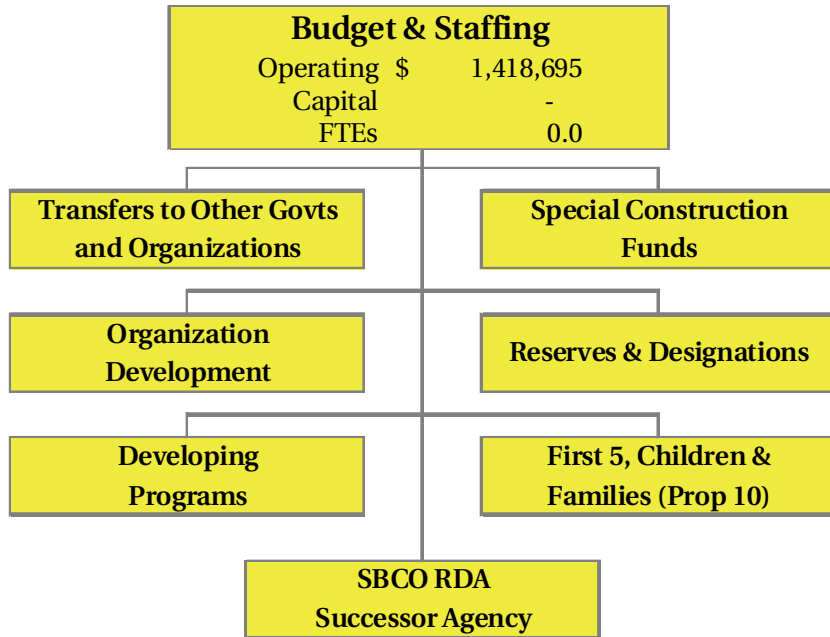
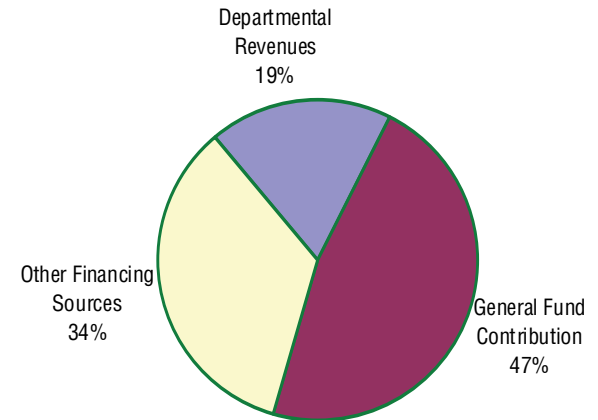


