
  - \$2,972,499 (2.0% of total)
- **Budget FTE**
  - 718.9



# Social Services

## FY 2012-13 Accomplishments

- Implemented the California Fostering Connections to Success Act.
- Finalized over 100 adoptions for children in foster care.
- Began transition of children from Healthy Families to Medi-Cal.
- Reinstated the Cal-Learn program.
- Enhanced MyBenefits CalWIN.
- Expanded the Department's desktop video conferencing .
- Implemented Distance Learning.



# Social Services

## FY 2013-14 Potential Service Level Reductions

- No Service Level Reductions for FY 2013-14
- Program Expansions
  - 8 FTEs-previously unfunded
  - 77 FTEs-Approved June 4, 2013



# Social Services

## Navigating Towards a Stable Future

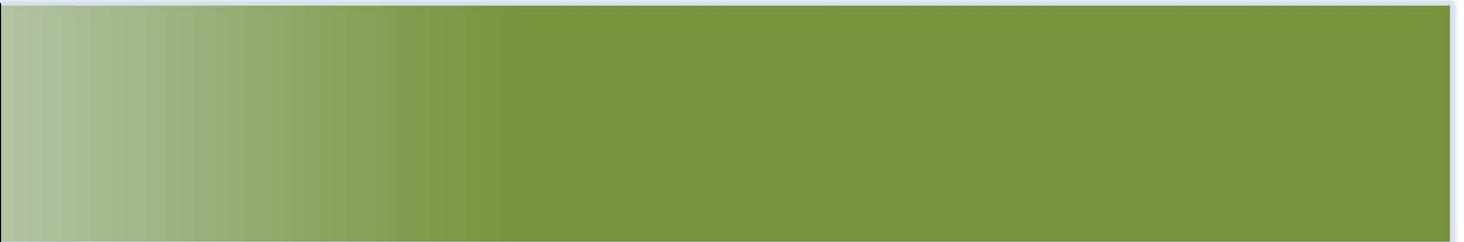
### Affordable Care Act (ACA)

- Increased access to Medi-Cal and other health insurance coverage
- Eligibility and enrollment – gateway to coverage
- Rigorous performance standards and horizontal integration



# Social Services

Questions?





# **2013-2015 Budget Hearings**

**Auditor-Controller**

# Auditor-Controller

- **Total Budget**
  - Operating: \$7,657,968
  - Capital: \$10,000
- **General Fund Contribution**
  - \$6,515,400 (75% of total)
- **Budget FTE's**
  - 46.2



# Auditor-Controller

## FY 2012-13 Accomplishments

- Worked jointly with the Treasurer Tax Collector towards implementation of a new vendor purchased property tax system (GRM) scheduled to go live in early FY 2013-14.
- Added budget functionality to FIN Web as part of the Budget Clarity Project that provided essential development tools to produce the Budget Documents.
- Performed Audit and Property Tax administration services required by the RDA Dissolution Act for the seven RDA's and Successor Agencies.



# Auditor-Controller

## FY 2012-13 Accomplishments – Continued

- Also, completed complex accounting and reporting for the dissolution of the County's Isla Vista Redevelopment Agency and transferred assets to the Successor Agency and County Housing Successor Agency.
- Performed reviews of cable franchise agreements, which resulted in a payment to the County for uncollected prior year amounts and generated increases in ongoing revenues.



# Auditor-Controller

## Navigating Towards a Stable Future

- The Auditor-Controller has dropped its budgeted staffing level over the last 6 years from 57.9 FTEs to 46.2 FTEs, a 23% reduction of 13.3 FTEs.
- For optimal long-term staffing, the office requires 52.2 FTE's which includes the restoration of the following positions. The Department has submitted budget expansion requests and the CEO recommends funding for restoring 2 positions for FY 13-14.



# Auditor-Controller

## Navigating Towards a Stable Future - Continued

1. A Financial Systems Analyst to maintain and enhance the 65 system applications maintained in the Auditor-Controller's Department, and
2. A Financial Accounting Analyst to perform annual reviews and audits of County departmental compliance efforts as required by County contracts, grants, franchises, real property transactions, regulations, and laws related to the receipt and expenditure of County funds. Approval would increase our staffing level to 48.2.



# Auditor-Controller

## Navigating Towards a Stable Future - Continued

### Future Restorations:

- The department has been able to maintain fiscal systems at a basic level, but there is risk of not performing needed enhancements and not being able to respond to the fiscal needs of the County in a timely manner.
- Restoration of 4 additional positions as funding permits would help avoid long-term risks of financial errors, irregularities, non-compliance, lost revenues, long-term maintenance of systems, etc...



# Auditor-Controller

## Navigating Towards a Stable Future - Continued

1. Accountant-Auditor for the New Auditor Training and Development program. This would assist the department to promptly rotate auditors through the training program.
2. Senior Financial Systems Analyst for the Applications Development and Systems Division. This would properly support the added and enhanced budget applications and property tax systems.



# Auditor-Controller

## Navigating Towards a Stable Future - Continued

3. Financial Accounting Analyst for the Advanced and Specialty Accounting Division. This would support the County in a variety of special projects that require the assistance of the Auditor-Controller such as Housing, Empower, HCD, Internal Service Funds, Capital Projects, Jail financing, etc.
4. Senior Accountant for the Financial Accounting and Customer Support Division. This area has endured the most significant cuts in the department and is in need of more permanent staff as we're currently back-filling the function with interns and extra-help.



# Auditor Controller

Questions?





# 2013-2015 Budget Hearings

**Clerk-Recorder-Assessor**

# Clerk-Recorder-Assessor

- **Total Budget**
  - Operating: \$15,092,542
  - Capital: \$183,745
- **General Fund Contribution**
  - \$9,509,300 (57% of total)
- **Budget FTE**
  - 94.4



# Clerk-Recorder-Assessor

## FY 2012-13 Accomplishments

- Recorded 20% more official documents
- 100% of documents recorded on same business day
- Conducted the November 2012 Presidential General Election
- Completed 95% of assessment workload by close of roll (July 1)



# Clerk-Recorder-Assessor

## FY 2013-14 Potential Service Level Reductions

- There are no Service level Reductions in FY 2013-14



# Clerk-Recorder-Assessor

## Navigating Towards a Stable Future

### Optimal and Stable State Staffing Levels

- Assessor Program (add 3.0 FTEs)
- Elections Program (add 2.0 FTEs)

-----

Assessor and Elections are using one time sources of funding to mitigate service level reductions in FY 13-14.

# Clerk-Recorder-Assessor

Questions?





# **2013-2015 Budget Hearings**

**General Services  
Department**

# General Services

- **Total Budget**
  - Operating: \$40,153,786
  - Capital: \$8,986,137
- **General Fund Contribution**
  - \$8,142,800 (20.3% of total)
- **Budget FTE**
  - 113.0



# General Services

## FY 2012-13 Accomplishments

- North County Jail
- CDBG grant funded Capital Improvement Projects
- Public Defender Remodel - Santa Barbara Courthouse
- Transition of County radios to narrow-banding
- Countywide Technology Strategic Plan
- Betteravia Administration Building “D” addition



# General Services

## FY 2013-14 Potential Service Level Reductions

- No service level reductions for FY 2013-14



# General Services

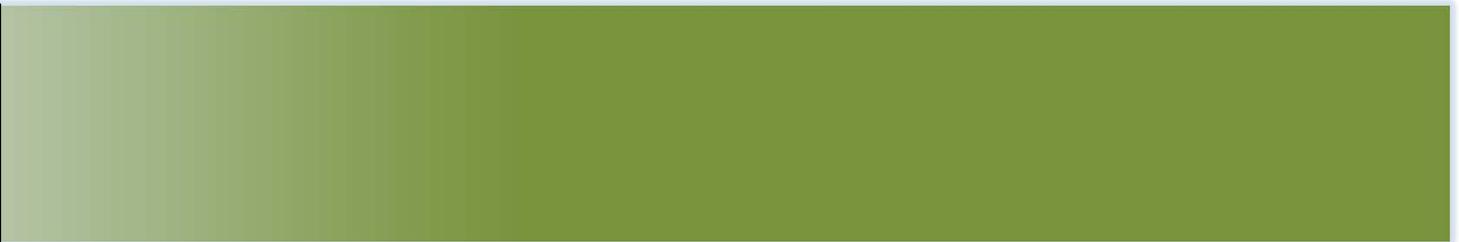
## Navigating Towards a Stable Future

- Deferred maintenance backlog
- Stabilizing the Department's Management Structure
- Antiquated telecommunications systems
- Outdated procurement software system



# General Services

Questions?





# **2013-2015 Budget Hearings**

**Treasurer-Tax Collector**

# Treasurer-Tax Collector

- **Total Budget**
  - Operating: \$6,595,349
  - Capital: \$1,221,128
- **General Fund Contribution**
  - \$3,107,000 (40% of total)
- **Budget FTE**
  - 41.5



# Treasurer-Tax Collector

## FY 2012-13 Accomplishments

- Hired, trained, and accredited two Veterans' Services Representatives, resulting in \$9.5 million of benefits realized in the County
- Conducted and administered secured property tax sales
- Increased unsecured property tax collection activities by 10%



# Treasurer-Tax Collector

## FY 2013-14 Potential Service Level Reductions

- Reduce one Financial Systems Analyst dedicated to the implementation and maintenance of the property tax project. Funding was provided in FY 2012-13 as one-time and submitted as a budget expansion for FY 2013-14.



# Treasurer-Tax Collector

## Navigating Towards a Stable Future

- Loss of any revenue could put non-mandated functions at risk.
  - Veterans' Services
  - Representative Payee (Pro Pay)
- Permanent funding required for the FSA position and maintenance of property tax system (\$200k).



# Treasurer-Tax Collector

Questions?





# **2013-2015 Budget Hearings**

## **General County Programs**

# General County Programs

- Total Budget
  - Operating: \$3,926,650
  - Capital: \$0
- General Fund Contribution
  - \$11,539,101 (67.9% of total)
- Budget FTE
  - 0 FTE



# General County Programs

## FY 2012-13 Accomplishments

- Maintained \$1 million to provide health insurance to uninsured children in Santa Barbara County.
- \$2 million funding of the Northern Branch Jail Operations
- Set aside funds to committed fund balances:
  - facilities maintenance,
  - capital outlay,
  - strategic reserve,
  - road projects and
  - contingencies



# General County Programs

## FY 2013-14 Potential Service Level Reductions

- No service level reductions for FY 2013-14



# General County Programs

## FY 2014-15 Navigating Towards a Stable Future

- Retain portion of concession savings to balance FY 2014-15
- Continue to fund:
  - Strategic Reserve
  - Northern Branch Jail Operations
  - Capital and Deferred Maintenance
  - Contingencies



# General County Programs

Questions?





