

County of Santa Barbara

2016-2017

Consolidated Annual Performance & Evaluation Report

(CAPER)



Santa Barbara Urban County Partnership

Santa Barbara County HOME Consortium

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The County made efficient use of the federal funds in Program Year (PY) 2016. The County Housing and Community Development Division released a Notice of Funding Availability (NOFA) in November 2015 to solicit applications from non-profit service providers and developers interested in utilizing federal and local funds in PY 2016 to serve the community, the majority of which are low-income residents.

A wide range of projects were funded and completed throughout the year to address the goals and priorities outlined in the County's 2016-17 Action Plan and 5-year Strategic Plan. HOME funds were used to expand the supply of affordable housing with the completion and occupancy of Solvang Senior Apartments as well as Freedom House in Orcutt, and HOME Tenant Based Rental Assistance programs were administered throughout the County to help low-income tenants, and those at risk of homelessness, access existing rental units which they might not otherwise been able to afford. CDBG funds were awarded for infrastructure improvements, such as the installation of an American with Disabilities (ADA)-compliant sidewalk and curb cuts providing a much-needed walkway between low income neighborhood and a thriving downtown area. CDBG funds also were awarded to the Isla Vista Children's Center for much needed improvements, Richardson Park to help improved recreation in an underserved community, and St Vincent's Gardens to update their fire prevention system. CDBG Public Service funds assisted various public-service agencies throughout the County with funds to deliver new and continuing services, such as the Santa Ynez Valley People Helping People organization which provides supportive services at Family and Senior Resource Centers (FRC) located in the Solvang, Buellton, Santa Ynez, Buellton, and Los Alamos areas. CDBG funds were used to promote economic development by providing training and technical assistance to aspiring entrepreneurs on how to successfully open and operate micro-enterprise businesses.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Assist Homeless and At-Risk of Homeless	Homeless	CDBG: \$ / ESG: \$131918	Homeless Person Overnight Shelter	Persons Assisted	1705	3546	207.98%	2232	1399	62.68%
Assist Homeless and At-Risk of Homeless	Homeless	CDBG: \$ / ESG: \$131918	Homelessness Prevention	Persons Assisted	0	0		1129	12	1.06%
Assist Non-Homeless Special Needs Populations	Non-Homeless Special Needs	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0				
Assist Non-Homeless Special Needs Populations	Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	22937	150454	655.94%	12596	23734	188.42%
Assist Non-Homeless Special Needs Populations	Non-Homeless Special Needs	CDBG: \$	Homeless Person Overnight Shelter	Persons Assisted	0	0				
Assist Non-Homeless Special Needs Populations	Non-Homeless Special Needs	CDBG: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	0	0				

Build Community Infrastructure & Service Capacity	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	91626	178579	194.90%	13915	980	7.04%
Build Community Infrastructure & Service Capacity	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	20	5	25.00%			
Build Community Infrastructure & Service Capacity	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0				
Build Community Infrastructure & Service Capacity	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	0	0				
Build Community Infrastructure & Service Capacity	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	100	77	77.00%			

Expand Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0				
Expand Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	0	1		0	6	
Expand Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	75	19	25.33%			
Expand Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	274	317	115.69%	0	53	
Expand Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Housing for Homeless added	Household Housing Unit	0	5		0	5	
Expand Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Other	Other	0	0				
Promote Economic Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0				

Promote Economic Development	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	0	0		0	0	
Promote Economic Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	0	12		0	12	
Promote Economic Development	Non-Housing Community Development	CDBG: \$	Other	Other	85	47	55.29%	25	0	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	8,114	701	1,365
Black or African American	473	52	107
Asian	115	10	26
American Indian or American Native	154	10	56
Native Hawaiian or Other Pacific Islander	35	0	6
Total	8,891	773	1,560
Hispanic	3,925	574	746
Not Hispanic	4,966	199	894

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

In Program Year 2016, CDBG and HOME funds assisted residents throughout the County with public services, infrastructure improvements, and Tenant Based Rental Assistance. As discussed in the County's 2015 Analysis of Impediments to Fair Housing Choice, 48 percent of residents are non-Hispanic white, 43 percent are of Hispanic descent, and 5 percent are Asian. Within the HOME Consortium, 23 percent of residents were born in another country, and 18 percent of households have limited English proficiency.

Eight agencies received ESG funding and assisted 1,740 individuals in 1,309 households across Santa Barbara County. Clients assisted with these funds were individuals, families, and elderly; of those served 43% were Hispanic. Two agencies primarily served families—Transition House in southern Santa Barbara County and Good Samaritan Shelter in northern Santa Barbara County—and, together, assisted 112 families, which represents 9 percent of households served.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	1,357,737	1,546,233
HOME	HOME	1,658,433	1,892,260
HOPWA	HOPWA		
ESG	ESG	142,192	142,192
Other	Other		

Table 3 - Resources Made Available

Narrative

During Program Year 2016, the County received \$142,614 in ESG funds, of which the County retained \$10,696 for grant administration. Eight agencies were sub-granted funds to assist homeless persons and persons at-risk of homelessness across Santa Barbara County. Agencies provided emergency shelter, rapid re-housing, street outreach and homelessness prevention assistance. Good Samaritan Shelter provided emergency shelter and rapid re-housing assistance to clients residing in the cities of Santa Maria and Lompoc and unincorporated areas of northern Santa Barbara County. Santa Ynez Valley People Helping People provided homelessness prevention assistance to clients residing in mid-county, which includes the cities of Buellton and Solvang, and unincorporated areas from Los Alamos in the north to Los Olivos in the south. PATH Santa Barbara, Carrillo Counseling Services, Domestic Violence Solutions, Legal Aid Foundation, Transition House and Willbridge provided emergency shelter and/or rapid re-housing assistance to clients residing in the cities of Santa Barbara, Carpinteria, and/or the unincorporated areas of southern Santa Barbara County.