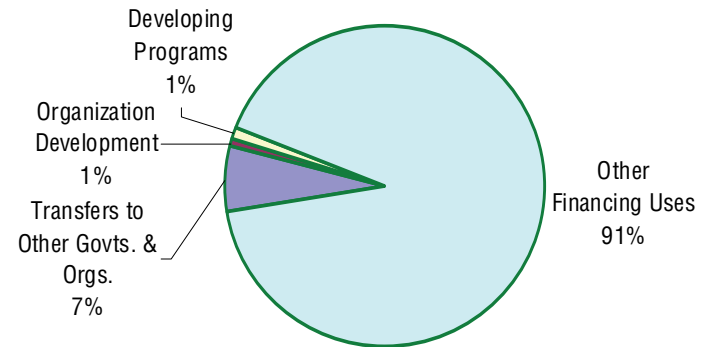
# GENERAL COUNTY PROGRAMS



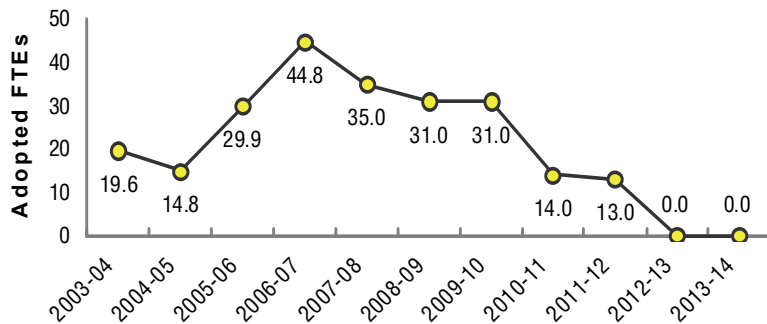
## SOURCE OF FUNDS



## USE OF FUNDS



## STAFFING TREND



## GENERAL COUNTY PROGRAMS

### Department Summary

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
<b>Use of Funds Summary</b>				
<i>Operating Expenditures</i>				
Transfers to Other Govts. & Orgs.	\$ 5,822,906	\$ 3,847,981	\$ 1,115,188	\$ 1,116,460
Organization Development	124,203	75,500	135,500	85,000
Developing Programs	168,000	165,050	190,050	86,050
Children & Families First (Prop 10)	5,228,071	4,901,832	--	--
Operating Sub-Total	11,343,180	8,990,363	1,440,738	1,287,510
Less: Intra-County Revenues	(147,619)	--	(22,043)	(19,592)
Expenditure Total	11,195,561	8,990,363	1,418,695	1,267,918
<i>Other Financing Uses</i>				
Operating Transfers	14,282,841	12,548,075	8,515,627	4,567,096
Designated for Future Uses	26,962,316	11,501,001	6,568,286	7,857,476
Department Total	\$ 52,440,718	\$ 33,039,439	\$ 16,502,608	\$ 13,692,490

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
<b>Character of Expenditures</b>				
<i>Operating Expenditures</i>				
Regular Salaries	\$ 1,060,916	\$ 1,006,496	\$ --	\$ --
Overtime	1,791	500	--	--
Extra Help	26,169	--	--	--
Benefits	472,247	507,209	60,000	60,000
Salaries & Benefits Sub-Total	1,561,123	1,514,205	60,000	60,000
Services & Supplies	8,011,396	7,208,158	1,091,638	1,042,310
Contributions	1,770,661	268,000	289,100	185,200
Operating Sub-Total	11,343,180	8,990,363	1,440,738	1,287,510
Less: Intra-County Revenues	(147,619)	--	(22,043)	(19,592)
Expenditure Total	\$ 11,195,561	\$ 8,990,363	\$ 1,418,695	\$ 1,267,918

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
<b>Source of Funds Summary</b>				
<i>Departmental Revenues</i>				
Interest	\$ 98,216	\$ 93,182	\$ 5,000	\$ 2,000
Federal & State Revenues	4,734,866	4,514,033	419,288	419,288
Other Charges for Services	144,159	--	--	--
Miscellaneous Revenue	3,105,792	2,737,405	2,655,098	2,662,931
Revenue Sub-Total	8,083,033	7,344,620	3,079,386	3,084,219
Less: Intra-County Revenues	(147,619)	--	(22,043)	(19,592)
Revenue Total	7,935,414	7,344,620	3,057,343	3,064,627
General Fund Contribution	18,242,946	15,103,289	7,758,507	9,156,726
<i>Other Financing Sources</i>				
Operating Transfers	3,213,207	1,167,886	1,384,887	1,387,087
Use of Prior Fund Balances	23,049,151	9,423,644	4,301,871	84,050
Department Total	\$ 52,440,718	\$ 33,039,439	\$ 16,502,608	\$ 13,692,490

Note: The Proposed 2013-14 "General Fund Contribution" amount of \$9,156,726 displayed in the summary table above includes a projected General Fund Contribution of \$9,037,354 and a projected budget gap of \$119,372.

	Actual FY 10-11	Adopted FY 11-12	Recommended FY 12-13	Proposed FY 13-14
<b>FTE Summary</b>				
<i>Permanent</i>				
Children & Families First (Prop 10)	13.7	13.0	--	--
Total Permanent	13.7	13.0	--	--
<i>Non-Permanent</i>				
Extra Help	0.7	--	--	--
Total FTEs	14.4	13.0	--	--

The employees, revenue and expenses of First 5, Children & Families operating unit are included in the General County Programs Department for FY 2011-12; however, in FY 2012-13, this unit became an independent department and is now included in the Health and Public Assistance Functional Group. This change accounts for the employees on the Staffing Trend Chart being reduced from 13 FTEs in FY 2011-12 to zero in FY 2012-13.