BOARD OF SUPERVISORS  
AGENDA LETTER

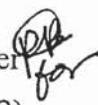
Agenda Number:

Clerk of the Board of Supervisors  
105 E. Anapamu Street, Suite 407  
Santa Barbara, CA 93101  
(805) 568-2240

**Department Name:** County Executive Office  
**Department No.:** 012  
**For Agenda Of:** June 14, 2013  
**Placement:** Departmental  
**Estimated Time:**  
**Continued Item:** No  
**If Yes, date from:**  
**Vote Required:** Majority

---

**TO:** Board of Supervisors of the County of Santa Barbara as the Successor Agency to the former County of Santa Barbara Redevelopment Agency

**FROM:** Department Director(s) Chandra Wallar, County Executive Officer   
Contact Info: Tom Alvarez, Budget Director (568-3432)

**SUBJECT:** Fiscal Year 2013-14 Recommended Budget for the County of Santa Barbara as Successor Agency to the former County of Santa Barbara Redevelopment Agency

---

**County Counsel Concurrence**

As to form: Yes

**Auditor-Controller Concurrence**

As to form: Yes

**Recommended Actions:**

It is recommended that the Board of Supervisors:

- a) Adopt the Resolution of the Board of Supervisors of the County of Santa Barbara as the Successor Agency to the former County of Santa Barbara Redevelopment Agency, entitled In The Matter Of Adopting The Budget For Fiscal Year 2013-14 For The County Of Santa Barbara As Successor Agency To The Former County of Santa Barbara Redevelopment Agency.

**Summary Text:**

As modified by the California Supreme Court, the ABX 1 26 amendments to California Redevelopment Law dissolved all redevelopment agencies in California on February 1, 2012. By taking no "opt out" action under California Health and Safety Code Section 34173 (d) (1), the Board of Supervisors of the County of Santa Barbara decided that the County of Santa Barbara would become the "Successor Agency" to the former County of Santa Barbara Redevelopment Agency.

**Fiscal and Facilities Impacts:**

The recommended action provides expense appropriations of \$1,631,083. This is the amount necessary to provide for the payment of recognized obligations and the statutorily allowed administration allocation from the Redevelopment Property Tax Trust Fund. Approval of this recommendation adopts the Fiscal Year 2013-14 Recommended Budget for the County of Santa Barbara as Successor Agency to the former County

of Santa Barbara Redevelopment Agency (with any modifications determined by the Board) and authorizes the County Executive Officer and/or the County Auditor-Controller to take necessary related fiscal action.

**Attachments:**

- 1) Budget Schedule
- 2) Resolution of the Board of Supervisors of the County of Supervisors as Successor Agency to the former County of Santa Barbara Redevelopment Agency

**Authored by:**

Gregory Levin, CPA 805-568-2141

**Cc:** Department Directors  
Assistant County Executive Officers  
Fiscal and Policy Analysts

**RESOLUTION OF THE BOARD OF SUPERVISORS OF THE  
COUNTY OF SANTA BARBARA STATE OF CALIFORNIA, AS  
SUCCESSOR AGENCY TO THE FORMER COUNTY OF SANTA BARBARA  
REDEVELOPMENT AGENCY**

**IN THE MATTER OF ADOPTING THE BUDGET FOR FISCAL YEAR 2013-14  
FOR THE COUNTY OF SANTA BARBARA AS SUCCESSOR AGENCY TO THE  
FORMER COUNTY OF SANTA BARBARA REDEVELOPMENT AGENCY**

**RESOLUTION NO. 13-**

1           **WHEREAS**, the Board of Supervisors of the County of Santa Barbara, State of  
2 California, has been meeting from time to time and holding public hearings at such meetings  
3 for the discussion and consideration of the recommended budget for the 2013-14 fiscal year  
4 for the County of Santa Barbara as Successor Agency to the former County of Santa Barbara  
5 Redevelopment Agency, all pursuant to notice and the provisions of law, said public hearings  
6 having commenced on June 10, 2013, and concluded on June 14, 2013, pursuant to the  
7 requirements of Sections 29080 through 29092 of the Government Code of the State of  
8 California; and

9           **WHEREAS**, said Board of Supervisors has met pursuant to such published notice  
10 and heard all members of the general public and officials present regarding the matters  
11 aforesaid and has considered, made and settled all revisions of, deductions from, and  
12 increases or additions to the recommended budget which it deems advisable; and

13           **WHEREAS**, the housing funds of the former County of Santa Barbara  
14 Redevelopment Agency are included in the separate Proposed Budget of the County of Santa  
15 Barbara; and

16           **WHEREAS**, the record is in final form in the possession of the Santa Barbara  
17 County Clerk of the Board of Supervisors and Auditor-Controller, which meets requirements  
18 set forth in Government Code Section 29089, and the public hearing on said budget being  
19 now finally closed, and the meetings thereon finally concluded;

1           **NOW THEREFORE, BE IT RESOLVED** by the Board of Supervisors of the  
 2 County of Santa Barbara, State of California, as the Successor Agency to the former County  
 3 of Santa Barbara Redevelopment Agency, that said budget as so increased, modified, revised  
 4 and finally settled shall be, and the same hereby is adopted as the budget for the 2013-14  
 5 fiscal year for the County of Santa Barbara as **Successor Agency to the former County of**  
 6 **Santa Barbara Redevelopment Agency** whose affairs are financed and under the  
 7 supervision of the Board of Supervisors; and that said budget document was presented to the  
 8 Board of Supervisors at a public meeting on June 14, 2013 and made a part of this resolution  
 9 as though set forth in full pursuant to Government Code Section 29090.

10  
 11           **BE IT FURTHER RESOLVED** that the Auditor-Controller, in compiling the Final  
 12 Budget, is authorized to make ministerial budget changes and to transfer appropriations to or  
 13 from designated fund balances and contingencies to balance the budget for the County of  
 14 Santa Barbara as **Successor Agency to the former County of Santa Barbara**  
 15 **Redevelopment Agency** funds governed by the Board of Supervisors.

16  
 17           **BE IT FURTHER RESOLVED** that the Auditor-Controller is authorized to make  
 18 adjustments to the final budget of the County of Santa Barbara as Successor Agency to the  
 19 former County of Santa Barbara Redevelopment Agency throughout fiscal year 2013-14 for  
 20 line item accounts 3381 Unrealized Gain/Loss on Investments and 9897 Unrealized Gains to  
 21 properly record changes in the fair value of investments.

22           **BE IT FURTHER RESOLVED** that the Auditor-Controller is authorized to make  
 23 adjustments to the final budget of the County of Santa Barbara as Successor Agency to the  
 24 former County of Santa Barbara Redevelopment Agency throughout fiscal year 2013-14 for  
 25 line item account 3380 Interest Income and various fund balance accounts in order to

1 properly record fund balance increases in operating funds due to interest income in the  
2 underlying agency fund.

3 **BE IT FURTHER RESOLVED** that the Auditor-Controller and County Executive  
4 Officer are authorized to make any adjustments to the final budget of the County of Santa  
5 Barbara as Successor Agency to the former County of Santa Barbara Redevelopment Agency  
6 for fiscal year 2013-14 in order to comply with any Governmental Accounting Standards  
7 Board Pronouncements or to conform the budget to Generally Accepted Accounting  
8 Principles.

9 **PASSED, APPROVED, AND ADOPTED** by reference in accordance with  
10 Government Code Section 29090 by the Board of Supervisors of the County of Santa  
11 Barbara, State of California, this fourteenth day of June 2013 by the following vote:

12 AYES:

13 NOES:

14 ABSENT:

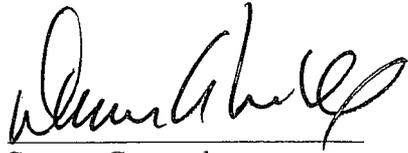
15 ATTEST:  
Chandra L. Wallar  
Clerk of the Board

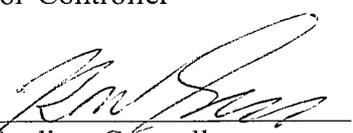
\_\_\_\_\_  
Salud Carbajal, Chair  
Board of Supervisors

BY: \_\_\_\_\_

APPROVED AS TO FORM:  
Dennis Marshall  
County Counsel

APPROVED AS TO  
ACCOUNTING FORM  
Robert W. Geis, CPA  
Auditor-Controller

BY:   
County Counsel

BY:   
Auditor-Controller

# ***Successor Agency to the Former County of Santa Barbara Redevelopment Agency***



# Successor Agency to the Former County of Santa Barbara Redevelopment Agency

## *Budget & Full-Time Equivalents (FTEs) Summary*

Operating \$ 1,631,083  
 Capital \$ -  
 FTEs -

## *Organization and Administration*

The Successor Agency to the former County of Santa Barbara Redevelopment Agency is managed by the County Executive Office, Planning and Development and Public Works in conjunction with the Auditor-Controller's Office and County Counsel. The following table represents the functional areas managed by each department

County Executive Office	Planning and Development	Public Works	Auditor - Controller	County Counsel
<ul style="list-style-type: none"> <li>• Policy and Executive</li> </ul>	<ul style="list-style-type: none"> <li>• General Operations and Legislative Support</li> </ul>	<ul style="list-style-type: none"> <li>• Project Management and Construction Services</li> </ul>	<ul style="list-style-type: none"> <li>• Finance</li> </ul>	<ul style="list-style-type: none"> <li>• Legal</li> </ul>

Departmental administrative costs are reimbursed plus overhead via interfund billing to the Successor Agency.

# Successor Agency to the Former County of Santa Barbara Redevelopment Agency

## *Mission Statement*

The mission of the Successor Agency to the former County of Santa Barbara Redevelopment Agency (Successor Agency), is to dissolve in an orderly fashion, the former County of Santa Barbara Redevelopment Agency.

## *Department Description*

The Successor Agency operates subject to review by a legislatively formed Oversight Board comprised of representatives of the local agencies that represent other taxing entities in the redevelopment project area: the County, special districts, K-12 school districts and Santa Barbara Community College. The Oversight Board has authority over the financial affairs, as well as supervises the operations and the timely dissolution of the former Redevelopment Agency (RDA). The Successor Agency is tasked with making payments and meeting the recognized obligations of the former RDA. It is also responsible for revenue collection which is deposited with the Treasurer Tax Collector, as well as maintaining necessary bond reserves and disposing of excess property. Under the direction of the Oversight Board, the excess balances of the agency beyond what is needed to meet recognized obligation are to be remitted to affected taxing entities.

## *2012-13 Anticipated Accomplishments*

During FY 2012-2013, the Successor Agency completed the following statutory milestones:

- Developed and submitted the Recognized Obligation Payment Schedule for the period of January 1, 2013 – June 30, 2013

- Developed and submitted the Recognized Obligation Payment Schedule for the period of July 1, 2013 – December 31, 2013
- Completed required Agreed upon Procedures Engagement
- Completed Housing Fund due diligence review report
- Completed All Funds due diligence review report
- Effected transfer of housing assets to the newly established County Housing Fund
- Successfully appealed a CA Department of Finance decision regarding Bond obligations of the Former Agency
- Received a finding of completion from the Department of Finance concerning submission of due diligence reports.