HOME funds were used to expand the supply of affordable housing with the completion and occupancy of Solvang Senior Apartments as well as Freedom House in Orcutt, and HOME Tenant Based Rental Assistance programs were administered throughout the County to help low-income tenants, and those at risk of homelessness, access existing rental units which they might not otherwise been able to afford. CDBG funds were awarded for infrastructure improvements, such as the installation of an American with Disabilities (ADA)-compliant sidewalk and curb cuts providing a much-needed walkway between low income neighborhood and a thriving downtown area. CDBG funds also were awarded to the Isla Vista Children's Center for much needed improvements, Richardson Park to help improved recreation in an underserved community, and St Vincent's Gardens to update their fire prevention system. CDBG Public Service funds assisted various public-service agencies throughout the County with funds to deliver new and continuing services, such as the Santa Ynez Valley People Helping People organization which provides supportive services at Family and Senior Resource Centers (FRC) located in the Solvang, Buellton, Santa Ynez, Buellton, and Los Alamos areas. CDBG funds were used to promote economic development by providing training and technical assistance to aspiring entrepreneurs on how to successfully open and operate micro-enterprise businesses.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description

Table 4 – Identify the geographic distribution and location of investments

Narrative

The County is the lead entity of the HOME Consortium and CDBG Urban County Partnership, and HOME and CDBG funds are distributed throughout the County either through partner jurisdictions or the County. The County is a widespread and varied geographic area with many communities of differing age, composition, and priorities. Therefore, projects are funded throughout the County, and funding decisions are based on Consolidated Plan priorities, demonstrated need, populations served, as well as proposed project eligibility.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The Consolidated Plan requires leveraging of resources. In PY 2016, leveraged funds were realized from local programs, competitive grant applications, low-income tax credit funds, private donations, and loans. The County Board of Supervisors also contributes general fund dollars to support human services programs. HCD staff keeps abreast of regulatory and financial options when evaluating projects and works diligently with the public and private sectors to identify viable projects and financing available. Although there were minimal HOME housing project completions in PY 2016, affordable housing development is active and dependent on allocations of federal low-income housing tax credits. The following sources of funds are available as leverage for local projects.

Local Affordable Housing Funds: Local funds are garnered through in-lieu fees collected from the County Inclusionary Housing Ordinance. Developers may pay these fees in lieu of building affordable units on their project sites. The affordable housing requirement for developments in unincorporated Santa Barbara County is one affordable unit for developments of five to nineteen total units, and up to 15% of the total units constructed for developments of twenty or more units. In Lieu fees collected then are used to support the production of affordable housing in the County of Santa Barbara. Funds are limited and can only be expended within the Housing Market Area (HMA) from which the fees were collected or within the South Coast HMA. The current local housing policy environment encourages in-lieu payments by developers for low- and very-low income units, and development of moderate and workforce units for ownership. Historical data has shown that low- and very-low income households often cannot support the additional costs associated with homeownership, including Homeowners' Association fees and monthly utility payments. Furthermore, many low-income households have difficulty in qualifying for a loan. Therefore, rental housing for low- and very-low income households is encouraged and supported by Local Funds (in-lieu fees), which complement the County-administered federal HOME program in providing financing for affordable rental housing development by non-profit and for-profit developers. This policy is directly aligned with the state housing policy, while furthering the federal goals of providing decent affordable housing for all segments of the population. The local Affordable Housing Program has been in existence for over 30 years, and while it has produced nearly \$2 million over the last decade, this is a relatively small component of the overall leverage provided through other resources.

Housing Trust Fund of Santa Barbara County (HTF) is a non-profit financing initiative whose

mission is to expand affordable housing opportunities for low-to-middle income residents and workers of Santa Barbara County. HTF is a U.S. Department of the Treasury-designated Community Development Finance Institution (CDFI), which are mission-driven financial institutions. HTF supports economically disadvantaged communities by providing loans toward the costs of affordable housing development and home-buyer assistance programs. HTF often funds affordable housing projects in the County that also receive County HOME funds.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	5,915,807
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	5,915,807
4. Match liability for current Federal fiscal year	14,359
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	5,901,448

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
0	0	0	0	0

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	35
Number of Non-Homeless households to be provided affordable housing units	0	127
Number of Special-Needs households to be provided affordable housing units	0	0
Total	0	162

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	162
Number of households supported through The Production of New Units	0	1
Number of households supported through Rehab of Existing Units	0	0
Number of households supported through Acquisition of Existing Units	0	6
Total	0	169

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

In Program Year 2016, the County made progress towards meeting its one-year goals and expects to meet or exceed its goals over the five-year Consolidated Plan period. Depending on the contract performance period of subrecipient agreements and the completion dates of housing development projects, accomplishment data may be available in a subsequent program year. For example, the County had subrecipient agreements to provide Tenant Based Rental Assistance for which accomplishment data are entered into IDIS as reports are received and draws processed; however, final accomplishment data

will be reported at the end of the contract terms, which may span one or more program years. Another issue is that housing construction may take a number of years to complete and the year in which a project is funded and the year it is completed may span one or more program years. Accomplishment data are reported in IDIS at the time of final payment and the project is closed in IDIS. The annual Action Plan includes projects at the time of funding commitments; however, accomplishments may be reported in a future year CAPER. In addition, projects, especially those involving construction or capital improvements, can sometimes encounter changes or delays, which result in modification of the project schedule and completion date.

External factors impacted the ability of subrecipients to achieve goals and outcomes, such as exits to permanent housing and attainment of income. These include low rental vacancy rates, ordinances approved by local jurisdictions, and turnover in program staff. The City of Santa Barbara adopted a new ordinance banning the parking of oversized vehicles on city streets without an exemption or permit. This acutely affected Carrillo Counseling Services, which operated the Safe Parking Program—Rapid Re-housing, and its ability to quickly re-house homeless persons living in their vehicles as staff had to locate temporary parking and/or housing for their clients while simultaneously providing housing stability case management and housing placement services. Staff turnover and vacancies among sub-recipients affected their ability to readily serve new clients. More significantly, sub-recipients implemented lower barrier housing and programs. PATH Santa Barbara, for example, increased its nightly bed availability from 100 to 200 beds in the winter months to provide shelter to homeless persons, some of whom are chronically homeless and/or mentally ill and lack stable or adequate income to secure permanent housing.

Discuss how these outcomes will impact future annual action plans.

Following this first reporting year of the County’s Five Year Consolidated Plan, HCD staff will reevaluate processes and performance and use the best information available to project outcomes for future annual action plans. Information from past annual action plans and CAPERs will be used to improve future Consolidated Plans as well.