## MISSION STATEMENT

Deliver County services in accordance with the Board of Supervisors' strategic goals, operational priorities, and budgeted resources.

### Department Description

The General County Programs budget contains those programs and projects which are not directly associated with one specific department. Programs may move into or out of General County Programs from other departments as they become established and a more appropriate departmental structure is identified. These General County Programs currently include:

- Transfers from the County to Other Governments and Organizations (previously included LAFCO, Montecito Fire Westmont Annexation. For FY 2012-13, this program is primarily the Children's Health Initiative, \$1 million)
- Special Construction Funds (includes funding of Criminal Justice facilities and Courthouse construction funds. These are included in the table of Operating Transfers in this section)
- Organization Development (includes Board support, Gang Task Force support and general administration)
- Reserves and Designations (see table of Key Discretionary Fund Balance Components)
- Developing Programs (Public and Educational Access)
- Santa Barbara County Redevelopment Successor Agency (see divisional financial statements and narrative)
- First 5 Children and Families Commission (Prop 10):
  - The employees, revenue and expenses of First 5, Children & Families operating unit are included in the General County Programs Department for FY 2011-12; however, in FY 2012-13, this unit became an independent department and is now included in the Health and Public Assistance Functional Group. This change accounts for the employees on the Staffing Trend Chart being reduced from 13 FTEs in FY 2011-12 to zero in FY 2012-13.

Another change to the General County Programs Department in FY 2012-13 is that the oversight and administration of the County funding provided to the cities for library services will move to the new Community Services Department.

## GENERAL COUNTY PROGRAMS

### Department Summary (cont'd)

#### 2011-12 Anticipated Accomplishments

- Began setting aside funding for future jail operations of \$1 million per Board adopted policy established during budget hearings. The amount set aside each fiscal year will increase so that by the time the construction of the new jail has been completed, funds to pay for ongoing operations will be in place.
- Maintained the existing level of funding of \$1 million for the multi-year effort to provide health insurance to uninsured children in Santa Barbara County.
- Working with the City of Santa Maria and using development fees, began remodel efforts at the Orcutt Library.
- Transitioned the oversight and administration of the County funding provided to the cities for library services to the Community Services Department. This includes the calculation and update to the County Service Area 3 (Goleta Library) library special tax, the payments for the Orcutt Library remodel project and payments for ongoing library services.
- Calculated and prepared transfers of General Fund Contribution (GFC) to Special Revenue departments (Public Works-Roads, Public Works-Cleanwater, Court Special Services, Public Health, Social Services and Alcohol, Drug and Mental Health Services [ADMHS]).

#### 2012-14 Objectives

- Continue to fund the north county jail operational set-aside; an additional \$2 million is included in FY 2012-13.
- Establish the necessary budget to fund costs associated with the dissolution of the former Redevelopment Agency (RDA) and the Successor Agency to the Isla Vista RDA.
- Fund necessary capital/infrastructure projects based on identified needs, priorities and available resources.
- Retain a portion of FY 2011-12 concession savings for anticipated FY 2013-14 funding needs.
- Continue to build the County's Strategic Reserve.

**GENERAL COUNTY PROGRAMS**

**Department Summary (cont'd)**

**Operating Transfers Detail**

Operating Transfers move monies from the fund receiving revenue to the fund where the expenditure will be made. Operating Transfers from this department, as shown in the Use of Funds Summary on the previous page, are also shown in the table below:

<b>Amount</b>	<b>From/To</b>	<b>For</b>
\$ 1,189,663	General Fund to Debt Service Fund	General Fund Debt
1,382,487	IV RDA Successor Agency to Debt Service Fund	Successor Agency Debt
4,116,821	General Fund to ADMHS	Additional contribution
1,019,861	Criminal Justice Facilities Fund to General, Capital, and Debt Funds	District Attorney building, Juvenile Hall construction costs (payment for 2001 COPs)
<u>806,795</u>	Courthouse Construction Fund to Capital and Debt Service Funds	Santa Maria Courthouse, Juvenile Court expansion costs
<b>\$ 8,515,627</b>	<b>Total All Funds</b>	

For example, the first item in the table is a \$1,190,000 transfer from the General Fund to the Debt Service Fund. This is the amount of General Fund contribution required to amortize certificates of participation (COPs) in FY 2012-13.