## *2013-15 Objectives*

For the period 2013-2015, the Successor Agency will complete the following statutory milestones:

- Develop the Long Range Management plan required by Government Code 34191.5 and submit to the CA Department of Finance
- Develop and submit the Recognized Obligation Payment Schedule for the period of January 1, 2014 – June 30, 2014
- Develop and submit the Recognized Obligation Payment Schedule for the period of July 1, 2014 – December 31, 2014

## *Changes & Operational Impact: 2012-13 Adopted to 2013-14 Recommended*

### **Revenues**

The FY 2013-14 Recommended Budget anticipates \$1,631,083 in revenue from the Redevelopment

# Successor Agency to the Former County of Santa Barbara Redevelopment Agency

Property Tax Trust Fund. This is an approximate \$1.75 million decrease from what was anticipated in the FY 2012-13 Adopted Budget. The decrease is related primarily to the fact that FY 2012-13 estimated revenues were overstated in the prior budget due to uncertainty regarding the implementation of the Redevelopment Dissolution Act. The current year budget is based on Recognized Obligation Payment Schedules that have been approved by the California Department of Finance and the Payment Schedule's allowable expenses that are known and quantifiable.

These changes result in recommended operating revenues and total revenues of \$1,631,000.

## **Expenses**

The FY 2013-14 Recommended Budget anticipates \$1,631,083 in expenses for the Successor Agency. This is an approximate \$1.75 million decrease as compared to the FY 2012-13 Adopted Budget. The decrease is related primarily to the fact that FY 2012-13 estimated revenues were overstated in the prior budget due to uncertainty regarding the implementation of the Redevelopment Dissolution Act. The current year budget is based on Recognized Obligations Payment Schedules that have been approved by the California Department of Finance and the Payment Schedule's allowable expenditures are known and quantifiable.

The current Recommended Budget includes appropriations for the Former Agency's bond payments of \$1,381,083 and an additional \$250,000 for administration which is the amount provided per statute.

These changes result in recommended operating expenditures and total expenditures of \$1,631,000.

## *Changes & Operational Impact: 2013-14 Recommended to 2014-15 Proposed*

---

The FY 2014-15 Proposed Budget expenditures reflect a \$3,900 decrease over the FY 2013-14 Recommended Budget. This is primarily the result of:

- +\$25,000 Increase in principal due from the Former Redevelopment Agency Bond and related reimbursement agreement
- -\$28,900 decrease in interest due from the Former Redevelopment Agency Bond and related reimbursement agreement

## *Related Links*

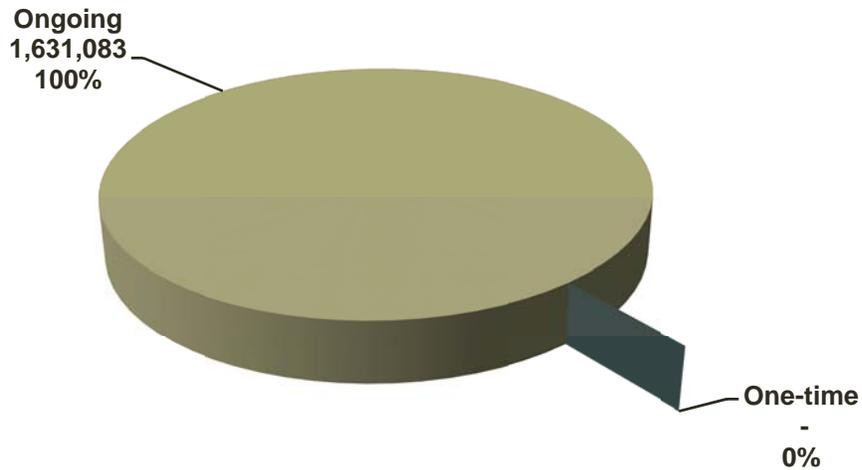
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For more information on the Auditor-Controller's Office, refer to the Web site at <http://www.countyofsb.org/auditor/default.aspx?id=908>.

# Successor Agency to the Former County of Santa Barbara Redevelopment Agency

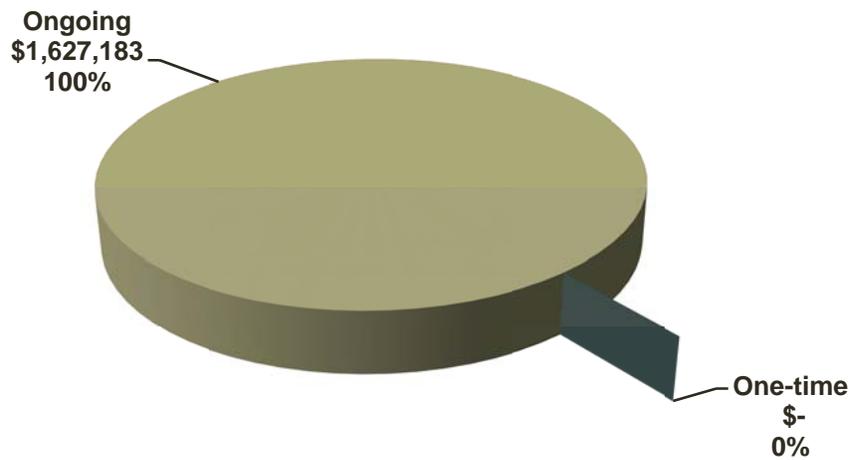
## Gap Charts

### FY 2013-14 Recommended Budget



The FY 2013-14 Recommended Budget does not rely on one-time sources.

### FY 2014-15 Proposed Budget



The FY 2014-15 Proposed Budget does not rely on one-time sources.

# Successor Agency to the Former County of Santa Barbara Redevelopment Agency

## *Performance Outcome Measures*

Description	FY 2011-12 Actual	FY 2012-13 Adopted	FY 2013-14 Recommended	FY 2014-15 Proposed
Recognized Obligation Schedules completed and submitted to CA Department of Finance on – time.	100%	100%	100%	100%
Achieve compliance with Health and Safety Code Section 34177, concerning limits on administrative expenses	100%	100%	100%	100%

# Successor Agency to the Former County of Santa Barbara Redevelopment Agency

## *Budget Overview*

	2011-12	2012-13	Change from	2013-14	2014-15
	Actual	Adopted	FY12-13 Ado to FY13-14 Rec	Recommended	Proposed
<b>Staffing By Budget Program</b>					
Successor Agency	-	-	-	-	-
Total	-	-	-	-	-
<b>Budget By Budget Program</b>					
Successor Agency	6,779,395	3,385,087	(1,754,004)	1,631,083	1,627,183
Total	\$ 6,779,395	\$ 3,385,087	\$ (1,754,004)	\$ 1,631,083	\$ 1,627,183
<b>Budget By Categories of Expenditures</b>					
Services and Supplies	229,517	1,395,000	(1,159,550)	235,450	235,450
Other Charges	1,611,585	17,600	1,378,033	1,395,633	1,391,733
Total Expenditures	1,841,102	1,412,600	218,483	1,631,083	1,627,183
Other Financing Uses	345,504	1,972,487	(1,972,487)	-	-
Increases to Restricted Fund Balance	4,592,789	-	-	-	-
Total	\$ 6,779,395	\$ 3,385,087	\$ (1,754,004)	\$ 1,631,083	\$ 1,627,183
<b>Budget By Categories of Revenues</b>					
Taxes	\$ 1,116,710	\$ 3,385,087	\$ (1,764,004)	\$ 1,621,083	\$ 1,617,183
Use of Money and Property	29,792	-	10,000	10,000	10,000
Intergovernmental Revenue	6,321,477	-	-	-	-
Charges for Services	-	-	-	-	-
Miscellaneous Revenue	34,161	-	-	-	-
Other Financing Sources	15,960	-	-	-	-
Total Revenues	7,518,100	3,385,087	(1,754,004)	1,631,083	1,627,183
Decrease to Fund Balances	1,610,518	-	-	-	-
Total	\$ 9,128,618	\$ 3,385,087	\$ (1,754,004)	\$ 1,631,083	\$ 1,627,183



May 23, 2013

Supervisor Janet Wolf  
2<sup>nd</sup> District

Dear Supervisor Wolf,

On behalf of the Buellton Chamber of Commerce and Visitors Bureau, I would like to thank you for your continued support of the tourism industry. As a small bureau, the allocation from the County is a valuable piece of our budget. Although it is a minimal amount compared to other organization's allocations, we have been able to continue to promote on an international level with the County's allocation.

The tourism industry, as you know, is an economic engine for the County. The cities within the county, both incorporated and unincorporated, continue to work together to promote the diversity, beauty, and attractions we have to offer. Santa Barbara County is a destination to people all over the world and is one of the most visited places along the Central Coast.

For this year's tourism funding budget, we would like to request the following:

- 1) The continued financial support for the Buellton Chamber of Commerce & Visitors Bureau.
- 2) Direct staff to incorporate the tourism and film production promotion funding as a permanent line item in the annual county budget.

Since tourism continues to be one of the most substantial revenue generators for the county, appropriate steps must be taken to protect and preserve this industry. Investing in what matters and what shows a great return makes perfect business sense and should continue to be supported. This industry, as we know, creates jobs and is vital for our economy.

Thank you for your time and consideration in this matter.

A handwritten signature in cursive script that reads "Kathy Vreeland".

Kathy Vreeland,  
Executive Director

CC: Chandra Waller, County Executive Officer

# SANTA BARBARA

THE AMERICAN RIVIERA

CONFERENCE • VISITORS BUREAU • FILM COMMISSION

May 23, 2013

Supervisor Janet Wolf  
County of Santa Barbara  
105 E. Anapamu Street  
Santa Barbara, CA 93101

Dear Supervisor Wolf,

We thank you for your ongoing support of Santa Barbara County tourism and film production industries. Funding from the County, City of Santa Barbara and South Coast TBID has resulted in a robust expansion of the variety and reach of marketing programs from the CVB which have helped to lead our \$1.4 billion industry in its current rebound.

Our County benefits directly from tourism and film production as key economic drivers which are helping to fund ongoing and critically important County programs and we are proud of this contribution to our community. In order to strengthen ties and work even more collaboratively and creatively, we have established a regular communication with the county staff on a quarterly basis and hope to continue these meetings in the future.