Since more programs are lowering their barriers to entry, this change will impact outcomes of future annual action plans goals. Serving more chronically homeless persons and/or persons with significant health and/or mental health issues combined with unstable or inadequate income will continue to slow exits to permanent housing. HCD staff will also work with lower performing agencies to ensure they plan their goals and outcomes around any barriers they may encounter. Also work with higher performing agencies to more accurately state their goals.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	25	129

Low-income	23	31
Moderate-income	22	2
Total	70	162

Table 13 – Number of Households Served

Narrative Information

As shown in the chart above, a majority of the persons served with the County’s HUD-program funds are extremely low-income. The housing market seems to have recovered from the recent recession, resulting in a lack of affordable housing throughout the County. A high number of households are “cost burdened” by the cost of housing, meaning that renters who cannot find affordability priced rentals are living in units that cost more than they can afford, as discussed in the County’s 2015 Analysis of Impediments to Fair Housing Choice. The production of housing units and affordable units in particular remains a priority for County HCD, and the provision of public services helps provide low-income residents with a wide range of vital services to which they might not otherwise have access. These numbers reflect the 6 units completed at Freedom House as well as 1 unit completed at Solvang Senior Apartments.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Santa Maria/Santa Barbara Continuum of Care (CoC) is implementing a Coordinated Entry System (CES) that serves the habitable portions of its large geographic area. With multiple entry points and comprehensive street outreach approach, CES is able to reach homeless persons, especially those least likely to access it. Standardized assessment tools determine vulnerability and identify appropriate service/ housing interventions. Referral protocols ensure that persons access appropriate assistance and minimize wait times for shelter, housing, and services. All interventions, such as shelter diversion, targeted prevention, and shelter are intended to facilitate the retention of or quick movement into PH. CES prioritizes chronically homeless (CH) persons for shelter and PSH and identifies those with the longest histories of homelessness, high service needs, and other indicators of vulnerability. Most CoC-funded projects are low barrier and facilitate the entry of CH/vulnerable persons. A working group met bi-weekly to work on finding housing for the most vulnerable persons without housing or shelter identified through the VI-SPDAT. By the end of January 2018, the County and participating agencies will have implemented first phase of coordinated entry system, which they hope will better coordinate placement to higher risk individuals. With this system we hope we can pool our resources and work together to better assist our community.

Addressing the emergency shelter and transitional housing needs of homeless persons

County HCD facilitated the distribution of \$574,998 in General Funds to address homelessness in Program Year 2016. Funds were used to support daytime and nighttime Warming Centers across the County and the operations of three primary shelters in South and North County.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Strategies have been implemented Countywide in the effort to prevent homelessness:

1. Legal Aid Foundation negotiates to prevent eviction and assists clients eligible for benefits
2. Life skills training (budgeting, job retention, proper nutrition & health care, stress management, etc.)
3. Emergency assistance is provided by several community-based organizations
4. Respite beds are reserved at shelters, including Good Samaritan Shelter and PATH Santa Barbara Shelter, for those discharged from hospitals
5. Several shelters, e.g. PATH SB and WillBridge of SB, reserve beds for AB109 clients
6. Rental Housing Mediation Task Force provides consultation/information on landlord-tenant rights/responsibilities
7. Linkages with services to provide support for ongoing health & stability
8. "In-reach" strategies for residents at-risk of homelessness prior to discharge from public institutions
9. Assistance in accessing entitlements/housing

Foster Care Discharge Planning - California foster youth ages 18-20 are eligible for foster care benefits if they are going to school, working, participating in a program to remove barriers to school/work, or are not in school/work for medical reasons. One option popular in SB is Supervised Independent Living Placement (SILP) where youth receive a monthly stipend which must be approved by a social worker and requires a monthly meeting. For example, the County has contracts with Family Care Network for the Independent Living Program (ILP) that helps foster youth 14-21 years of age prepare for successful independence with educational planning, career/financial planning, health & wellness, and personal & community resources.

Hospital Discharge Planning - Cottage Hospital in SB holds a weekly meeting with County staff (Behavioral Wellness, Public Health, and Social Services departments), Homeless Outreach reps, hospital staff, emergency shelter and housing staff of local organizations, and case workers to discuss admissions and release of persons who have no known local address and/or immediate family to discuss the best housing scenario for those people.

Parole Discharge Planning - Upon release, State parole agents notify shelter staff of persons being discharged from state penal institutions that have no known place of residence, and bed arrangements, scheduling, and transportation are worked out. This relationship is relatively new; a similar process with federal agencies is still in development.

County Jail Discharge Planning - A full-time Discharge Planner works with homeless inmates and case workers to create a plan for services/housing upon release to avoid a future re-arrest and incarceration. The Discharge Planner and jail personnel address transportation for homeless inmates released when public transportation is not available. The program has resulted in the placement of some chronically homeless individuals into shelters or supportive programs, who have reunited with family or begun treatment for chronic issues. Several shelters in the County have beds for AB109 clients to facilitate the

discharge of homeless inmates.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Through the implementation of a CES with multiple entry points and comprehensive referrals, the CoC facilitates minimum wait times for shelter and housing. Providers offer RRH assistance, including temporary financial assistance and supportive services, or referrals to or placement in pending availability. The CoC's written standards incorporate priorities for households who are literally homeless and, for PSH, for chronically homeless households with the longest histories of homelessness. The CoC tracks the Length of time (LOT) persons who remain homeless using HMIS data entered by CoC- and ESG- funded projects. In addition, LOT homeless among unsheltered persons are tracked using a VI-SPDAT registry list, which prioritizes persons for housing based on chronicity and medical vulnerability. A Housing Placement Work Group, which meets bi-weekly, connects highly vulnerable persons with the longest histories of homelessness to housing and troubleshoots barriers to housing entry to expedite placement. Strategies to house the most vulnerable include the use of the VI-SPDAT, bi-weekly Housing Placement Working Group meetings, and the development of a Landlord Liaison Program. Other initiatives include the Milpas Street and the Downtown meetings that help the homeless living on Milpas Street and in downtown Santa Barbara to get housed and remain housed.

Special Services for the Chronically Homeless - Other efforts to address chronic homelessness include street outreach by groups such as WillBridge of Santa Barbara and Common Ground Santa Barbara. Common Ground Santa Barbara oversees the Homeless Advocacy Project and has produced a resource guide for use by homeless persons including chronically homeless persons. Appendix 7 is a summary of resources available, many of which receive HUD and other federal and state funding.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The County has worked with the Housing Authority of the County of Santa Barbara (HACSB) in a number of ways to ensure that the efforts of both agencies are maximized. HACSB was notified when County HCD released its annual NOFA for PY 2016, calling for applications to administer Tenant Based Rental Assistance (TBRA) programs and letters of intent to request funds to develop affordable housing.

For the past several years, HACSB has operated a countywide TBRA program utilizing County HOME funds in addition to its Housing Choice Voucher program. In program year 2016-17, 2 new County TBRA subrecipient agreements were signed.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The Housing Authority of the County of Santa Barbara (“HACSB”) collaborates with the Center for Employment Training in Santa Maria to enhance skills and provide employment opportunities for residents. In addition, HACSB actively works in partnership with the Cabrillo Economic Development Corporation to provide residents with financial education and training in the areas of budgeting, credit counseling and homebuyer education workshops and HUD Homeownership Certifications. Another significant partnership is that Santa Ynez Valley People Helping People runs HACSB’s Mobile Food Pantry at Creekside Village in Los Alamos. The HACSB continues to administer the Mortgage Credit Certificate Program for Santa Barbara County, utilizing private activity bond allocation granted by the California Debt Limit Allocation Committee. This Internal Revenue Service program helps people qualify for a mortgage loan by allowing eligible “first-time” homebuyers to reduce their Federal income tax liability through a tax credit. All programs are actively promoted through the distribution of newsletters, flyers, posters and brochures. In 2016 the HACSB Board of Commissioners adopted a revised Administrative Plan that promotes and encourages homeownership through its homeownership assistance program. While HACSB has committed to administering up to five new homeownership units per year and offering monthly homeownership assistance payments to qualified families through this program, the reality is that it is very difficult for HACSB’s qualified families to be able to afford to purchase a home in this very high-cost County, with a median home price that is well out of the reach of HACSB’s families.