**Changes and Operational Impact: 2011-12 Adopted to 2012-13 Recommended**

Staffing:

- There will be a reduction of 13.0 FTEs from the 2011-12 Adopted budget to the 2012-13 Recommended budget. This reduction is due to First 5, Children & Families, moving from General County Programs to form a new department. In 2012-13, there will be no staff remaining in General County Programs.

Expenditures:

Net decrease of \$7,550,000. This 84% decrease is the result of:

- First 5, Children and Families – decrease of \$4,902,000 due to First 5, Children and Families, becoming a department and moving from General County Programs.
- Libraries – decrease of \$2,729,000 due to Libraries moving from General County Programs to Community Services.
- +\$81,000 – other miscellaneous increases to salaries & benefits and services & supplies.

Revenue:

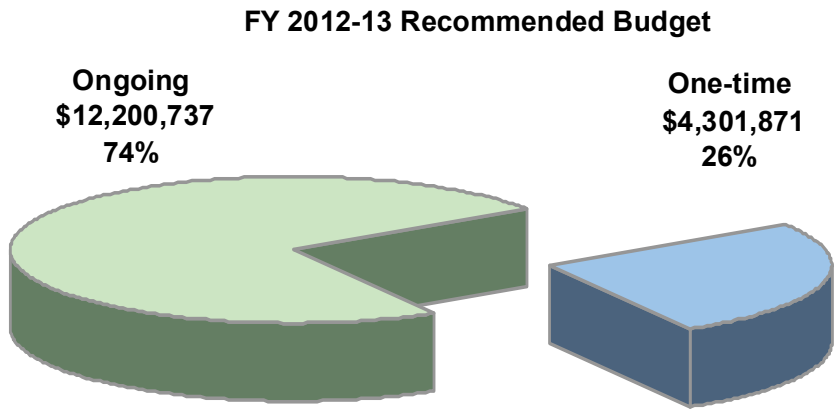
Net decrease of \$4,265,000. This 58% decrease is the result of:

- First 5, Children and Families – decrease of \$4,542,000 due to First 5, Children and Families, moving from General County Program and becoming a new department.
- +\$433,000 – increase for Greka Settlement funds.
- -\$160,000 – decrease to forfeitures and penalties for Courthouse and Criminal Justice Construction funds due to fines being waived or reduced by the Courts.

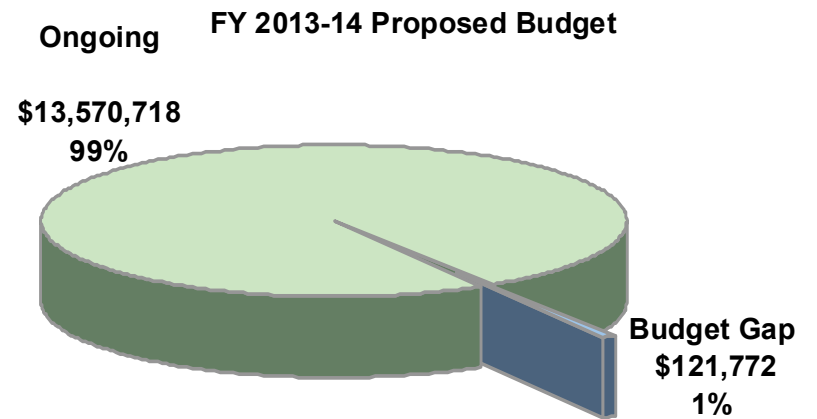
**Changes and Operational Impact: 2012-13 Recommended to 2013-14 Proposed**

The \$153,000 decrease in expenditures is primarily the result of reductions to funding for the Public and Educational Access program (-\$104,000) and the South Coast Gang Task Force (-\$50,000).