For this year's tourism funding budget, we would like to request the following the two items;

1. Restoration of the Santa Barbara Conference & Visitors Bureau tourism promotion funding level (\$91,680) and Film Commission funding level (\$50,000) of FY2010/2011.
2. Direct staff to permanently incorporate the tourism and film production promotion funding as a **permanent line item in the annual county budget.**

While this is the only economic development activity undertaken by the County, the County cites "job growth and economic vitality" as the first legislative principal in the 2013 legislative platform. As this is the first priority cited, and has been in this statement of legislative priorities in the past, it follows that these efforts must be treated as an ongoing investment and therefore ongoing budget item. While the amount that the County contributes to the Santa Barbara Conference & Visitors Bureau and Film Commission is comparatively small, it helps to fund key programs which return substantial revenue to the County and is symbolic of the support of county officials and elected supervisors for our industry.

Thank you for the consideration.

Kathy Janega-Dykes  
President/CEO

Geoff Alexander  
Film Commissioner

CC: Chandra Waller, County Executive Officer

Santa Barbara Conference & Visitors Bureau and Film Commission  
500 E. Montecito Street, Santa Barbara, CA 93103 805.966.9222 SantaBarbaraCA.com



Solvang Chamber of Commerce  
P.O. Box 465  
Solvang, Ca. 93464  
805-688-0701  
[www.solvangcc.com](http://www.solvangcc.com)

May 30, 2013

Clerk of the Board of Supervisors  
105 East Anapamu Street, Suite 407  
Santa Barbara, Ca. 93101

Dear Ms. Waller, County Executive Officer

Please accept this letter of request on behalf of the Solvang Chamber of Commerce.

Mission Statement: The Solvang Chamber of Commerce is a champion of business, providing advocacy, education and resources to the business community.

The Solvang Chamber of Commerce is submitting two projects/programs:  
Solvang Third Wednesday and a proposed new relocation and business guide.

- Funding Request Amount: \$5000.00

The funds allocated to the Solvang Chamber will be used to create a comprehensive relocation and business guide as well as expanding the reach and programs of Solvang Third Wednesday.

Solvang Third Wednesday was created to increase mid-week tourism and includes discounts at over 60 businesses, S3W Wine Walk, Summer Concerts in the Park, entertainment throughout the year as well as two larger signature events, Midsummer Musik, featuring 12 hours of Music throughout the City of Solvang and the Solvang Scarecrow Fest. 2013 marks the 4<sup>th</sup> Anniversary of the Solvang Scarecrow Fest which will expand this year to all five townships and cities in the Santa Ynez Valley. The event runs for an entire month giving visitors opportunities to participate during mid-week and weekends. Through these programs Solvang Third Wednesday brings exposure for tourist related businesses which showcase the viticulture, artistic, musical, cultural and commercial resources of the County of Santa Barbara. Aspects of this program target the 25 -55 age demographic increasing exposure and revenue to the area. Since its inception Solvang third Wednesday has brought increased sales and revenue to businesses in Solvang. Tour groups are seeking out Solvang Third Wednesday as a destination and reason to stay in Solvang one more night. Solvang Third Wednesday is an ongoing and expanding program.

The new relocation and business guide will provide new businesses and residents with information about city demographics, real estate and leasing resources, education, listings of existing businesses and City of Solvang information. This guide will promote Santa Barbara County and the Santa Ynez Valley as a premier area to conduct business, attract new businesses and skilled professionals in an effort to lower commercial vacancy rates and retain existing businesses.

Estimate completion date for the relocation packet is March of 2014.

We appreciate your consideration and ask that you grant funding for these programs and projects that benefit our entire community.

If you have any questions or require further information, please feel free to contact:

Sue Moualim

Executive Director – Solvang Chamber of Commerce

805-688-0701

email: [solvangchamber@solvangcc.com](mailto:solvangchamber@solvangcc.com)

[www.solvangcc.com](http://www.solvangcc.com)

sincerely,



Sue Moualim

Executive Director



HEAD.  
HEART.  
HANDS,  
HEALTH.

*Growing Youth  
who Thrive.*

**Santa Barbara County 4-H Youth Development Program**  
6950 Hollister Ave., Suite 275, Goleta, CA 93117 • (805) 893-3409

May 18, 2013

Santa Barbara County  
Board of Supervisors  
105 East Anapamu Street  
Santa Barbara, CA 93101

Via First Class Mail and E-Mail

Re: 2013-2014 Budget UCCE/4-H

Dear Honorable Chair Carbajal and members of the Board of Supervisors:

As volunteer members of the Santa Barbara County 4-H Management Board, we would like to address the potential loss of funding for the University of California Cooperative Extension, and thus the 4-H Youth Development Program, in fiscal year 2013-2014. This elimination of funding would cause UCCE and 4-H to no longer exist in the county.

The purpose of the SBC 4-H Management Board is to oversee the management aspects of the Santa Barbara County 4-H Youth Development Program. We have been diligently working for the past two months on encouraging positive, informative responses to the potential loss of funding for UCCE and our 4-H program.

Although the county has continuously funded UCCE/4-H since the 1920's, it was unfortunately placed on the one-time funding list in June 2010 and therefore was included for elimination in the current draft budget along with all other one-time funding. During the 10 year period from 2003-2013, Santa Barbara County funding allocated to UCCE has decreased from \$287,634 to \$153,000, which represents a 47% decrease of funding over 10 years. This funding level is at the lowest level possible to still keep the current program alive within the county.

The \$153,000 line item expense in fiscal year 2011-2012 was leveraged by \$783,424 from UC and USDA and an additional \$625,615 brought in by UCCE advisors and support staff working in SB County. This calculates to an additional \$9.20 for every \$1.00 the county expended. This is a remarkable financial return on investment!

In the last two years, the SB County 4-H program has added more than 200 members and 70 4-H Volunteer Leaders and has recently chartered two new clubs in the county. The number of youth enrolled in 4-H clubs in the year ending June 30, 2012 was 805 members, which represented an increase of 17% over the

**4-H  
Management  
Board**

**Mary Thieleke Jackson**  
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**Carla Renard**  
*Asst. Board Director*

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**Bailey Albin**  
*All Star*

**Johna Emerick**  
*All Star*

**Emily Martinez**  
*All Star*

**Patrick Metzler**  
*All Star*

previous year. There are currently over 855 youth and about 250 volunteers enrolled in the 4-H Club program. Our program is strategically continuing to expand to previously underserved communities in all areas of the county. As members of the 4-H Management Board, we have witnessed and been involved with the transformation of the Santa Barbara County 4-H Program into a statewide model of Public/Private partnership and have seen our program grow and thrive.

During the 11/12 year, an additional 4,396 youth were served through 4-H Agua Pura environmental education outreach activities and 4-H booths at school and community events, more than twice as many youth reached through such methods the previous year.

As 4-H volunteers, leaders and County 4-H All-stars, we know the positive influence 4-H has on our youth. These personal experiences within the 4-H program are confirmed in the findings of a national study conducted by Tufts University on 4-H positive youth development which concluded "that when compared to other youth, 4-Hers excel and are:

- 25% more likely to contribute to their families, themselves and their communities
- More likely to see themselves going to college
- 41% less likely to engage in risk/problem behavior"

As we celebrate the California 4-H centennial marking 100 years of 4-H in the state, we would ask you to support us in our county to ensure 4-H will be here for the next 100 years. Please help us by placing UCCE back on the permanent general fund list where it has been traditionally funded since its inception and to minimally fund us at the current level.

For the next 100 years, 4-H youth will be the ones to solve our most complex societal challenges-such as obesity, poverty, depletion of natural resources, climate change, unemployment, and food insecurity. 4-H youth will be the leaders for change.

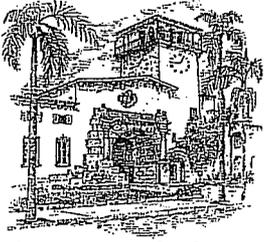
Sincerely,



Mary Thieleke Jackson, Director  
Santa Barbara County 4-H Management Board

Cc: Steve Lavagnino, Vice Chairman, 5<sup>th</sup> District Supervisor  
Janet Wolf, 2<sup>nd</sup> District Supervisor  
Doreen Farr, 3<sup>rd</sup> District Supervisor  
Peter Adam, 4<sup>th</sup> District Supervisor  
Chandra Waller, County Executive Officer  
Cathy Fisher, County Agricultural Commissioner

# Santa Barbara Courthouse Legacy Foundation



Our mission is to fund conservation, preservation, and restoration projects so current and future generations can enjoy the Santa Barbara Courthouse.

## BOARD OF TRUSTEES

Bill Mahan, AIAE, President  
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Michael Towbes

Salud Carbajal, Chairman  
County Board of Supervisors  
County Administration Building, 105 Anapamu Street  
Santa Barbara, CA 93101

Ref: Request for funding for restoration of the Courthouse Mural Room

May 17<sup>th</sup>, 2013

Dear Supervisor Carbajal;

This letter updates my March 2013 letter to you regarding the Courthouse Legacy Foundation's plan to fund the restoration of the Mural Room. The estimated cost of the project based on three estimates and including a substantial contingency is \$700,000. We are anticipating that the project will take five months to complete. Because of that length of time and the County's scheduling of events for the Mural Room we will not be able to begin the work until Jan. 2015.

While some are disappointed in the distant horizon of the start date we find it useful, as it gives us 15 months to raise the necessary funds to complete the work. We plan to send out RFP in September 2014 and award the lowest qualified bidder in October 2014. Consequently, it is critical that we have the necessary funds in the bank by that time.

We have approached the Towbes and Hind Foundations and they have indicated their interest and support for our project for their 2014 grants. We believe they will fund at least \$250,000. Furthermore, you can see in the attached Report our in-hand, pledged and potential contributions. The fact that we have raised and received pledges of over \$300,000 just in this year is strong evidence of the communities support, and we feel that the County and the City should also be partners in this project. Therefore, we are requesting a contribution of \$40,000. The Courthouse Legacy Foundation will leverage that amount by 16.5 times to achieve our goal of \$700,000.

Please give this matter your consideration in your upcoming budget deliberations. We believe that a healthy public/private partnership is the right way to assure the continued conservation of our Courthouse.

Best regards;

Bill Mahan, AIAE, President, Courthouse Legacy Foundation

RECEIVED

MAY 20 2013

1ST DISTRICT OFFICE

# Confidential

## Santa Barbara Courthouse

### Mural Room Restoration Fund Raising Report

Estimated cost May 2013

Conservation of the entire Mural Room (based on 3 estimates) \$700,000

Fund raising must be completed by Sep. 2014. Work will begin Jan. 2<sup>nd</sup> 2015.