Actions taken to provide assistance to troubled PHAs

N/A

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

In February 2015, Santa Barbara County adopted the 2015-2023 Housing Element Update, which contains 37 programs with separate timeframes to help meet the housing goals and needs of the unincorporated county. The County made significant progress implementing the programs listed below in 2016. Several of these programs contain Coastal Zoning Ordinance (CZO) amendments that affect unincorporated areas of the county within the Coastal Zone. These amendments cannot go into effect in the Coastal Zone until they are certified by the California Coastal Commission (CCC).

Program 1.3, Community Plan Rezones – Status: Complete in Inland Area. Pending in Coastal Zone. In 2015, the County adopted the Eastern Goleta Valley Community Plan (EGVCP), which rezoned 5 sites to allow up to 20 units per acre, allowing for up to 549 affordable residential units. The EGVCP also rezoned 55 parcels as Mixed Use, allowing for a mix of commercial uses and up to 163 housing units affordable by design. The EGVCP and CZO amendments will go into effect in the Coastal Zone upon adoption by the Board of Supervisors in October 2017.

Program 1.10 – State Density Bonus Law Consistency Amendments, Program 2.3 – Farmworker Employee Housing Law Consistency Amendments, Program 2.5 – Fair and Safe Special Needs Housing, and Program 2.7 – Definition of “Family.” – Status: Complete. In November 2015, the County concurrently adopted several zoning ordinance amendments to implement four Housing Element programs. The following amendments went into effect in the Inland Area in December 2015 and the Coastal Zone in December 2016:

- Increased the density bonus for qualifying housing projects from 25 to 35 percent to help increase the feasibility of affordable housing projects in the Coastal Zone (Program 1.10),
- Revised the zoning ordinances to be consistent with the California Health and Safety Code regarding the permitting and development of farmworker housing that the state regulates (Program 2.3),
- Revised existing zoning ordinances to eliminate barriers to the development of accessible housing that accommodates the needs of individuals with disabilities (“reasonable accommodation”) (Program 2.5), and
- Revised the definition of “family” to clarify that the zoning ordinances allow the use of a single-family dwelling by people living in a group home (Program 2.7).

Program 1.16, Design Residential (DR) Zone Modifications – Status: Complete in Inland Area. Pending in Coastal Zone. In September 2016, the County adopted zoning ordinance amendments to provide the following incentives for new affordable, special needs, and senior housing projects: (1) Increase the

height limit from 35 feet to 40 feet, (2) Reduce the minimum open space requirement from 40 percent to 30 percent, (3) Reduce the parking requirements, and (4) Increase the maximum site coverage from 30 percent to 40 percent. The amendments are in effect in the Inland Area. Staff anticipates CCC certification of the CZO amendments in September 2017.

Program 2.8, Transitional and Supportive Housing – Status: Complete in Inland Area. Pending in Coastal Zone. In June 2017, the County adopted zoning ordinance amendments to comply with state law regarding the permitting of transitional and supportive housing. Specifically, the amendments added definitions of “supportive housing,” “target population,” and “transitional housing,” and included provisions to explicitly allow these housing types as residential uses with no additional restrictions. These amendments are in effect in the Inland Area. The County expects to submit the CZO amendments to the CCC by mid-September 2017 and anticipates their certification in early 2018.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

Santa Barbara County works diligently to meet the needs of its underserved residents, particularly its homeless, special needs, and low-income populations. The following activities demonstrate the dedication to addressing obstacles to meeting underserved needs that continued in Program Year 2016.

The 2015-2023 Housing Element Update contains a number of policies that provide opportunities to increase the supply of housing throughout Santa Barbara County, and also balance other important community goals that preserve and protect the vital characteristics that define our local quality of life. Landowners are able to develop farm employee housing on agriculturally zoned properties in the Inland Area through a ministerial permit, mixed use developments can include residential uses on most commercially zoned properties, and both State Density Bonus Law and the County’s own inclusionary housing ordinance facilitate the creation of additional units of affordable housing.

County HCD continues to receive general fund resources for the provision of homeless shelter operations and supportive services for homeless, special populations such as HIV/AIDS, persons with disabilities, and low income families, youth and seniors. The HOME Consortium will continue to seek out additional public and private financial resources to support affordable housing programs, and the CDBG Urban County Partnership will continue to leverage additional public and private financial resources to support a variety of housing and non-housing programs.

In years that the County of Santa Barbara receives McKinney-Vento funds and Emergency Solutions Grants (ESG) entitlement funding, those monies help to provide programs and services targeted to homeless persons, such as basic shelter and essential supportive services. In other years, the County continues to monitor and facilitate local efforts to assist with and prevent homelessness, through such entities as the Central Coast Collaborative on Homelessness. Actions taken to address obstacles to meeting underserved needs include the development of a coordinated entry system. Such a system strives to improve the coordination of services and resources to support the homeless persons’ successful return to housing. Coordination between emergency shelters, rapid re-housing, street

outreach, and homelessness prevention programs ensure that homeless persons access the most appropriate type of short-term emergency assistance.

As the lead agency's representative in the Santa Barbara County Continuum of Care, County HCD assists with the Point-in-Time Count efforts through the collaboration of government agencies, and homeless and housing services providers, to better understand the numbers and characteristics of the local sheltered homeless population.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The County Public Health Department conducts official visits to homes of children who have tested positive for high blood lead levels. They educate families on preventive activities and send the documentation to the State. Environmental Scientists in the State Childhood Lead Poisoning Prevention Branch conduct State Environmental Inspections when children are found to have high lead blood levels. The State works with local code enforcement officers to correct lead based paint violations. The Santa Barbara Contractors Association (SBCA) provides lead testing/abatement as needed.

HCD and member cities of the HOME Consortium and Urban County Partnership have policies/procedures in place to ensure incidents of lead poisoning are minimized:

- Phase I environmental reports are to include an analysis of lead based paint if a project involves the acquisition of pre-1978 multi-family projects.
- Rehabilitation projects utilizing HOME or CDBG funds on housing structures built prior to 1979 must include lead-based paint testing and mitigation measures per 24 CFR Part 35.
- As part of community service, US EPA educational brochures on lead poisoning are available at the office of the County and the member cities.