**GENERAL COUNTY PROGRAMS**  
 Department Summary (cont'd)



The FY 2012-13 Recommended Budget relies on one-time sources to fund 26% of the department's ongoing operations. These funds include \$4,116,821 from the Audit Exceptions Designation and \$185,050 from the Public Education and Government Access Designation. These funds allow the department to maintain a higher level of service than would otherwise be possible; however, because these funds are one-time in nature, they will not be available to fund operations in FY 2013-14.



To maintain FY 2012-13 service levels, it is estimated that \$13.7 million of funding will be required in FY 2013-14. Of this amount, it is projected that \$13.6 million will be available through ongoing sources (including \$9.0 million in General Fund Contribution). An additional \$122,000 must be identified to prevent the need for service level reductions.

## GENERAL COUNTY PROGRAMS

### Department Summary (cont'd)

The information below describes the designations on the following page:

**Capital:** Provides one-time funds to support unexpected and unbudgeted capital projects that arise during the fiscal years. A recent example includes the Goleta Beach Sewer Lift Station.

**Roads:** This designation supplements other Roads revenues for additional maintenance. The recommended \$500,000 funding, in addition to capital and deferred maintenance funding, achieves the Board's adopted budget principle. The Road funds are used for unbudgeted, high-profile maintenance projects that help prevent further declines in the County's pavement management index.

**Litigation:** This contains funds for potential litigation settlements not covered by the County Liability Self-Insurance Fund, giving the County the ability to address unforeseen settlements without negatively impacting the adopted Operating Budget.

**Salary and Benefits Reductions:** Contains savings set aside from FY 2011-12 salary concession savings which are anticipated to be needed to balance FY 2013-14.

**Deferred Maintenance & Repair:** Designated for the backlog of deferred maintenance and repairs at County buildings and parks.

**Audit Exceptions:** This reserve was originally established in FY 2007-08 to address potential audit exceptions in the Alcohol, Drug and Mental Health Services (ADMHS) department associated with Cost Report Settlements and subsequently was increased to include the non-General Fund portion of the Multi-agency Integrated System of Care (MISC) program. The balance of \$3,914,000 at June 30, 2012 represents the remaining reserve for these estimated prior liabilities, primarily covering FY 2002-03 through FY 2008-09. The Strategic Reserve has been identified as the source for the General Fund portion of the MISC liability, currently estimated at \$2,800,000. The County appealed elements of these audit findings for fiscal years 2002-03 through 2005-06. On April 3, 2012, the County completed an agreement with the State Department of Mental Health to settle these appealed matters for fiscal years 2002-03 through 2005-06 for approximately \$4.8 million. Because these fiscal years had already been paid by the County, the State will be refunding the settlement amount to the County. ADMHS is subject to audit and potential adjustments for FY 2006-07 through the most recently completed fiscal year, as these audits have not yet occurred.

**New Jail Operations:** A new fund was established in FY 2011-12, designated to set aside funding for the ongoing operations of the new jail, estimated to complete construction in 2017. Each year an increased amount will be set aside so that when the jail is operational, the ongoing cost of operations will be fully funded.

**Program Restoration:** Designated to fund departmental programs which are a priority for the Board of Supervisors that would otherwise be reduced or eliminated.

**Contingency:** Used to cover additional unforeseen financial situations during the fiscal year that cannot be covered by a department's existing budget.

**Strategic Reserve:** In FY 1997-98 the Board established a goal of a \$25 million Strategic Reserve. The FY 2011-12 year end Strategic Reserve balance is projected to be \$22 million. This balance will be adjusted based on the FY 2011-12 year end results.

See separate document: Key Discretionary Fund Balance Components Detail schedule on following page.