Mural Room Contributions in the bank	\$111,753
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#### Pledged Contributions

1. WWW Foundation (2013, 14 and 15)	\$150,000
2. Michael and Anne Towbes (2013, 14 and 15)	30,000
3. Misc. pledges	<u>15,000</u>
Subtotal pledges	\$195,000

#### Potential Contributions

1. Santa Barbara Foundation (estimated Dec. 2013)	\$10,000
2. City of Santa Barbara (requested 2013)	10,000
3. County of S B (requested 2013)	40,000
4. Palmer Jackson Foundation (requested 2013)	25,000
5. Revenue from Fiesta Event (estimated 2013)	10,000
6. Outhwaite Foundation (requested 2014)	10,000
7. Revenue from unplanned Events (2014)	20,000
8. Contributions from unidentified contributors (2014)	<u>25,000</u>
Subtotal Potential Contributions	\$150,000

Total (in the bank, pledged and potential)	\$456,753
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Potential grants from Towbes/Hind Foundations	<u>\$250,000</u>
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Total Project Funding	\$706,753
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Scheinfeld Center for  
**Entrepreneurship & Innovation**  
Dream. Plan. Profit.

CALIFORNIA



Small Business  
Development Centers  
*Los Angeles Regional Network*

May 23, 2013

Chandra L. Wallar, County Executive Officer  
Salud Carbajal, Supervisor  
105 E. Anapamu Street  
Santa Barbara, CA 93101

Re: Budget Expansion Proposal  
*\$27,000 representing 50% of SBDC Cash Match Need*

Dear Ms. Wallar, Supervisor Carbajal and Board Members:

Please consider the following proposal and request for funding during your upcoming budget expansion hearings.

**Small Business Development Centers (SBDCs) & Economic Development**

Small Business Development Centers SBDCs provide a vast array of technical assistance to small businesses and aspiring entrepreneurs. By supporting business growth, sustainability and enhancing the creation of new businesses entities, SBDCs foster local and regional economic development through job creation and retention. As a result of the no cost, extensive, one-on-one, long-term professional business advising, low-cost training and other specialized services SBDC clients receive, the program remains one of the nation's largest small business assistance programs in the federal government. The SBDCs are made up of a unique collaboration of SBA federal funds, state and local governments, and private sector resources.

SBDCs provide services through professional business advisors such as: development of business plans; manufacturing assistance; financial packaging and lending assistance; exporting and importing support; disaster recovery assistance; procurement and contracting aid; market research services; aid to 8(a) firms in all stages; and healthcare information. SBDCs serve all populations, including: minorities; women; veterans, including reservists, active duty, disabled

Melissa V. Moreno, J.D., Director  
Scheinfeld Center for Entrepreneurship & Innovation and Santa Barbara County SBDC  
721 Cliff Drive | Santa Barbara, CA 93109 | 805.892.3643 | melissa.moreno@sbcc.edu

personnel, and those returning from deployment; personal with disabilities; youth and encore entrepreneurs; as well as individuals in low and moderate income urban and rural areas. Based on client needs, local business trends and individual business requirements, SBDCs modify their services to meet the evolving needs of the hundreds of small business community in which they are situated.

### **Our Local SBDC**

SBDC assistance is available virtually anywhere with 63 Host networks branching out with more than 900 service delivery points throughout the U.S. In California, the Los Angeles Regional SBDC Network is hosted by Long Beach Community College District and serves eight centers throughout the Los Angeles, Santa Barbara and Ventura Counties. Locally, the Economic Development Collaborative of Ventura County (EDC-VC) hosts the SBDCs serving both Santa Barbara and Ventura Counties, under the direction of Ray Bowman. The Santa Barbara County satellite center opened in 2011 and is hosted by Santa Barbara City College Scheinfeld Center for Entrepreneurship & Innovation, under the direction of Melissa V. Moreno. The SBDC program is designed to match dollar-for-dollar every federal dollar that it receives with state, community or private funds. The Los Angeles Regional SBDC Network received \$3.4 million in federal funds in 2009.

### **Performance**

To give you some perspective on the kind of impact SBDC's have on our nation's businesses, one new job is created every 12 hours by an SBDC client, \$2,500 in new sales is generated every hour, and \$1,500 in new financing is obtained by an SBDC client every hour. SBDCs are local economic development engines and intensively outcome oriented.

SBDC consultants are required to engage clients long-term and work toward specific outcomes. Our local centers' 27 consultants are focused on job creation, increasing sales, capital infusion/investment, and helping businesses start up. In 2012 alone, the Ventura and Santa Barbara County SBDCs reported the following outcomes as a direct result of providing business owners with assistance through consulting:

- \$27,837,882 in increased sales
- \$19,691.875 in capital infusion
- 437 job created
- 22 new businesses started

Your local Santa Barbara County SBDC office reported these outcomes in 2011-2012:

- 242 Clients served
- 1,392 Consulting hours provided
- 97 jobs created
- \$11,295,742 increased sales and capital infusion combined
- 158 milestones/goals reached by clients
- 540 workshop trainees served

**Need for Cash Support: \$53,000**

The funding model for SBDC's includes a cash match component. Funds received from the Small Business Administration must be matched by local public and private sectors. Because the EDC-VC is an established collaborative, the SBDC Ventura County matching dollars are met through long-standing established funding relationships with 11 cities, Ventura County and the private sector. On the other hand, while the Santa Barbara County SBDC has benefited greatly these past two years from cash-match funding from the State, this funding has now sunset and the need is great and immediate. The Scheinfeld Center needs to establish an annual funding mechanism to keep the local SBDC presence alive and serving our community. The Scheinfeld Center contributes \$5,000 every year toward the cash match requirements and tens of thousands in in-kind match.

If you review the attached budget sheets, you will see that the Scheinfeld Center Santa Barbara County SBDC budget is approximately one-third of the overall Ventura County/Santa Barbara County budget. Federal funds received are \$106,868 with a \$53,472 required cash match. Each year, we will need to raise this cash match.

**Request for \$27,000 Cash Match from the County (50% of Need)**

We conducted a per-capita analysis in Santa Barbara County and created a fundraising strategy. We are in the process of partnering with the four largest cities in the county in terms of population: Santa Barbara, Goleta, Lompoc and Santa Maria and expect to receive commensurate funding from these sources to match 50% of our need as these cities account for approximately half of the population in the county. As such, we are requesting the other half of the cash match from the County of Santa Barbara (\$26,736). We feel the per-capita analysis makes the most sense to determine fairness in contribution. Anticipated

shared contribution is as follows:

- \$10,694 City of Santa Barbara (20%)
- \$10,694 City of Santa Maria (20%)
- \$2,677 City of Goleta (5%)
- \$2,677 City of Lompoc (5%)
- \$26,736 County of Santa Barbara (50%)

**Conclusion**

Thank you for considering our proposal to fund fifty percent of our SBDC cash match needs. We are providing a service to our community that clearly has an economic development impact, and we know of no other local organization reporting such impact numbers, and our success has occurred in such a short period of time. There is an obvious and demonstrated need for the kinds of service the Santa Barbara County SBDC provides to our small business community, and we hope you help keep our services alive.

Please let us know when your budget expansion hearings are scheduled so that we may plan to answer any questions you may have. Thank you for your consideration.

Respectfully submitted,



Melissa Visconti Moreno, J.D.  
Director, Santa Barbara County SBDC (Satellite Center)  
Director, SBCC Scheinfeld Center for Entrepreneurship & Innovation  
(805) 892-3643  
melissa.moreno@sbcc.edu

Ray Bowman  
Director, Ventura County and Santa Barbara County SBDCs

encl.

**EDC-VC**  
**Los Angeles Regional SBDC Network - Total Program Budget**

January 1, 2013 - December 31, 2013

	Federal Funds	Cash Match	In-Kind Funds	TOTAL	PROGRAM INCOME	GRAND TOTAL
<b>Personnel</b>						
Salaries	\$60,903	\$29,997		\$90,900		\$90,900
SBDC Director	\$28,844	\$14,207		\$43,051		\$43,051
Coordinator	\$15,410	\$7,590		\$23,000		\$23,000
Admin						
<b>Total Salaries Cost</b>	<b>\$105,157</b>	<b>\$51,794</b>	<b>\$0</b>	<b>\$156,951</b>	<b>\$0</b>	<b>\$156,951</b>
Fringe Benefits						
All Staff	\$26,710	\$13,156	\$0	\$39,866	\$0	\$39,866
<b>Total Personnel Costs</b>	<b>\$131,867</b>	<b>\$64,950</b>	<b>\$0</b>	<b>\$196,817</b>	<b>\$0</b>	<b>\$196,817</b>
Travel						
In-State (Mileage)	\$5,280	\$2,601		\$7,881		\$7,881
Out-of-Region/State Other Travel	\$1,340	\$660		\$2,000		\$2,000
Conference (ASBDC Only)	\$4,020	\$1,980		\$6,000		\$6,000
<b>Total Travel Costs</b>	<b>\$10,640</b>	<b>\$5,241</b>	<b>\$0</b>	<b>\$15,881</b>	<b>\$0</b>	<b>\$15,881</b>
Equipment (over \$5,000)	\$0	\$0	\$0	\$0	\$0	\$0
Supplies						
Office Supplies & Materials	\$3,350	\$1,650		\$5,000		\$5,000
Computer Software	\$201	\$99		\$300		\$300
Minor Equipment	\$268	\$132		\$400		\$400
<b>Total Supplies Costs</b>	<b>\$3,819</b>	<b>\$1,881</b>	<b>\$0</b>	<b>\$5,700</b>	<b>\$0</b>	<b>\$5,700</b>
Contracts (non-advisor)	\$20,904	\$10,296	\$57,000	\$88,200	\$0	\$88,200
Consultants (Business Advisers only)	\$120,573	\$59,387	\$0	\$179,960		\$179,960
Other Direct Costs						
Info Listings/Community Outreach	\$3,350	\$1,650	\$3,000	\$8,000		\$8,000
Facility Rental		\$4,995	\$15,120	\$20,115		\$20,115
Publications/Subscriptions				\$0		\$0
Membership Dues	\$670	\$330		\$1,000		\$1,000
Office Equip. Repairs & Maintenance	\$403	\$198		\$601		\$601
Postage	\$536	\$264		\$800		\$800
Printing	\$536	\$264		\$800		\$800
Professional Development	\$4,690	\$2,310		\$7,000		\$7,000
Telecommunications Expense	\$3,350	\$1,650		\$5,000		\$5,000
Training/Meetings Expense	\$4,000	\$7,000	\$3,000	\$14,000		\$14,000
Other-1				\$0		\$0
Other-2				\$0		\$0
<b>Total Other Direct Costs</b>	<b>\$17,535</b>	<b>\$18,661</b>	<b>\$21,120</b>	<b>\$57,316</b>	<b>\$0</b>	<b>\$57,316</b>
<b>Total Direct Costs</b>	<b>\$305,338</b>	<b>\$160,416</b>	<b>\$78,120</b>	<b>\$543,874</b>	<b>\$0</b>	<b>\$543,874</b>
Indirect Costs	5%	\$15,267		\$15,267		\$15,267
Waived Direct Cost	24.00%		\$82,428	\$82,428		\$82,428
<b>TOTAL BUDGET</b>	<b>\$320,605</b>	<b>\$160,416</b>	<b>\$160,548</b>	<b>\$641,569</b>	<b>\$0</b>	<b>\$641,569</b>