County staff monitors projects for compliance with lead based paint requirements to ensure lead hazards are avoided, and all homes testing positive are treated using Safe Work Practices and must receive clearance from the testing company.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The County antipoverty strategy is implemented through collaborative efforts by the County Departments of Community Services (HCD), Social Services, and Public Health, and the County Executive Office (CEO). HCD solicits input from each department in the development of the five-year Consolidated Plan to align HUD programmatic objectives with local strategies to reduce poverty throughout the County.

In Program Year 2016, Santa Barbara County utilized its CDBG and ESG funding for the following objectives, aimed at reducing the number of persons living below the poverty level: support public services that assist persons with special needs; support public service programs for low and moderate

income households including programs for housing and other community development needs; provide funding for operation of principal emergency shelters throughout Santa Barbara County; and provide funding for essential services and programs that provide needed resources for homeless persons. Local organizations employ case managers who assist with acquiring benefits, job placement, and a variety of other life needs to help improve financial stability.

The County Departments of Public Health, Social Services, and Alcohol, Drug, and Mental Health Services (ADMHS) provided a wide range of services aimed at promoting health care, child care, food security, violence prevention, mental health and substance abuse treatment, and to reduce shelter/housing challenges faced by low income households. The programs and supportive services encouraged and enhanced an increased level of self-sufficiency. The CEO's office managed approximately \$1.2 million in Human Services General Funds during the past fiscal year.

The Tenant Based Rental Assistance programs operated throughout the County provided monthly rent and security deposit assistance to low-income households who could not otherwise afford decent, safe, and sanitary housing.

In organizations providing homelessness prevention services, case managers assist with acquiring benefits, job placement, and a variety of other services to improve financial stability.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Housing and Community Development (HCD) is a division within the Community Services Department and is responsible for implementing federal programs under HUD's Office of Community Planning and Development. Much work has been done to bridge institutional gaps and enhance coordination among agencies and departments that provide housing and community development-related programs and services.

HCD remained the lead entity for the two partnerships during Program Year 2016, receiving federal HOME and CDBG funds to carry out activities to assist low- and moderate-income persons. The partnerships consisted of: 1) the Santa Barbara County HOME Consortium (HOME Consortium) which acted as the participating jurisdiction for the HOME Program and included the County, and the Cities of Buellton, Carpinteria, Goleta, Lompoc, Santa Maria and Solvang, and 2) the CDBG Urban County Partnership (Urban County) which was the entitlement jurisdiction for the federal Community Development Block Grant Program and included the County, and the Cities of Buellton, Carpinteria, Lompoc, and Solvang.

HCD worked with the Central Coast Collaborative on Homelessness (C3H) to provide county-wide

outreach, education, and coalition building to support overall goals to direct all resources available to reduce the number of people experiencing homelessness, as well as minimize the impacts of homelessness in Santa Barbara County. Also, HCD coordinated the efforts of the Santa Maria/Santa Barbara County Continuum of Care and other entities to address the critical problem of homelessness in Santa Barbara County through a coordinated community-based process of identifying needs and building a system of housing and services to address those needs. Throughout the year, HCD staff provides training and technical assistance to service providers on the online Homeless Management Information System, in order to comply with regulations and requirements of HUD's programs.

HCD provides local and federal funds to agencies and service providers in the community, thereby granting agencies a greater ability to meet the ever-changing needs of their clients. The Human Services Commission, appointed by the County Board of Supervisors (Board), assists HCD by making funding recommendations to the Board on applications for CDBG Public Services funds, with a particular emphasis on service needs that are not already being met by agencies in the community.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The County has continued to support various efforts to address fair housing issues, as follows.

Contract with Rental Housing Mediation Program (RHMP): A \$25,000 contract with RHMP was in place to address fair housing issues. The City of Santa Barbara operated the program as a service to the community, including outside city limits to other County residents. The County contributed toward this program from its General Fund. Information about the rights and responsibilities of landlords and tenants, and mediation as warranted, was provided at no cost to both tenants and landlords. The County and RHMP maintained a comprehensive referral list to assist residents who reside outside the RHMP's service area in finding other resources.

A contract was negotiated with the Legal Aid Foundation of Santa Barbara County to provide tenant-related representation for households who would otherwise not be able to afford legal counsel, provide outreach to tenants and landlords, and providing advice and mediation services to unincorporated County residents with tenant/landlord issues. The Fair Housing Outreach, Mediation, and Education (FHOME) Program will replace the RHMP during project year 2017-18 and will be reported in the 2017 CAPER.

Affirmative Fair Housing Marketing: The County maintains an Affirmative Fair Housing Marketing Policy that was initially adopted in 1995 and updated in 2015. This policy remains relevant and continues to ensure that affordable housing opportunities are publicized to County residents, especially those least likely to apply without special outreach.

Literature Publicity: As a part of the Affirmative Fair Housing Marketing Policy, County HCD publicizes fair housing literature, logos and slogans; information is provided in Spanish, and HCD has staff

conversant in Spanish for those households in need of bilingual assistance.

Guidelines for Recipients of County Housing Funds: Developers who receive County funds are provided a copy of the Affirmative Fair Housing Marketing Policy and are required to submit a plan demonstrating consistency with the policy. Project managers, developers, or owners are required to maintain a file which demonstrates compliance with the County Policy.

Tenant-Based Rental Assistance: A lack of affordable housing continues to be a challenge for residents of Santa Barbara County, especially persons with disabilities and certain racial and ethnic minorities who have lower incomes and higher poverty rates. The County has developed working relationships with several agencies within the county to administer a Tenant-Based Rental Assistance (TBRA) program, which assists low-income individuals with security deposits or monthly rental assistance in order to attain housing that might not otherwise be affordable.

In summary, addressing fair housing issues in Santa Barbara County is an ongoing, multi-faceted effort. The County and the partnerships that participate are committed to providing equal housing opportunities for all residents regardless of race, gender, national origin, age, familial status, religion, or disability.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

County HCD, as administrative lead for the HOME Consortium and CDBG Urban County Partnership, conducts periodic monitoring to ensure compliance with program requirements. The Administration and Funding Guidelines, required policies and procedures, and federal regulation guidebooks inform this process. The application/funding review process is initiated by County HCD staff, which conducts analyses and forwards recommendations to the Capital Loan Committee and other relevant advisory committees. Projects recommended for funding are subject to approval by the HCD Director, City Councils, and the County Board of Supervisors. Each project proposed for funding must provide documentation regarding: eligibility & timeliness; consistency of the proposal with Consolidated Plan goals; for housing projects, potential impacts to existing tenants that may require relocation and, if applicable, a proposed plan to implement the Uniform Relocation Act; and other federal regulatory overlays. The County ensures that no grant recipient, by action or willful inaction, hinders implementation of the Consolidated Plan's goals and objectives, through its review process and annual oversight/monitoring. HCD maintained oversight responsibility for monitoring of grantees/subrecipients for federally and non-federally funded projects. Monitoring in 2016 encompassed administrative, financial, program, and project regulatory consistency, as well as agency capacity review.