**GENERAL COUNTY PROGRAMS**  
**Discretionary Fund Balance Components**

<b>General Fund Key Discretionary Fund Balance Components Detail</b>							
Designation	7-1-2011 Beginning Balance	2011-2012 Estimated Changes	2011-2012 Estimated Changes (Detail)	6-30-2012 Estimated Balance	2012-2013 Recommended Changes	2012-2013 Recommended Changes (Detail)	6-30-2013 Projected Balance
Capital Outlay	\$ 174,825	\$ 230,580	(30,000) GCP - Release Capital Outlay (550,000) Parks - Goleta Beach Sewer Station 310,580 GCP - Increase to Capital Outlay 500,000 GFC per Budget Development Policies	\$ 405,405	\$ 500,000	500,000 GFC per Budget Development Policies	\$ 905,405
Road Projects	\$ -	\$ 98,465	500,000 GFC per Budget Development Policies (401,535) PW - Roads Projects	\$ 98,465	\$ 500,000	500,000 GFC per Budget Development Policies	\$ 598,465
Litigation	\$ 2,108,439	\$ (396,400)	(396,400) CoCo - Outside Counsel Fees	\$ 1,712,039	\$ (500,000)	(500,000) CoCo - Outside Counsel Fees	\$ 1,212,039
Salary & Benefits Reductions	\$ 24,072	\$ 6,131,302	7,731,302 Countywide concession savings (182,163) DA - Maintain services (759,013) Fire - Helicopter Operations (151,803) TTC - Pro Pay (273,245) Sheriff - SM Jail (233,776) Probation - Drug Court	\$ 6,155,374	\$ -	-	\$ 6,155,374
Deferred Maintenance	\$ 883,588	\$ 1,000,000	(1,500,000) GS - maintenance & repair projects (500,000) Parks - maintenance & repair projects 3,000,000 GFC - per Budget Principles	\$ 1,883,588	\$ 855,220	(1,500,000) GS - maintenance & repair projects (500,000) Parks - maintenance & repair projects 2,855,220 GFC per Budget Development Policies	\$ 2,738,809
Audit Exceptions	\$ 10,200,239	\$ (6,286,310)	(6,286,310) ADMHS liability	\$ 3,913,929	\$ (4,116,821)	(4,116,821) ADMHS liability	\$ (202,892)
New Jail Operations	\$ -	\$ 1,000,000	1,000,000 GFC - per Budget Principles	\$ 1,000,000	\$ 2,000,000	2,000,000 GFC - per Budget Principles	\$ 3,000,000
Program Restoration	\$ 1,390,811	\$ (1,207,317)	1,599,978 Departmental salary & benefits concessions savings 1,240,000 GCP - from various sources 266,000 Debt Service - savings on TRAN (60,000) CEO - Legislative Committee (240,000) DA - maintain services (1,000,000) Fire - Helicopter Operations (1,368,295) Sheriff, SM jail/operations (1,250,000) CSD - HS Com, Warming Shelter, Film Com (200,000) TTC - ProPay (45,000) DSS - Foster Care (150,000) GCP - Children's Health Initiative	\$ 183,494	\$ -	-	\$ 183,494
Contingencies	\$ 826,121	\$ 465,300	500,300 GFC - per Budget Principles (35,000) GCP - Orcutt Library	\$ 1,291,421	\$ 500,000	500,000 GFC per Budget Development Policies	\$ 1,791,421
Strategic Reserve	\$ 19,445,278	\$ 2,381,966	400,000 Fire - General Fund refund 4,338,980 GCP - Prior year fund balance (403,307) Prbtn - maintain service levels (636,107) Sheriff - maintain service levels (1,317,600) DA - maintain service levels	\$ 21,827,244	\$ -	Note: Potential GF portion of MISC liability is \$7.8M.	\$ 21,827,244
<b>TOTAL</b>	<b>\$ 35,053,373</b>	<b>\$ 3,417,586</b>	<b>\$ 3,417,586</b>	<b>\$ 38,470,959</b>	<b>\$ (261,601)</b>	<b>\$ (261,601)</b>	<b>\$ 38,209,358</b>