EDC-VC

Los Angeles Regional SBDC Network - Total Program Budget

January 1, 2013 - December 31, 2013

	Federal Funds	Cash Match	In-Kind Funds	TOTAL	PROGRAM INCOME	GRAND TOTAL
<b>Personnel</b>						
Salaries	\$60,903	\$29,997		\$90,900		\$90,900
SBDC Director	\$28,844	\$14,207		\$43,051		\$43,051
Coordinator	\$15,410	\$7,590		\$23,000		\$23,000
Admin						
<b>Total Salaries Cost</b>	<b>\$105,157</b>	<b>\$51,794</b>	<b>\$0</b>	<b>\$156,951</b>	<b>\$0</b>	<b>\$156,951</b>
<b>Fringe Benefits</b>						
All Staff	\$26,710	\$13,156	\$0	\$39,866	\$0	\$39,866
<b>Total Personnel Costs</b>	<b>\$131,867</b>	<b>\$64,950</b>	<b>\$0</b>	<b>\$196,817</b>	<b>\$0</b>	<b>\$196,817</b>
<b>Travel</b> Rate: \$0.555						
In-State (Mileage)	\$5,280	\$2,601		\$7,881		\$7,881
Out-of-Region/State Other Travel	\$1,340	\$660		\$2,000		\$2,000
Conference (ASBDC Only)	\$4,020	\$1,980		\$6,000		\$6,000
<b>Total Travel Costs</b>	<b>\$10,640</b>	<b>\$5,241</b>	<b>\$0</b>	<b>\$15,881</b>	<b>\$0</b>	<b>\$15,881</b>
<b>Equipment (over \$5,000)</b>						
	\$0	\$0	\$0	\$0	\$0	\$0
<b>Supplies</b>						
Office Supplies & Materials	\$3,350	\$1,650		\$5,000		\$5,000
Computer Software	\$201	\$99		\$300		\$300
Minor Equipment	\$268	\$132		\$400		\$400
<b>Total Supplies Costs</b>	<b>\$3,819</b>	<b>\$1,881</b>	<b>\$0</b>	<b>\$5,700</b>	<b>\$0</b>	<b>\$5,700</b>
<b>Contracts (non-advisor)</b>						
	\$20,904	\$10,296	\$57,000	\$88,200	\$0	\$88,200
<b>Consultants (Business Advisers only)</b>						
	\$120,573	\$59,387	\$0	\$179,960		\$179,960
<b>Other Direct Costs</b>						
Info Listings/Community Outreach	\$3,350	\$1,650	\$3,000	\$8,000		\$8,000
Facility Rental		\$4,995	\$15,120	\$20,115		\$20,115
Publications/Subscriptions				\$0		\$0
Membership Dues	\$670	\$330		\$1,000		\$1,000
Office Equip. Repairs & Maintenance	\$403	\$198		\$601		\$601
Postage	\$536	\$264		\$800		\$800
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Professional Development	\$4,690	\$2,310		\$7,000		\$7,000
Telecommunications Expense	\$3,350	\$1,650		\$5,000		\$5,000
Training/Meetings Expense	\$4,000	\$7,000	\$3,000	\$14,000		\$14,000
Other-1				\$0		\$0
Other-2				\$0		\$0
<b>Total Other Direct Costs</b>	<b>\$17,535</b>	<b>\$18,661</b>	<b>\$21,120</b>	<b>\$57,316</b>	<b>\$0</b>	<b>\$57,316</b>
<b>Total Direct Costs</b>	<b>\$305,338</b>	<b>\$160,416</b>	<b>\$78,120</b>	<b>\$543,874</b>	<b>\$0</b>	<b>\$543,874</b>
<b>Indirect Costs</b>						
	5%	\$15,267		\$15,267		\$15,267
<b>Waived Direct Cost</b>						
	24.00%		\$82,428	\$82,428		\$82,428
<b>TOTAL BUDGET</b>		<b>\$320,605</b>	<b>\$160,548</b>	<b>\$641,569</b>	<b>\$0</b>	<b>\$641,569</b>

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

<i>Departments</i>		<i>Updated Service Level Reductions Totals</i>					
Budget Dept. #	Budget Dept. Title	Service Level Reduction Yes/No	FY 2013-14 reduction from Status Quo Bdgt		FTE Impact	Ongoing GFC Cost to Restore	Page #
			GFC	Non-GFC			
011	Board of Supervisors	No	-	-	-	-	
012	County Executive Office	Yes	(50,000)	-	(0.5)	50,000	2
013	County Counsel	Yes	(122,380)	-	(1.0)	122,380	3
021	District Attorney	No	-	-	-	-	
022	Probation	Yes	(919,373)	-	(7.0)	92,180	4
023	Public Defender	No	-	-	-	-	
025	Court Special Services	No	-	-	-	-	
031	Fire	Yes	-	(1,955,804)	(10.0)	1,955,804	5
032	Sheriff	No	(41,323)	-	(0.6)	41,323	6
041	Public Health	Yes	-	(2,411,854)	(14.8)	-	7
043	Alcohol,Drug,&Mental Hlth Svcs	Yes	(216,000)	-	(10.9)	216,000	8
044	Social Services	No	-	-	-	-	
045	Child Support Services	No	-	-	-	-	
051	Agricultural Commissioner/W&M	Yes	(200,878)	-	-	200,878	9
053	Planning & Development	Yes	(145,336)	-	(0.7)	145,336	10
054	Public Works	No	-	-	-	-	
057	Community Services	Yes	(282,000)	-	-	282,000	11
061	Auditor-Controller	No	-	-	-	-	
062	Clerk-Recorder-Assessor	No	-	-	-	-	
063	General Services	No	-	-	-	-	
065	Treasurer-Tax Collector-Public	No	-	-	-	-	
990	General County Programs	No	-	-	-	-	
994	First 5	No	-	-	-	-	
	<b>Total</b>		\$ (1,977,290)	\$ (4,367,658)	(45.5)	\$ 3,105,901	
	<b>Total Reduction GFC &amp; Non-GFC</b>			\$ (6,344,948)			

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

County Executive Office												
Programmatic Budget Information						Service Level Impact Information						
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdg		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	012	Clerk of the Board	486,815	473,657	537,856	(50,000)	-	487,856	(0.5)	50,000	Reduce Clerk of the Board staffing by 0.5 FTE (Extra Help)	In FY 2012-13, the Clerk of the Board office was given one-time funding by the Board of Supervisors to fund a part-time, extra-help position. The elimination of this funding will shift work to remaining staff.
<b>Total</b>			486,815	473,657	537,856	(50,000)	-	487,856	(0.5)	50,000		

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

County Counsel												
Programmatic Budget Information						Service Level Impact Information						
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	013	Advisory	2,549,125	2,577,959	2,782,334	(246,180)	-	2,536,154	(2.0)	246,180	Reduce Advisory Program by 2.0 FTE Deputy County Counsel	Inability to fill this position will require reduced legal services back to the support level of FY 2011-12, specifically for Community Services, Planning and Development and Sheriff. Use of more costly outside counsel may be necessary to handle non Risk funded litigation.
1A	013	REVISION				123,800		123,800	1.0	(123,800)	Change from previous SLR	(Expansion - CEO Recommended GFC one-time). Department is proposed to receive one-time funding of \$123,800 for one FTE for FY 13/14.
<b>Total</b>			2,549,125	2,577,959	2,782,334	(122,380)	-	2,659,954	(1.0)	122,380		

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

Department: Probation Department												
Programmatic Budget Information								Service Level Impact Information				
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	022	SB678 Program	1,267,581	1,089,614	1,359,352	-	(681,293)	678,059	(4.00)	568,296	Eliminate the three targeted gang intervention officers and their Supervising Probation Officer (SPO).	As a result of a reduction in State funding all Targeted Gang Intervention caseloads will be eliminated resulting in 120 gang affiliated offenders being redistributed to other caseloads based on risk and the availability of staffing resources. The elimination of the SPO will result in the redistribution of 7 staff to the remaining supervisors which will result in anticipated delays in completion of timely EPRs; less supervisory time and resources for staff training and development; and a delay in project completions. The Chief Probation Officers of California are working with State Government to restore this funding.
1A	022	REVISION					681,293	681,293	4.00	(568,296)	Change from previous SLR	The Governor's May revise budget includes a change in the formula which restored the funding necessary to maintain these positions.
2	022	Santa Barbara Receiving and Transportation Center	166,209	80,622	92,180	(92,180)	-		-	92,180	Eliminate transportation services at the Santa Barbara Receiving and Transportation Center.	Elimination of standby transportation staff will result in south county law enforcement agencies having to transport detained minors to Santa Maria for booking. South county law enforcement response times could be impacted by deputies transporting detainees to the Santa Maria Juvenile Hall. After hours transportation calls by the SBPD reduced from 148 in 2011 to 87 in 2012. After hour transportation calls by the Sheriff reduced from 67 in 2011 to 50 in 2012.
3	022	Santa Maria Juvenile Hall	10,234,471	9,944,926	10,703,140	(827,193)	-	9,875,947	(7.00)	-	Reduced the staffed capacity of the Santa Maria Juvenile Hall (SMJH) from 110 to 90.	The SMJH Average Daily Population (ADP) has been 84 during this fiscal year but has exceeded 90 two (2) of the first seven (7) months. Increased resources for alternatives to detention would ensure that 90 beds are sufficient for managing the population. The SMJH operates with a State mandated 1 staff to 10 minors ratio and three (3) additional staff per day, seven (7) days per week costing \$1,360 per day would be required if the population exceeds 90. Five (5) additional staff per day, seven (7) days per week costing \$2,265 per day would be required if the population exceeds 100.
<b>Total</b>			11,668,261	11,115,162	12,154,672	(919,373)	-	11,235,299	(7.00)	92,180		