In accordance with the 2013 HOME Rule, on-site HOME Rental Project Monitoring is conducted every 1-3 years, based on a risk analysis and a regular monitoring schedule. In PY 2016, HOME project monitoring was conducted in the form of desk reviews, file reviews, and onsite inspections of 63 HOME units at 13 properties. HCD begins by mailing a survey to each property, asking property managers to provide information, such as the number of units in the project (total, HOME, and ADA-accessible) and years of experience with the HOME Program. This information was input and any changes updated in HCD records. The next step was to request annual report materials, including a rent roll/occupancy summary and a statement of the physical and fiscal condition of the property, all of which were reviewed to determine which properties to monitor; 13 properties were monitored in accordance with the regular 3-year monitoring schedule, and 0 were monitored as a result of the risk assessment. Onsite monitoring begins with a letter sent to property owners/managers, proposing a date for a site visit. Files are examined for required documentation such as initial/annual income certifications and supporting documentation. Physical inspections are also conducted. Issues identified are discussed with the property manager; issues that cannot immediately be resolved are referenced in a monitoring follow-up letter to the manager. Issues identified may constitute a "Finding" which is a regulatory infraction and requires immediate resolution, a written response, and reinspection by HCD staff to confirm resolution,

or a "Concern" which is a condition that could result in a future Finding. HCD also may make Recommendations to suggest best practices. The property manager has 30 days to respond to all Findings or Concerns. Upon HCD staff review of the responses, Findings and Concerns may either be closed or kept open until the manager has provided adequate documentation.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

To encourage citizen participation in the preparation of the 2016 CAPER, the County took the following actions in accordance with its Citizen Participation Plan:

Citizens were invited to review the draft CAPER and provide written comments to the County for consideration. All HOME consortium and CDBG Urban County member cities were provided a copy of the CAPER draft to facilitate public review and comments at their respective office locations. A copy of the CAPER draft was provided to the County Housing Authority for review. The CAPER draft was made available to at least two libraries in South County and at least two libraries in North County for public access. The CAPER draft was posted on the County HCD website to ensure easy access at www.countyofsb.org/housing

A Public Notice was published announcing the availability of the CAPER for review in the following newspapers of general circulation: The Santa Barbara News-Press and The Santa Maria Times. The public notice and news publications announcing the public comment period are included in the Appendix to the CAPER.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The County amended its Consolidated Plan to add preferences to veterans for its Tenant-Based Rental Assistance and other housing programs.

There were no other changes in program objectives for the 2016 program year, as the current objectives were evaluated for their effectiveness in meeting community needs during the development of the 2015-2020 Consolidated Plan. Accordingly, minor modifications were made to the objectives articulated in the previous Consolidated Plan based on past experience and current needs, resulting in the currently stated objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

On-site inspections were performed on thirteen (13) properties in the 2016-17 program year. Properties to be monitored were identified using a risk-based assessment procedure. Examples of high risk indicators included, among others, monitoring and reporting history, management staff capacity and turnover, tenant complaints received, if any, and the amount of HOME funds invested. Properties that scored high on the risk assessment were monitored, as well as properties that were constructed within the last 12 months, or had health and safety issues identified in the last monitoring visit. On-site monitoring is conducted on every project at least every three years, regardless of assessment scores.

The results of on-site monitoring included Findings and Concerns. Findings were related to HQS violations and incorrect income and/or rent calculations. Concerns were related to missing documentation or other record-keeping issues.

The on-site monitoring visits were conducted over the spring of 2017 and most have been closed. HCD continues to work with property managers to gather documentation and photos to document that corrections have been made for the properties that are still out of compliance. In some cases, HCD staff will conduct another visit to confirm resolution of Findings. HCD expects to have all Findings and Concerns closed by the end of calendar year 2017.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The County of Santa Barbara is committed to providing equal housing opportunities for all of its residents regardless of race, gender, national origin, age, familial status, religion, or disability. Under the HOME Program, an Affirmative Fair Housing Marketing Plan (AFHMP) is required for projects containing five or more HOME-assisted units. An AFHMP is a marketing strategy designed to attract and assist those least likely to apply for assisted housing without special outreach. Projects funded through the HOME Consortium must create a marketing plan that is consistent with the County's Affirmative Fair Housing Marketing Policy.

A marketing plan for each HOME-funded project was approved by the County prior to commitment of funds to the project, and implementation of the plan is subject to County monitoring to ensure compliance. County staff reviews each HOME-funded project's marketing plan for consistency with the

County's own Affirmative Fair Housing Marketing Policy and provides technical assistance to project owners in creating or amending the plan as needed.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

Affordable housing in Santa Barbara County is a longstanding challenge. County HCD recognizes that funding the construction of an affordable-housing development is but one piece of a larger effort. Toward that end, HCD staff monitors each HOME-funded property as required by HUD but also makes a proactive effort to disseminate information to property managers when regulations change or information is released. Technical assistance to owners and property managers is offered periodically or upon request, and any complaints from tenants received by HCD is investigated fully until resolved. The HOME affordability period ensures affordability for the HUD-mandated period, but most projects remain affordable beyond that term by their mission driven owners and/or by federal and state tax credit regulations. Additional HOME funds may be invested for rehabilitation after the affordability period to ensure long-term durability of properties. HCD will require an additional period of affordability when additional HOME funds are invested.