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

Department: <i>Fire</i>												
Programmatic Budget Information						Service Level Impact Information						
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	031	Fire Station Operations & Response	37,414,769	36,323,606	40,948,324	-	(1,544,497)	39,403,827	(8.00)	1,544,497	Shut down Engine 11 in Goleta. Reduces Post Positions from 6 to 3, a loss of 8 FTEs (2 Captain, 3 Engineer and 3 Firefighter positions).	As a result of one-time funding that was not restored, the Fire Department again recommends shutting down Engine 11 eliminates a crucial response resource in the Goleta area (including the City of Goleta, UCSB, Highway 101, and major commercial, industrial and high density residential centers). Truck 11 is a regional resource, responding throughout the county. It would remain in operation. The reduction of the Engine 11 crew will result in longer response times and will reduce the regional sum of firefighters that arrive at larger incidents within a critical timeframe. The Board of Supervisors restored this service with onetime funding for FY 2012-13.
2	031	Fire Station Operations & Response	37,414,769	36,323,606	40,948,324	-	(411,307)	40,537,017	(2.00)	411,307	Reduce 3 Firefighter positions (1 Post) at Station 22 in Orcutt (unfund 2 Firefighter positions and move 1 to the Constant Staffing Pool).	Currently at Station 22 there are 4 post positions, meaning 4 on-duty firefighters staff the station every day of the year. The Firefighter post position would be unfilled, impacting compliance with the two-in/two-out rule & standard, causing a delay of interior attack on structure fires in the Santa Maria Valley. In addition, the ability to send the Water Tender to assist other County areas & still keep an ALS (paramedic) engine in service is reduced and the firefighter/paramedic cannot continue to treat patients enroute to the hospital without shutting down Engine 22. The Board of Supervisors restored this service with onetime funding for FY 2012-13.
<b>Total</b>			74,829,538	72,647,212	81,896,648	-	(1,955,804)	79,940,844	(10.00)	1,955,804		

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

Department:	Sheriff											
Programmatic Budget Information						Service Level Impact Information						
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	032	Custody Operations	51,438,977	53,368,892	44,465,830	(331,323)	-	44,134,507	(3.0)	331,323	Reduce Custody Deputy staffing by 3.0 FTE	Reduces level of sworn staffing in critical Custody functions. Without these positions, overtime and extra help staff will need to be utilized, or certain services within the Custody function will be reduced. The Board of Supervisors restored this service with onetime funding for FY 2012-13.
1A	032	REVISION				270,000		270,000	2.4	(270,000)	Change from Previous SLR	(Expansion - CEO Recommended GFC Ongoing). In the FY2012-13 budget hearings, the BOS gave the Sheriff 3 Custody Deputy positions for 6 months, funded with one time funds. This expansion funds the three positions at .80 FTE with ongoing GFC.
2	032	Custody Operations - Jail Medical (1071/6085)	4,587,059	4,893,471	4,906,644	(434,502)	-	4,472,142	(4.0)	434,502	Reduce Custody Deputy staffing by 4.0 FTE with a concurrent reduction in Jail capacity	The cost for pharmaceuticals has risen dramatically in the last few years. The budget requires an increase of \$250,000 from \$450,000 to \$700,000 to keep pace. In addition, the contracted medical service costs are going up 3.4%, or \$184,502. Since this service is a mandated expense for inmate care, the lack of adequate funding by a combined \$454,502 would require reducing the level of sworn staffing in critical Custody functions and a concurrent reduction in Jail capacity.
2A	032	REVISION				454,502		454,502	4.0	(454,502)	Change from Previous SLR	Recommended jail medical costs are now fully funded due to recent contract negotiations with vendor
3	032	Countywide Law Enforcement Aviation Support Unit (ASU) 1424/6044	1,997,369	2,192,503	2,387,047	(298,733)	-	2,088,314	-	298,733	Reduce Aviation Support Unit (ASU) budget to match FY 2012-13 Adopted	The cost to operate the air fleet in the Aviation Support Unit (ASU) needs to increase in FY 2013-14 to properly cover the cost of maintenance and fuel. Assuming 430 hours of flight time, an additional \$252,250 in maintenance costs and \$46,483 in fuel will be necessary. Without this budget expansion, flight hours will be reduced.
3A	032	REVISION				298,733		298,733	-	(298,733)	Change from Previous SLR	(Expansion - CEO Recommended GFC Ongoing). This adjustment would restore the County's Air Support Unit (ASU) to full funding necessary to operate and maintain the fleet. Any unspent maintenance funds would be set-aside into a maintenance Fund Balance Component at fiscal year-end.
<b>Total</b>			58,023,405	60,454,866	51,759,521	(41,323)	-	51,718,198	(0.6)	41,323		

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

Public Health												
Programmatic Budget Information						Service Level Impact Information						
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	041	EHS Ocean Monitoring	85,476	132,225	132,972	-	(58,533)	74,439	-	15,000	Reduce Ocean Water Monitoring from 12 months to the seven State mandated months.	Ocean water testing will not occur from November through March. No testing results will be available on-line for those who use the ocean in those months. Data base with water quality testing results over time will not have data for those months.
1A	041	REVISION					15,000	15,000		(15,000)	Change from previous SLR	Appropriation from unused Tobacco Settlement dollars have been identified in the amount of \$15,000 to cover the November through March testing.
2	041	Utilization Review and Medical Social Services	275,852	228,312	309,138	-	(154,158)	154,980	(1.5)	-	Reduce 3.0 FTE Medical Social Service Worker positions as of 12/31/13, with the implementation of the Affordable Care Act (ACA).	The current role for these positions includes time for managing patients' application processes for Medi-Cal and Social Security Disability benefits, especially for the Medically Indigent Adult (MIA) population. This activity will be largely eliminated with the implementation of the ACA. The residual work in this area will be reassigned to the existing Patient representatives in the department's health care centers. additionally, these positions handled case-coordination efforts that will be reassigned in the Patient Centered Medical Home model to a different class of employee.
3	041	Santa Maria Health Center	4,317,807	5,047,795	4,863,552	-	(161,524)	4,702,028	(1.0)	-	Reduce 1.0 FTE Health Care Practitioner in the Santa Maria Health Center	Patient visits to the PHD Health Centers have decreased 11% overall in the last three fiscal years. Assessment of current needs for clinician supply to meet estimated visit demand is less than the current clinician staffing in the Santa Maria Health Center Adult Medicine service. This reduction will better match staffing with demand and is not seen as impactful to patients currently established at the Center.
4	041	Santa Barbara Health Center	3,600,675	5,754,445	5,309,445	-	(75,854)	5,233,591	(0.3)	-	Reduce 0.3 FTE Staff	Patient visits to the PHD Health Centers have decreased 11% overall in the last three fiscal years. Assessment of current needs for clinician supply to meet estimated visit demand is less than the current clinician staffing in the Santa Barbara Health Center Adult Medicine service. This reduction will better match staffing with demand and is not seen as impactful to patients currently established at the Center.
5	041	Health Information Management (consolidated as part of the Health Care Center Operations)	39,240,930	38,802,664	37,181,594	-	(339,722)	36,841,872	(5.0)	-	Reduce 5.0 FTE AOP/II staffing in Health Information Management (medical records consolidated as part of the Health Care Centers)	The implementation of the Department's Electronic Health Record has greatly automated the previously manual Health Information Management ( HIM - Medical Records) processing. A first phase of HIM staffing reductions were made at the beginning of the 2012-13 fiscal year while some manual chart pulls and manual processes remained. With full implementation of the system, these tasks have been more fully automated and more reductions can be made.
6	041	Santa Maria Women's Center	3,998,069	3,537,635	3,707,793	-	(868,321)	2,839,472	(7.0)	-	Consolidate the Santa Maria Women's Center with the primary care practice located at the Betteravia County Government Center.	Relocating the women's services back to our primary health care center location at the Betteravia Center will create significant efficiencies and cost savings with no impact to service levels. Services will be located closer to other complementary County services, such as WIC and the Department of Social Services.

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

<b>Public Health</b>												
<b>Programmatic Budget Information</b>											<b>Service Level Impact Information</b>	
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
	041	Efficiencies	768,742	768,742	-	-	(768,742)	(768,742)	-	-	Implement efficiencies	Efficiency savings from aligning services with anticipated reduced level of patient visits and post Affordable Care Act system changes
<b>Total</b>			52,287,551	54,271,818	51,504,494	-	(2,411,854)	49,092,640	(14.8)	-		

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

Department: <i>Alcohol Drug and Mental Health Services</i>												
Programmatic Budget Information						Service Level Impact Information						
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	043	Mental Health Inpatient Services	2,043,515	2,709,078	2,043,515	(216,000)	-	1,827,515		216,000	Reduce number of inpatient contracted acute and long term beds.	The prior year Board expansion of \$216,000 was, in part, to address a temporary increase in inpatient beds needed due to certain audit findings. Bed utilization at the PHF has now improved and is near capacity; however, due to a rise in admissions and increased State charges for State Hospital beds, this expansion of \$216,000 is again being requested.
2	043	Juvenile Justice	1,435,027	1,341,442	1,517,885	-	(1,517,885)	-	(8.30)	-	Eliminate the Juvenile Justice program.	Probation is recommending contracting out the mental health services currently provided by the Juvenile Justice program in ADMHS. It will also require Probation to contract directly with mental health providers who can provide court ordered evaluations.
2A	043								(2.60)			This is a correction. The entire program is actually 10.9 FTE not 8.3 FTE.
		Non SLR, Info Only					1,517,885					Item #2 is not a Service Level Reduction. Intent is for information only.
<b>Total</b>			3,478,542	4,050,520	3,561,400	(216,000)	-	1,827,515	(10.90)	216,000		

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

Department: <i>Agriculture Commissioner/Weights and Measures</i>												
Programmatic Budget Information						Service Level Impact Information						
Dept. Priority	Dept #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	051	Agriculture	3,069,032	3,192,872	3,164,850	(26,578)	(62,014)	3,076,258	(1.00)	88,592	Eliminate funding for one Agricultural Biologist inspector position	This position was the result of prior year one time funding that was approved by the Board at the June 2012 Budget Hearings. This position is comprised of .8 FTE in the Pesticide Use Enforcement program and .2 FTE in the Pest Prevention program. Elimination of this position will result in the reduction of services to the community and the potential loss of revenue to the Department from state unclaimed gas tax.
1A	051	REVISION				26,578	62,014	88,592	1.00	(88,592)	Change from Previous SLR	The department has identified ongoing funding for this position
2	051	UC Cooperative Extension	153,000	153,000	153,000	(153,000)	-	-	-	153,000	Eliminate funding for the contract with UC for their Cooperative Extension services	Elimination of this contract will result in the loss of the services of the Farm Advisors that work in the areas of Strawberries, Specialty Crops, Nursery Products, and the 4H Program. This program was funded with one time funding that no longer exists.
3	051	USFW Contract	100,000	100,000	147,878	(47,878)	-	100,000	-	47,878	Eliminate funding for Wildlife Services contract at current level	This will result in the elimination of services in the area of the response to urban wildlife conflicts. Mitigations for this impact include providing urban residents with information designed to help minimize wildlife conflicts, and directing the public to services provided by private companies. The program will continue to provide services to the agricultural industry to mitigate the impacts of wildlife on agriculture.
<b>Total</b>			3,322,032	3,445,872	3,465,728	(200,878)	-	3,264,850	-	200,878		
applied to the above or other GFC requests documented as departmental expansions/restorations												

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

Department: <i>Planning &amp; Development</i>												
Programmatic Budget Information						Service Level Impact Information						
Dept. Priority	Dept. #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	053	General Plan Amendments	278,250	453,385	578,988	(40,181)	-	538,807	(0.22)	40,181	Reduce 0.22 of a Planner II position in Long Range Planning Division	No work would be conducted towards implementation of the Climate Action Plan. Implementation actions would include some combination of ordinance development, Comprehensive Plan updates, rezones, permit process streamlining, and dissemination of public information on energy efficiency. Without adoption of implementation actions, the County would likely not meet the 15% green house gas reduction target. Further, the ability to use the Climate Action Plan for CEQA programmatic mitigation may be challenged, with the Plan no longer being acceptable and thereby requiring project specific mitigation.
2	053	Community Plans	1,243,875	1,150,998	999,025	(66,111)	-	932,914	(0.28)	66,111	Reduce 0.28 of a Planner II position in Long Range Planning Division and eliminate \$20,000 of funding for contracts	This reduction would eliminate P&D staff from assisting Public Works on the Hollister Avenue-State Street Improvements project study report that is analyzing circulation improvements. The reduction would also cease any progress on the Streetscape Plan portion of this project. The improvement of this corridor is an implementation item of the Goleta Community Plan and is intended to reduce congestion and enhance economic development by creating an attractive business district. This would also support the proposed mixed-use zone district in the draft Eastern Goleta Valley Community Plan.
3	053	General Plan Amendments	278,250	453,385	578,988	(39,044)	-	539,944	(0.20)	39,044	Reduce 0.20 of a Planner II position in Long Range Planning Division	This reduction would result in no work being performed on the implementation programs of the 2009-2014 Housing Element. The Element commits the County to amend Article II to provide up to a 35% state bonus density, by November 2014. The Element also commits the County to adopt zoning revisions to provide administrative relief for housing projects that accommodate persons with disabilities, by November 2015. The lack of action on these two programs could be seen as the County not meeting the conditions of certification from State HCD regarding the Housing Element.
<b>Total</b>			1,800,375	2,057,768	2,157,001	(145,336)	-	2,011,665	(0.70)	145,336.00		
<p><b>NOTE:</b> The Department has generated savings of \$200,000 in FY 2012-13 that can be applied to the above or other GFC requests as documented in the Departmental Expansions/Restorations.</p>												

**Updated Fiscal Year 2013-2014 Recommended Budget  
Expected Service Level Reductions**

Community Services Department												
Programmatic Budget Information						Service Level Impact Information						
Dept Priority	Dept #	Program Title (Total Prog Costs)	FY 2012-13 Adopted Budget	FY 2012-13 Estimated Actual	FY 2013-14 Status Quo Budget	FY 2013-14 reduction from Status Quo Bdgt		FY 2013-14 Requested Budget	FTE Impact	Ongoing GFC Cost to Restore	Requested Action	Service Level Impacts and Proposed Mitigations as submitted by Departments
						GFC	Non-GFC					
1	057	Advertising Resources GFC pass-through	195,000	195,000	195,000	(195,000)	-	-	-	195,000	Eliminate General Fund Contributions to 14 regional conference and visitors bureaus	As a result of one-time funding that was not restored, this reduction in advertising resources contributions to the 14 regional conference and visitors bureaus will result in a reduction of external advertising, tourism promotion and business attraction to the 14 visitor bureaus and conference centers across Santa Barbara County. The Board of Supervisors restored this service with onetime funding for FY 2012-13.
2	057	Shelter Services GFC pass-through	345,000	345,000	345,000	(45,000)	-	300,000	-	45,000	Reduce contribution to shelters	This reduction will reduce funds available for shelter services Countywide. The Board of Supervisors restored this service with onetime funding for FY 2012-13.
3	057	Orcutt Maintenance	87,500	87,500	129,500	(42,000)	-	87,500	-	42,000	Reduce Orcutt Park landscaping expenses that are currently provided by the developer	A reduction of landscape services to the park will be required to accommodate this \$42,000 cost increase. Landscape expenses that are currently provided by the Orcutt Community Park developer will be transferred beginning FY 2013-14. The FY 2012 -13 Adopted Budget only contains expenditures related to water and Ranger services. The Orcutt Community Facilities District (CFD) currently does not receive adequate Special Tax Assessment revenue for these park landscaping maintenance costs. The CFD currently provides \$27,800/yr. toward the maintenance of Orcutt Community Park.
<b>Total</b>			627,500	627,500	669,500	(282,000)	-	387,500	-	282,000		

## 06 General Fund Contribution Requests-All Depts (2013-14)

Dept / Priority	Requested GFC	FTEs	Purpose
<b>County Executive Office</b>			
1	250,000	0.00	This adjustment budgets \$250,000 in the County Executive Office for a development fee study by outside consultants.
<b>County Counsel</b>			
2	123,000	1.00	(6-12-2013 Addendum) This adjustment will provide legal support to Litigation and General Fund departments specifically CSD, P&D and Sheriff. Approving this adjustment will minimize the impact and level of service to these departments.
<b>Probation</b>			
2	268,894	2.00	This adjustment restores 2.0 of the 7.0 Juvenile Insitutions Officers eliminated due a reduction of 20 staffed beds at the juvenile hall. These staff will enhance existing alternatives to detention programs.
<b>Fire</b>			
1	1,544,497	8.00	This adjustment restores staffing for Engine 11 in Goleta (8 FTEs). For FY 2012-13 this service was restored with onetime funding as a result of the 2012 firefighter concessions that provided one year of cost savings rather than ongoing cost savings.
2	411,307	2.00	This adjustment restores staffing for the 4th Firefighter post position (2 FTEs) at Station 22 in Orcutt. For FY 2012-13 this service was restored with onetime funding as a result of the 2012 firefighter concessions that provided one year of cost savings rather than ongoing cost savings.
Dept Totals	1,955,804	10.00	
<b>Sheriff</b>			
5	240,678	1.85	This adjustment requests the restoration of funding for positions that were previously funded by contract cities.
<b>Alcohol, Drug, &amp; Mental Hlth Svcs</b>			
1	216,000	0.00	(6-12-2013 Addendum) This adjustment restores full funding for contracted psychiatric inpatient beds at State hospitals. Funding is from General Fund.
<b>Agricultural Commissioner/W&amp;M</b>			
2	153,000	0.00	This adjustment will fund the contract for services provided by UC Cooperative Extension. The services include Farm Advisors in the areas of strawberries, specialty crops, nursery products, and the 4H Program.
<b>Parks</b>			
2	36,400	0.50	This request would provide additional Information Technology (IT) support throughout the entire department. In order for the department to provide appropriate public information and communicate programs & services to the community, the department relies heavily on IT to support these services.
3	80,000	1.00	This adjustment is necessary for the Parks Division to restore funding of an Administrative Office Professional position. This position will assist the public in making reservations for group and day use areas.

## 06 General Fund Contribution Requests-All Depts (2013-14)

Dept / Priority	Requested GFC	FTEs	Purpose
<b>Parks</b>			
4	42,000	0.00	This adjustment will provide the necessary funding for the County to take over the maintenance of the Orcutt Community Park maintenance as required by the the Orcutt Community Plan.
5	48,800	0.00	This adjustment restores Sheriff public safety patrol services at Cachuma and Jalama camping parks from 3 weekends a year to 16.
Dept Totals	207,200	1.50	
<b>Planning &amp; Development</b>			
6	66,098	0.00	This adjustment increases Long Range Planning staffing by 0.28 FTE to work on the Hollister Ave/State St Streetscape Improvements project.
7	324,000	0.00	This adjustment increases Long Range Planning staffing by 1.18 FTE to work on the Climate Change Adaptation Plan.
8	83,000	0.00	This adjustment increases Long Range Planning staffing by 0.47 FTE to work on the Montecito Design Guidelines and Development Standards project.
9	67,800	0.00	This adjustment increases Long Range Planning staffing by 0.33 FTE to work on the Santa Ynez Valley Transportation Improvement Plan project.
10	92,800	0.00	This adjustment increases Long Range Planning staffing by 0.51 FTE to work on the Santa Ynez and Los Olivos Township Design Guidelines project.
11	273,098	0.00	This adjustment increases Long Range Planning staffing by 0.71 FTE to work on the Energy and Climate Action Implementation project.
12	179,500	0.00	This adjustment increases Long Range Planning staffing by 0.84 FTE to work on the Special Events Ordinance project.
13	77,500	0.00	This adjustment increases Long Range Planning staffing by 0.32 FTE to work on the Montecito Wireless Telecommunications Facilities Master Plan project.
Dept Totals	1,163,796	0.00	
<b>Public Works</b>			
1	9,000,000	0.00	This adjustment will provide additional funding to the RoadMAP for shortfall of the deferred capital maintenance required annually to maintain the PCI level of the County's Road System which has a \$250 million deficit.
3	106,100	1.00	This adjustment will add a Survey Specialist that would allow the Surveyor's Office to meet mandates to return reviews of Records of Survey and Corner Records within 20 business days, as well as improved timing of developments for tax revenues.
Dept Totals	9,106,100	1.00	
<b>Housing/Community Development</b>			
2	105,000	1.00	This adjustment from the Housing and Community Development Division will add funding and FTE for a Housing Specialist. The Housing Specialist will perform on-site monitoring of capital project sites and service delivery sites.

## 06 General Fund Contribution Requests-All Depts (2013-14)

Dept / Priority	Requested GFC	FTEs	Purpose
<b>Housing/Community Development</b>			
3	105,000	1.00	This adjustment from the Housing and Community Development Division will add funding and FTE for a Housing Specialist. This Housing Specialist will assist in implementing Homeless Management Information System (HMIS), train agencies, and assist in oversight of Continuum of Care program.
4	25,000	0.00	This adjustment from the Housing and Community Development Division is necessary to continue its engagement with Urban Futures for continued development of monitoring of affordable units within the County. This is a one year request for funding.
5	119,000	1.00	This adjustment is from the Housing and Community Development Division for a Cost Analyst. This analyst position will assist in maintaining appropriate financial records as required by HUD and will be key to reducing risk to the County.
6	45,000	0.00	This adjustment will allocate an additional \$45,000 for support of the homeless shelter operations in Santa Barbara County, for a total of \$345,000.
7	195,000	0.00	This adjustment reflects a restoration of FY 2012-13 one-time allocation of \$195,000 for conference and visitors' bureaus and film commissions within Santa Barbara County.
Dept Totals	594,000	3.00	
<b>Treasurer-Tax Collector-Public</b>			
1	149,183	1.00	This adjustment provides funding for one Financial Systems Analyst \$149,183 for the new Property Tax billing system. This position was funded with General Fund contingencies in FY 2012-13.
<b>General County Programs</b>			
3	530,000	0.00	This adjustment appropriates \$530,000 to the Salary & Retirement Offset Fund Balance account from a yet to be determined source, to help alleviate compaction issues (\$205,000) and a 3% increase for managers not receiving salary increases after January 2008 (\$325,000).
Grand Totals	14,957,655	21.35	