The County has also been supportive of the Housing Authority of the County of Santa Barbara in its recent efforts to restructure public housing under HUD's Rental Assistance Demonstration program. The program allows public housing agencies to leverage public and private debt and equity in order to reinvest in the public housing stock and by moving units to a Section 8 platform with a long-term contract that, by law, must be renewed, thus ensuring that the units remain permanently affordable to low-income households.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name SANTA BARBARA COUNTY
Organizational DUNS Number 131851003
EIN/TIN Number 956002833
Identify the Field Office LOS ANGELES
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance Santa Maria/Santa Barbara County CoC

ESG Contact Name

Prefix Ms
First Name Miriam
Middle Name 0
Last Name Moreno
Suffix 0
Title Housing Program Specialist

ESG Contact Address

Street Address 1 123 E. Anapamu St.
Street Address 2 Suite 202
City Santa Barbara
State CA
ZIP Code -
Phone Number 8055682479
Extension 0
Fax Number 8055601091
Email Address mmoreno@co.santa-barbara.ca.us

ESG Secondary Contact

Prefix Ms
First Name DINAH
Last Name LOCKHART
Suffix 0
Title Deputy Director
Phone Number 8055683523
Extension 0
Email Address DLOCKHART@SBCCSD.ORG

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2016
Program Year End Date 06/30/2017

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: SANTA BARBARA COUNTY

City: Santa Barbara

State: CA

Zip Code: 93120, 2219

DUNS Number: 131851003

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 10696

Subrecipient or Contractor Name: PEOPLE ASSISTING THE HOMELESS (PATH)

City: Los Angeles

State: CA

Zip Code: 90004, 3504

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 34953

Subrecipient or Contractor Name: DOMESTIC VIOLENCE SOLUTIONS FOR SANTA BARBARA COUNTY

City: Santa Barbara

State: CA

Zip Code: 93102, 1536

DUNS Number: 131252488

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 7500

Subrecipient or Contractor Name: WILLBRIDGE OF SANTA BARBARA, INC.

City: Santa Barbara

State: CA

Zip Code: 93103, 2636

DUNS Number: 146919712

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 7800

Subrecipient or Contractor Name: TRANSITION HOUSE

City: Santa Barbara

State: CA

Zip Code: 93101, 1662

DUNS Number: 930390448

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 11000

Subrecipient or Contractor Name: CARRILLO COUNSELING SERVICES, INC.

City: Santa Barbara

State: CA

Zip Code: 93101, 7439

DUNS Number: 071310010

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 12300

Subrecipient or Contractor Name: SANTA YNEZ VALLEY PEOPLE HELPING PEOPLE

City: Solvang

State: CA

Zip Code: 93463, 2606

DUNS Number: 941864472

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 14500

Subrecipient or Contractor Name: LEGAL AID FOUNDATION OF SANTA BARBARA COUNTY

City: Santa Barbara

State: CA

Zip Code: 93101, 1517

DUNS Number: 116503483

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 5433

Subrecipient or Contractor Name: Good Samaritan Shelter

City: Santa Maria

State: CA

Zip Code: 93454, 8669

DUNS Number: 023282457

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 38432

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	5
Children	7
Don't Know/Refused/Other	0
Missing Information	0
Total	12

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	358
Children	72
Don't Know/Refused/Other	0
Missing Information	0
Total	430

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	1,296
Children	237
Don't Know/Refused/Other	0
Missing Information	7
Total	1,540

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	39
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	39

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	1,634
Children	299
Don't Know/Refused/Other	0
Missing Information	7
Total	1,940

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	1,138
Female	781
Transgender	5
Don't Know/Refused/Other	2
Missing Information	14
Total	1,940

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	299
18-24	115
25 and over	1,519
Don't Know/Refused/Other	0
Missing Information	7
Total	1,940

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	149	0	25	127
Victims of Domestic Violence	252	0	76	189
Elderly	200	0	77	133
HIV/AIDS	10	0	0	10
Chronically Homeless	211	0	71	148
Persons with Disabilities:				
Severely Mentally Ill	429	0	92	350
Chronic Substance Abuse	548	0	59	506
Other Disability	591	0	135	478
Total (Unduplicated if possible)	2,104	0	535	1,641

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	133,645
Total Number of bed-nights provided	107,561
Capacity Utilization	80.48%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

ESG Performance Measurements for 2016 Emergency Solutions Grants

Organization/ Project Name	Participants who moved into or retained permanent housing at project exit		Adult participants who obtained earned income at project exit		Adult participants who obtained cash/non-cash benefits at project exit	
	Goal %	Actual %	Goal %	Actual %	Goal %	Actual %
Emergency Shelter						
Domestic Violence Solutions-SB Emergency Shelter	30%	26%	25%	27%	57%	44%
Good Samaritan Shelter- Bridgehouse Shelter	30%	45%	40%	39%	75%	83%
PATH Santa Barbara- Emergency Shelter	40%	16%	60%	25%	30%	22%
Transition House-Emergency Shelter	70%	62%	87%	87%	72%	88%
Willbridge of SB-Emergency Shelter	20%	70%	5%	100%	100%	90%
Rapid Re-housing						
Carrillo Counseling- Safe Parking Program	90%	13%	17%	5%	15%	10%
Good Samaritan Shelter-Rapid Rehousing	60%	100%	40%	55%	100%	100%
Common Ground/United Way Rapid Rehousing	90%	88%	15%	0%	30%	0%
PATH Santa Barbara-Rapid Rehousing	90%	57%	60%	0%	30%	57%
Homeless Prevention						
SYV People Helping People- Emergency Assistance Program	*	*	60%	100%	30%	100%
Street Outreach						
Willbridge of SB-Street Outreach	3%	100%	30%	100%	30%	88%

* This performance measure data was not collected for this project

2016 ESG Performance Measures Table

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	11,369	8,113	10,697
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	1,713	800	0
Expenditures for Housing Relocation & Stabilization Services - Services	22,296	7,225	3,800
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	35,378	16,138	14,497

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	22,407	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	848	0	5,432
Expenditures for Housing Relocation & Stabilization Services - Services	11,996	0	26,418
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	35,251	0	31,850

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	29,162	0	30,836
Operations	43,824	0	50,413
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	72,986	0	81,249

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	3,900
HMIS	0	0	0
Administration	9,509	0	10,696

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
	153,124	16,138	142,192

Table 29 - Total ESG Funds Expended

11f. Match Source

	2014	2015	2016
Other Non-ESG HUD Funds	25,821	0	0
Other Federal Funds	32,558	0	0
State Government	17,748	9,000	0
Local Government	162,212	17,400	88,236
Private Funds	97,360	13,000	25,128

Other	19,096	41,733	29,250
Fees	0	0	0
Program Income	14,232	0	0
Total Match Amount	369,027	81,133	142,614

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2014	2015	2016
	522,151	97,271	284,806

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachment

ESG ECart



ESG-CAPER-Reporting-Tool-and-eCart-Gui

Annual Report

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	This report is for period (mm/dd/yyyy) Starting _____ Ending _____	Date Submitted (mm/dd/yyyy)
---	---	-----------------------------

Part I Participant Identification

1. Participant Number	2. Participant Name Santa Barbara County HOME Consortium		
3. Name of Person completing this report		4. Phone Number (Include Area Code)	
5. Address	6. City Santa Barbara	7. State CA	8. Zip Code 93101

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
---	--	--	---	---

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number					
2. Dollar Amount					
B. Sub-Contracts					
1. Number					
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number					
2. Dollar Amount					
D. Sub-Contracts					
1. Number					
2. Dollar Amounts					

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0					
2. Dollar Amount	0					

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)			
1. Parcels Acquired	0	0				
2. Businesses Displaced	0					
3. Nonprofit Organizations Displaced	0					
4. Households Temporarily Relocated, not Displaced	0					
Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
5. Households Displaced - Number	0	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
6. Households Displaced - Cost	0					

Publication



PUBLIC NOTICE

Santa Barbara County HOME Consortium and Urban County Partnership 2016-17 Consolidated Annual Performance & Evaluation Report (CAPER)

The County of Santa Barbara Community Services Department's Division of Housing and Community Development (HCD) invites comments on the HOME Consortium and Urban County Partnership 2016-17 Draft Consolidated Annual Performance and Evaluation Report (CAPER). The Santa Barbara Urban County Partnership includes the County and the member cities of Buellton, Carpinteria, and Solvang. The HOME Consortium is comprised of the Urban County members and Goleta.

The CAPER summarizes and evaluates previous year achievements using Community Development Block Grant (CDBG), Emergency Shelter Grant (ESG), and HOME program funds allocated by the U.S. Department of Housing and Urban Development (HUD). As a local government performance reporting tool, the CAPER also documents progress made towards meeting the affordable housing and community services goals established by the County's Consolidated Plan and Strategic Plan.

The 2016-17 Draft CAPER will be available for a 15-day public comment period from **Tuesday, September 12, 2017 through Wednesday, September 27, 2017** at:

- Santa Barbara County Public Library Main Branch, 40 E Anapamu St, Santa Barbara, CA 93101
- Santa Barbara County Public Library Goleta Valley Branch, 500 N Fairview Ave, Goleta, CA 93117
- Buellton Library, 140 W Highway 246, Buellton, CA 93427
- Santa Maria Library, 420 S Broadway, Santa Maria, CA 93454
- County HCD office at 123 E Anapamu St, 2nd Floor in Santa Barbara, and
- Betteravia Government Center at 511 E. Lakeside Parkway, Suite 141 in Santa Maria

Copies will also be available for review at the member cities of the Urban County and HOME Consortium. For the duration of the review period, the CAPER can also be accessed via the County HCD website:

www.countyofsb.org/housing

Written comments may be submitted to County HCD, 123 E. Anapamu St, 2nd Floor, Santa Barbara, CA 93101, via facsimile at (805) 560-1091, or e-mailed to HCD@co.santa-barbara.ca.us. If you need additional information, have questions, or require special accommodations, please call (805) 568-3520. *Si tiene cualquieras preguntas, por favor llame a (805)-568-3520.*

The County is committed to equal housing opportunities for all of its residents.



SANTA BARBARA NEWS PRESS
Proof of Publication
(2015.5C.C.P)

Superior Court of
the State of California
In and For The County of Santa Barbara

In the Matter of:

Legal 52674
Ad# 3950085

Public Notice

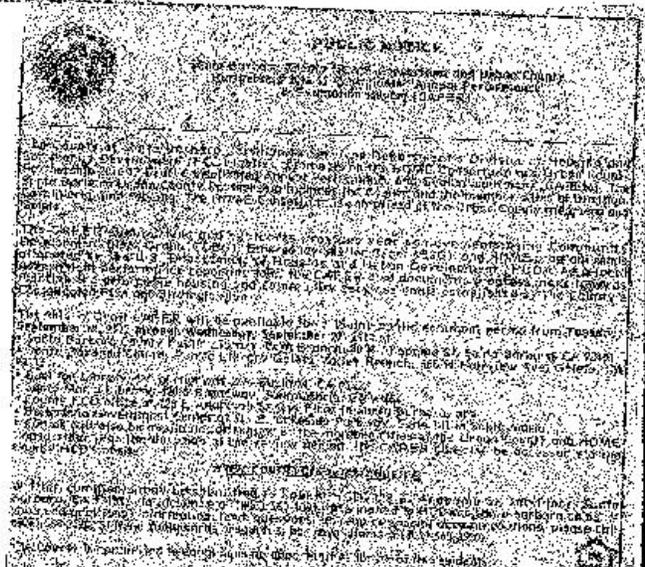
The undersigned, being the principal clerk of the printer of the Santa Barbara News Press, a newspaper of general circulation, printed and published daily in the City of Santa Barbara, County of Santa Barbara, California and which newspaper has been adjudged a newspaper of general circulation by the Superior Court in the County of Santa Barbara, State of California, Adjudication Number 47171; and that affiant is the principal clerk of said Santa Barbara News Press. That the printed notice hereto annexed was published in the SANTA BARBARA NEWS-PRESS, in the issues of the following named dates

September 12, 2017

all in the year 2017, I hereby certify (or declare) under penalty of perjury that the foregoing is true and correct.

Executed on this 12th of September, 2017 at Santa Barbara, CA

P. Matsumaru
P. Matsumaru



*** Proof of Publication ***

PROOF OF PUBLICATION
(2015.5 C.C.P.)

STATE OF CALIFORNIA.

SANTA MARIA TIMES

SB COUNTY HOUSING DEV
123 E. ANAPAMU ST. 2ND FLOOR
SANTA BARBARA, CA 93101

ORDER NUMBER 111534

I AM THE PRINCIPAL CLERK OF THE PRINTER OF THE SANTA MARIA TIMES, NEWSPAPER OF GENERAL CIRCULATION, PRINTED AND PUBLISHED IN THE CITY OF SANTA MARIA, COUNTY OF SANTA BARBARA, AND WHICH NEWSPAPER HAS BEEN ADJUDGED A NEWSPAPER OF GENERAL CIRCULATION BY THE SUPERIOR COURT OF THE COUNTY OF SANTA BARBARA, STATE OF CALIFORNIA, ADJUDICATION #463587.

THAT THE NOTICE OF WHICH THE ANNEXED IS A PRINTED COPY (SET IN TYPE NOT SMALLER THAN NONPAREIL), HAS BEEN PUBLISHED IN EACH REGULAR AND ENTIRE ISSUE OF SAID NEWSPAPER AND NOT IN ANY SUPPLEMENT THEREOF ON THE FOLLOWING DATES, TO-WIT:

I CERTIFY (OR DECLARE) UNDER PENALTY OF PERJURE THAT THE FOREGOING IS TRUE AND CORRECT.

PUBLISHED ON: 09/12/2017

TOTAL AD COST: 178.25

FILED ON: 9/12/2017

DATED AT SANTA MARIA, CA THIS 12th DAY OF Sept 2017

Jeresa Ramirez
SIGNATURE

PUBLIC NOTICE

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The County is committed to equal housing opportunities for all of its residents.

Legal #111534
Pub date: Sept 12, 2017